Ontario Agri-Food Innovation Alliance

Knowledge Translation and Transfer (KTT) Program Guide

September 2021
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ONTARIO AGRI-FOOD INNOVATION ALLIANCE KTT FUNDING PROGRAM OVERVIEW

The priority-driven Ontario Agri-Food Innovation Alliance Knowledge Translation and Transfer (KTT) Funding Program is designed to enhance the impact of research. We do this by providing funding for two distinct kinds of KTT projects:

1. KTT Research (KTT-R) Funding supports research projects that advance the science of knowledge translation and transfer (KTT) in agri-food and rural sectors. Research projects advance the science of KTT by identifying and evaluating methods designed to enhance and accelerate the impact of research.

2. KTT Mobilization (KTT-M) Funding supports activities designed to drive the transformation of knowledge into use, including activities related to synthesis, exchange, dissemination, dialogue, collaboration and brokering between researchers and research users. KTT-M funding may also be used to support projects that are targeted towards capacity building or enhancing partnerships/networks for supporting effective KTT in Ontario's agri-food sector and/or rural communities.

Timeline for 2021-2022 Programming

- KTT Funding Program Launch: September 28, 2021
- KTT Funding Program Town Hall Information Session:
  - October 14th, 2021, 12:00 p.m. – 1:00 p.m.
- Full Proposal Submission Deadline: November 30, 2021, at 1:00 pm
- Anticipated Award Notification: February/March 2022

This guidance document is focused on program details and the application process for the KTT Funding Program. Details about the other Alliance programs will be available when their respective program launches.

Contact Information

The following Alliance contacts can assist you with inquiries related to your KTT Research or Mobilization Project:

- KTT Research Program Director: Dr. Alison Duncan (amduncan@uoguelph.ca).
- Knowledge Mobilization Manager: Victoria Holla (holla@uoguelph.ca)
- If you experience technical difficulties or need support with the RMS application template, please contact our Research Program Coordinators at rescoord@uoguelph.ca.
What is Knowledge Translation and Transfer (KTT)?

The Ontario Agri-Food Innovation Alliance (previously the OMAFRA-U of G Partnership) has supported knowledge translation and transfer (KTT) in agri-food and rural sectors since 2010 with the goal of enhancing the impact of publicly funded research.

The Alliance defines KTT as the transformation of knowledge into use through synthesis, exchange, dissemination, dialogue, collaboration and brokering among researchers and research users. Put another way, KTT refers to the many activities and strategies aimed at building awareness of research findings, moving research knowledge into active use, or enhancing research impact. The goal of KTT work is to create a two-way connection between researchers and research users to increase and enable dissemination, uptake and application of research.

KTT Funding Program Objectives

The objectives of the KTT Funding Program are to:

- Explore the science of KTT to identify and evaluate best practices to drive and enhance the positive impact of research and innovation.
- Drive knowledge into action by advancing the synthesis, exchange, application and dissemination of knowledge resulting from Alliance-funded research and other agri-food and rural research beneficial to Ontario and Ontarians.
- Evaluate and utilize KTT methods and best practices to support awareness and impact of research among users.

Program Scope: What We Fund

Each year the Ontario Ministry of Agriculture, Food and Rural Affairs (OMAFRA) undertakes a research priority setting process. Research priorities for the Alliance Research Program are aligned within the Ministry’s core businesses and objectives: Protection and Assurance, Stewardship, and Economic Development as illustrated in the following image. All KTT projects must relate to an established OMAFRA research priority and support Ontario’s agri-food and/or rural sectors.
OMAFRA Research Priorities by Core Business

Each of these research priorities has a set of goals and research focus areas, in addition to five cross-cutting focus areas. Specific research questions, together with the research problem/information gap and desired outcomes of the research, have been identified for the 2021/22 Alliance Tier I Research Program. KTT projects supported by the Funding Program DO NOT need to address a specific research question, but must relate to one of the eleven identified priorities and must demonstrate a high likelihood of contributing to the health, sustainability, and/or competitiveness of Ontario’s agri-food sectors and/or rural communities.

Further information about OMAFRA’s research priorities is available on the Priority-Driven Programs Support World-Class Research and Training webpage on the Alliance website.

Available Funding

To meet established objectives, the KTT Funding Program invites project applications to one of two funding streams. Please note the new maximum project duration and budget limit in the Research Funding stream:

1. KTT Research Funding

   **Maximum project duration:** 3 years (36 months)  |  **Budget Limit:** $150,000 total

KTT Research Funding supports research projects that **advance the science of knowledge translation and transfer (KTT)** in agri-food and rural sectors. Research projects advance the science of KTT by identifying and evaluating methods designed to enhance and accelerate the impact of research.

   Successful KTT Research projects will contribute to evidence-informed KTT practice.
2. KTT Mobilization Funding

**Maximum project duration:** 2 years (24 months) | **Budget Limit:** $40,000 total

KTT Mobilization Funding supports activities designed to drive the transformation of knowledge into use, including activities related to synthesis, exchange, dissemination, dialogue, collaboration and brokering between researchers and research users. KTT-M funding may also be used to support projects that are targeted towards capacity building or enhancing partnerships/networks for supporting effective KTT in Ontario’s agri-food sector and/or rural communities.

KTT Mobilization projects may last up to 24 months with appropriate justification; however, we welcome projects between 6 and 24 months in duration.

Please note: a project may only be submitted to ONE funding stream; applicants may not seek funding from both funding streams for the same project.

**Proposal Review Process**

All proposals will be reviewed by a panel consisting of subject matter experts from academia, government and industry. Proposals will be reviewed against established criteria including:

- **Fit with priorities.** Projects must demonstrate how they are likely to benefit Ontario’s agri-food and/or rural sectors;
- **Strength of the project lead(s) and research team;**
- **Benefits to client groups and contribution to Ontario’s agri-food sector and rural communities.** End users should be engaged early in the project and wherever possible;
- **Quality and clarity of the experimental design;**
- **Deliverables that are clear, tangible, measurable and achievable;**
- **Strength of the Knowledge Translation and Transfer (KTT) plan (for KTT-R stream only);**
- **Value for money; and**
- **Evidence of involvement of relevant partners through leverage and partnerships.**

Applications to the KTT research stream will be reviewed by a KTT expert external to the review committee.

**The scorecard used by the review committees is provided in this program guide.**

Review committees will make funding recommendations to the Ontario Agri-Food Innovation Alliance Research Program Management Committee. Final funding decisions are at the discretion of OMAFRA.
Equity, Diversity and Inclusion

The University of Guelph is committed to the principles of equity, diversity and inclusion (EDI). All applicants to Alliance funding programs are encouraged to review the EDI Resource Document for Researchers developed by the U of G Office of Research Services.

A general question about EDI is included in the proposal.

HOW TO APPLY

Single Stage Call Process

The Ontario Agri-Food Innovation Alliance awards KTT funding via a competitive, single-stage application process.

KTT-M and KTT-R project applications are different; lead applicants will be required to select the appropriate application in the RMS.

An expert panel of researchers, government analysts and industry partners reviews submitted project proposals based on project merit, quality, value for money, and contribution to Ontario’s agri-food and/or rural sector. A single panel may review both KTT-M and KTT-R applications, but each stream is evaluated using a distinct scorecard.

A KTT research expert will complete a technical review of KTT Research applications for consideration by the review panel in advance of scoring.

Online Application System – Research Management System (RMS)

All Alliance programming is administered in the RMS. Log in to the RMS through the OMAFRA RMS Log In webpage. Please contact rescoord@uoguelph.ca if you experience any difficulties logging in. If you have never registered in the RMS select “Register” on the OMAFRA RMS Log In webpage (new URL as of October 2019). If you have already registered in the new RMS (you have applied to a program in RMS since October 2019 or submitted a report in RMS since January 2020), simply log in. If you have previously applied to a program in the RMS but have not applied or completed any reporting since October 2019, you will need to create a new password. Faculty contact records were migrated from the previous RMS system, but for security reasons passwords were not. To create a new password, please follow these steps:

1. Select the “Forgot Password” option on the home screen of the RMS login page. Enter your uoguelph.ca email address when prompted.
2. You will receive an e-mail to your uoguelph.ca email address containing a link to reset your password. Enter a new password at the prompts. Note: Faculty existing within the previous RMS system must follow the “Forgot Password” option to be properly affiliated with their
previous projects that have been migrated to the new RMS. It should not take longer than an hour to receive the e-mail for your password reset. Occasionally these e-mails can go to the spam folder.

To open an application, select the relevant KTT Program (KTT-Research or KTT-Mobilization) and click on “Determine Eligibility’. Confirm your eligibility to apply for funding to access an application.

For the best experience we suggest using the latest version of Chrome, Firefox or Safari. Internet Explorer is not supported by the RMS platform provider.

Lead Applicants and Co-Applicants

The Lead Applicant is the primary award holder and is accountable for project management and compliance with any reporting requirements, including management of project funding and financial reporting.

A Co-Applicant (optional) is a researcher that plays an important and ongoing role in the development and implementation of the project. Co-applicants are identified and invited from the Invitations tab in RMS. There can only be one Co-applicant. Co-Applicants have the same editing capabilities on applications and reports as the Lead Applicant.

University of Guelph faculty members (UGFA Unit 1 or 2) are eligible to be the Lead Applicant and/or a Co-applicant on any Ontario Agri-Food Innovation Alliance Research Program project. Retired faculty members holding Professor Emeritus status are eligible to be the Lead Applicant or a Co-Applicant, as long as they are eligible to hold research funding at the University of Guelph. Adjunct faculty members may also apply as a Lead Applicant or Co-Applicant if all of the following conditions are met:

• They are eligible to hold research funding at the University of Guelph. This status is verified by the Chair/Director and Dean through the approval of the OR-5 form;
• They are not employed by or have a financial interest in any of the collaborating organizations or co-funders; and
• Their adjunct position permits them to engage in research-related activities that are not under the direction of another individual.

Non-faculty team members are not eligible to be either a Lead or Co-applicant.

Prior to a new proposal being reviewed in any Alliance Research Program, the Lead Applicant and the Co-Applicant must be in “good standing” for all existing projects under the Alliance Research Programs, including being fully compliant with all reporting requirements.
The Lead Applicant and the Co-Applicant will have 30 days from the submission deadline to complete any outstanding compliance requirements, including reporting. If the Lead Applicant or Co-Applicant remain non-compliant 30 days past the submission deadline, the submitted proposal will be withdrawn from the review process and declined. Likewise, prior to being awarded any new project(s) under the Alliance, Lead Applicants and Co-Applicants must be fully compliant with all reporting requirements for existing Alliance projects at the time of award.

**FULL PROPOSAL APPLICATION**

**Support for Applicants**

The following supports are available to assist researchers in the application process:

- This program guide;
- KTT RMS Application Tip sheets available on the RMS Researcher Workbench Home page ('Help' icon);
- If you experience technical difficulties or need support with the RMS application template please contact our Research Program Coordinators at rescoord@uoguelph.ca.

**Optional Compliance Check.** Office of Research, Agri-Food Partnership staff are offering to complete a compliance check of proposals in advance of the submission deadline. The compliance check does not assess overall quality or scientific merit, but will review the proposal for issues that are not caught during the system validation checks in the RMS, including issues identified by reviewers (e.g. congruence between team/HQP tables and the budget, eligibility of budget items etc.). Please email rescoord@uoguelph.ca on or before November 16, 2021 if you want program staff to complete a compliance check of your proposal. The proposal should be at or near completion.

If you experience technical difficulties or need support with the RMS application template, please contact our Research Programs Coordinators at rescoord@uoguelph.ca.

**Full Proposal Template**

KTT-R and KTT-M applications consists of several sections that are navigated via tabs across the top of the on-line application in the RMS. All tabs must be completed. The majority of the application instructions are provided in the RMS, but some additional guidance is provided below.

A validation process will take place upon submission to ensure all mandatory fields are complete and the budget balances.
Research Team and Invitation process

Team members and Highly Qualified Personnel are identified in their respective tables in the Team tab in the RMS. The research team member invitation process is described in the application template and in the tip sheets (accessible under the ‘Help’ icon on the RMS home page). Co-applicants, Delegates (described below), and all Collaborators should confirm their participation in the project and be registered in the RMS by the Full Proposal submission date. Confirmed Collaborators will have read-only access to the proposal; Co-Applicants and Delegates (both optional) with have ability to edit the proposal.

A Delegate (optional – limit of one) is an individual whose only role is to assist the Lead Applicant in the creation and editing of the application and progress reports (for awarded projects). A Delegate must be from U of G. A Delegate, while not formally a team member, is identified and invited from the team member tab in the RMS. Delegates that play an active role in the research project must also be identified and invited as a Collaborator or identified in the HQP table in the RMS (this is important for Alliance programs performance indicator reporting).

There is no limitation placed on the balance of the team composition, but all team members should play an active role as collaborators in the implementation of the project (advisory, researcher or knowledge broker). The team may include individuals from:

- U of G (researchers and other support staff e.g. technicians);
- Other University or research institutions in Canada or globally;
- Private businesses;
- Industry / commodity organizations;
- Non-governmental organizations; and
- Provincial, federal or municipal government departments (e.g., OMAFRA staff).

Students and Post-Docs should not be included as members of the Research Team. Please see the Highly Qualified Personnel section below.

The project team composition should ensure that research expertise from all relevant disciplines and broad perspectives are brought to bear on the research objective(s) to be addressed. Where applicable, team members responsible for KTT should be identified in the team table.

A new Funding Source field captures the funding source for team members to help support the evaluation of the budget. This field applies primarily for team members working at the U of G who are funded as part of the project, other Alliance/OMAFRA funding, or from partner funds (e.g. Research Technicians, Research Associates, etc.). Select one of the following for each team member as appropriate:

- This project (in whole/in part) – for team members who will be supported directly with project funds. At least a portion of their salaries need to be identified in the “Request From Program” table in the budget.
• **Another OMAFRA program** – for Research Technicians etc. who are supported through other funding from the Alliance (e.g. base funded Technician) or OMAFRA programs. This is not to be used for OMAFRA staff whose salaries should not appear in the budget, as they are paid regardless of project funding.

• **Other funding source** – for team members supported under this project through partner funds. These expenses, and the relevant co-funder(s), need to be identified in either the “Cash from Partners” (if funds are coming into the University) or “In-kind Support from Partners” tables in the budget.

• **N/A** - for all other team members (U of G faculty, OMAFRA staff, collaborating researchers etc.). Their salaries should not appear in the budget, as they are paid regardless of project funding.

The FTE (full-time equivalent) you report in the team member table should reflect the total average annual time that each individual will contribute to the project. An FTE of 1.0 is a full-time commitment to the project (e.g. 35 hours per week) and an FTE of 0.1 is equivalent to 3.5 hours per week (for a 35-hour week). Documenting FTE contributions are important to support Alliance programs performance indicator reporting.

The involvement of all team members (including their estimated actual FTE contributions to the project) will be reported on in annual and final reports.

**Highly Qualified Personnel (HQP)**

The training and development of Highly Qualified Personnel (HQP) is an important objective of the Alliance and an Agreement performance indicator. Effort should be made wherever possible to engage HQP in Alliance-funded research projects.

HQP are students (typically either undergraduate, graduate, or diploma) or post-doctoral fellows receiving training through the proposed research, regardless of funding source. These HQP are captured separately from team members in the RMS. Please provide details on all HQP that will be involved in the project, regardless of their stipend funding source. Highly Qualified Personnel do not need to be invited. Proposals can move forward without specific persons identified as HQP if the positions are not yet filled. If specific people are not identified, use “TBD” as a placeholder for the first and last name within the HQP table and complete all other fields except for e-mail address. An individual record is needed for each individual undergraduate student to be hired. Similarly to the Team Member table, identify the HQP Funding Source as either ‘This project (in whole/in part)’, ‘HQP Scholarship Program’, or ‘Another funding source’.

Ensure that all personnel that will be supported through the project, either through program or partner funds, are reflected in the Team Member and HQP Tables and clearly identified in the budget.
Knowledge Translation and Transfer (KTT) – for Research Stream ONLY

The KTT tab in the KTT Research Stream application consists of two tables: KTT User Audiences and the KTT Plan. Instructions for completing these two tables are in RMS. The KTT Plan asks you to project costs for your KTT Activities. Please ensure the cost for KTT Activities is reflected in your Application Budget using either funds from Request from Program or Other Sources of Project Funding.

There are several resources available to assist you in creating your KTT plan. Visit the Knowledge Translation and Transfer Services and Resources webpage to access these resources.

- **Growing Knowledge Translation and Transfer in Ontario: A Manual of Best Practices (PDF 1.58 MB):** This manual outlines a collection of best practices in agri-food and rural KTT that can help guide you through the development of your KTT plan.
- **KTT Plan Checklist (PDF 189 KB):** A practical tool based on the Alliance KTT plan template. These guidelines, prepared by Alliance funding program reviewers, ensure your proposal covers key aspects of KTT planning.
- **KTT Example Plans (PDF 1.26 MB):** Examples of complete KTT plans to help provide ideas of innovative KTT activities as well as questions to consider as you answer each section.
- **Knowledge Translation and Transfer (KTT) Plan Appraisal Tool (PDF 105.68 KB):** This tool is a decision aid / rubric to help reviewers appraise and assess KTT Plans in The Ontario Agri-Food Innovation Alliance’s research project proposals.

In addition to these resources, Alliance staff are available to help guide you in the creation of your KTT Plan. Contact kttadmin@uoguelph.ca for guidance around the KTT section of your proposal or if you have any questions about these resources.

**Supporting Documentation**

Supporting documentation should be in PDF format in order to be appended to the proposal and may include:

- **Team Member Supporting Documentation**
  - CV’s of the Lead Applicant and Co-Applicant (mandatory)
- **Proposal Details Supporting Documentation**
  - References for your Literature Review (mandatory)
  - Relevant articles demonstrating industry needs
  - One-page diagram which illustrates the methods described in the proposal
- **Other Supporting Documentation**
  - Letters of support. **Note: Letters of support from OMAFRA are not admissible**
  - Confirmation of leveraged funding (if additional funding is listed as confirmed, a letter of confirmation is required before the project can be Awarded)
Additional information, included in the supporting documentation fields, beyond what is listed here, will NOT be assessed as part of your proposal.

**OR-5**

An OR-5 Form is **no longer required to be uploaded to the application**. OR-5 fields are completed online by the applicant on the OR-5 tab of the application within the RMS. Department and College approval will be obtained electronically following proposal submission. No further action, beyond completing the OR-5 fields, is required from the applicants.

Be sure to identify if there are external sources of cash funding, use of ARIO research centres, and declare any financial interest in any project partners on the OR-5 Form.

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### THE RMS BUDGET AND LEVERAGE GUIDELINES

#### Budget Limits

Proposals for projects up to 36 months (3 years) or 24 months (2 years) duration are eligible for funding in the KTT-R and KTT-M streams respectively.

Applicants to the KTT Research funding stream may request up to $150,000 total for direct project operating costs.

Applicants to the KTT Mobilization funding stream may request up to $40,000 total for direct project operating costs.

The duration of the proposed projects and the size of the budget request must be commensurate with the nature of the research proposed.

#### Eligible and Ineligible Expenses

The following provides a guideline of direct project expenses that are eligible under the Alliance Funding Programs. It is not an exhaustive list. Please contact rescoord@uoguelph.ca with any questions regarding eligibility of budget items (either as direct project expenses or as matching contributions).

Eligible project expenses (can also be provided by funding partners):

- Salaries of scientific or technical staff employed on a contract basis or hired specifically for the purposes of this project (including those at U of G if **not** funded by the Alliance). Value should be based on their FTE contribution to the project;
- Graduate student stipends;
- Goods and services necessary for the project (e.g. supplies, disposables, sampling, lab testing, etc.);
• Equipment purchases (generally not exceeding $10,000 per item). Alliance funding is limited and not intended for significant equipment purchases with a useful lifespan beyond the duration of the project. However, a larger equipment purchase (exceeding $10,000) that is fundamental to the research project may be eligible with a strong rationale. The review committees will consider these purchases on a case by case basis. Please contact rescoord@uoguelph.ca if you have any questions about equipment purchases.

• Equipment leases/rentals (should be identified as ‘Operating – Other’ in the budget);
• KTT and technology transfer related costs such as the organization of workshops (venue, meals etc.) and communication materials;
• Publication costs (e.g. page charges for academic journals);
• Travel necessary to carry out the project (e.g. to research stations and field plots); and
• Travel to conferences where project information is being presented.

Ineligible project expenses:
• The salary of the Lead Applicant or Co-Applicant;
• OMAFRA staff time or resources;
• Salaries of permanent staff whose compensation is not specifically dependent on on-going research project funding; and
• Support for meetings/events that would occur regardless of project funding.

Ontario Agri-Food Research Centre Use and Access Fees

Through the unique partnership between the University of Guelph and OMAFRA, crop and livestock research centres located throughout Ontario drive research support for the agri-food industry. The centres are owned by the Government of Ontario through its agency, the Agricultural Research Institute of Ontario, and managed by the University of Guelph through the Ontario Agri-Food Innovation Alliance. U of G faculty have access to these Research Centres at highly subsidized (92%) rates. If you intend to use a research centre(s) for work related to your proposal, please ensure this is identified in the ‘General’ Tab and the ‘OR-5’ tab under the Resource Use section in the RMS. This will create a section on the Budget tab where you identify the specific research centre services you require. Full instructions are available in the RMS application.

Visit the Research Centre Fees website for a complete list of Research Stations and Research Station Access Fees.

Third party (non-OMAFRA) funding is required to cover the non-subsidized portion (8%) of the fee.

Leverage / Partner Funding

Funding partners are individuals or organizations that contribute cash and/or in-kind support to the project. These partners are captured under the ‘Other Sources of Project Funding’ section within the RMS.
All cash leverage from partners must come through the Office of Research Services and have a separate OR-5 associated with it.

In-kind contributions are non-cash contributions providing a direct, tangible benefit to the project. The donated asset or contribution must be essential to the project’s success and if not donated, would need to be purchased and paid for from approved project funds. In-kind contributions must be in lieu of eligible project expenses only.

All cash and in-kind contributions must be fully explained in the RMS budget Justification text boxes. The value of the in-kind assets or services donated must reflect fair market value for the time period it is donated. The eligibility and value of in-kind contributions will be assessed by the review committee.

The Alliance KTT-Research and KTT-Mobilization Funding Programs do not have prescribed matching or partner funding requirements. This approach recognizes that this program funds a broad diversity of research that spans the continuum from discovery research through to applied and pre-commercialization research, as well as policy and ‘public good’ research that is less likely to attract third party investment. Furthermore, there are significant differences in the ability of different end users to financially support research projects (e.g. smaller vs. larger industry groups).

OMAFRA wants to understand how their investment is used to leverage research capacity and other supports, as leverage is a key performance indicator for Alliance Programs. So, while Alliance projects do not require matching funding, funding partners show industry and end user pull/support for a project, which helps build a strong rationale for the research. Effort should be made to secure partner support wherever possible.

When documenting your leveraged funding in the RMS, funding partners may have both an Organization and Funding Program (for example NSERC Discovery has the Organization ‘NSERC’ and Funding Program ‘Discovery’). Please ensure you correctly identify these as independent entries (for example do not input the Organization as ‘NSERC Discovery’).

Review committees will take into account the level and nature of partner support that could reasonably be expected for particular types of projects. All partner support, whether cash or in-kind, needs to be fully documented/justified and considered essential to directly carry out the work of the project.

Funding partners can include:
- U of G (Lead Applicant organization) cash support only (e.g. scholarships, start-up funds etc.)
- Federal (including tri-council), provincial (including non-Alliance OMAFRA funding), or municipal governments;
- Other universities/ research Institutions;
- Business and Industry;
- Non-governmental organizations; and
• Individual donors, private foundations
Ineligible partner cash and in-kind:

- In-kind support from OMAFRA (time, resources, supplies, materials, etc.);
- In-kind support from U of G including use or provision of existing supplies, materials, and equipment belonging to the Lead Applicant, Co-Applicant, or U of G collaborators;
- Salaries for individuals that are ‘regular, base-funded’ positions within the applying or donating organization (e.g. government scientists). These individuals, if involved in the project, should be identified on the ‘Project Team Members’ table and invited to participate in the project;
- Other Alliance funding, including graduate student stipends awarded under the HQP Scholarship Program (however these HQP must still be identified the HQP table); and
- Alliance-funded Technician time (however Alliance-funded Technicians must be identified on the team member table to support performance indicator reporting – and their funding source should be identified as “Another OMAFRA Program”).

If your project is dependent on leveraged cash from external sources (any non-U of G, non-Alliance cash support), please ensure you select “Yes” on this field in the OR-5 tab in the RMS.

Overhead/Indirect Costs

Request from Program: Indirect Costs for Alliance-funded research are integrated into the overall OMAFRA-U of G Agreement. No indirect costs are identified at the project level. The overhead percentage identified in the budget tab should remain at 0%.

Cash from Partners: Indirect costs must be included at the applicable rate on partner cash contributions from government and industry sponsors when those contributions leverage OMAFRA funding. Identify these costs in the ‘Operating-Other’ category in the ‘Cash from Partners’ expenditure table and describe them in the budget justification text box. More information is available through the Office of Research webpage about Indirect Costs of Research at the University of Guelph.

Indirect costs levied by a collaborating institution receiving transfers of Alliance project funds are eligible up to 25% and must be included in the budget under ‘Operating-Other’ in the ‘Funds Requested from Program’ expenditure table and described in the budget justification text box (see Collaborative Research Agreement section below).

Building a Project Budget

An Excel version of the budget template is available on the KTT program webpage, KTT: Getting Science Off The Shelf as an OPTIONAL tool to draft and plan your budget. This is for planning purposes only. DO NOT upload this Excel budget to your application. You are required to complete and submit the budget outline provided in the application in the RMS.
1. **Amount Requested from the Program** – identify the funding requested from the Alliance KTT Program for this proposal does not exceed the maximum amount available for the funding stream.

2. **Other Sources of Project Funding** – identifies the cash and in-kind support from partners. This table will appear after indicating ‘Yes’ for ‘Are there any other sources of project funds?’ Click ‘ADD Funding Source’ and provide the details requested for each funding partner supporting the project.

3. **Expenditures of Project Funds** - There are three tables to be completed in the Budget tab (will appear in a pop-up window):
   - Request from Program;
   - Cash from Partners (appears if you have indicated there are other sources of funding for the project); and
   - In-kind Support from Partners (appears if you have indicated there are other sources of funding for the project).

The use of research stations requires cash support from partners to cover the portion of station access fees that is not subsidized by OMAFRA.

Use of program and partner funds should be allocated across budget categories and fiscal years. Each row in the budget corresponds to a U of G fiscal year (May 1 – April 30) that the project will take place. E.g., A 3-year project beginning October 1st would require 4 budget periods (fiscal years) – the first and last periods covering 6 months only.

Use of projects funds must be fully explained/justified in the text boxes provided. Your justifications are critical for reviewers to determine whether your expenses are eligible, commensurate with the nature of your proposed research, and are valued appropriately. Insufficient justification can create uncertainty in the likelihood of project success during panel review; as such, researchers are highly encouraged to fully explain proposed expenditures.

**Budget for Collaborating Researchers**

**Sub-Awards (for U of G Collaborating Researchers)**
If required for the project, a sub-award, with a separate FRS account number, can be set up upon request to allow for a collaborating U of G faculty member to manage a distinct portion of the project budget. Otherwise, U of G Researchers are expected to manage their project spending collaboratively within a single FRS account.

- A separate budget worksheet which provides the details of the sub-award must be uploaded with the proposal. The budget worksheet is available on the Apply for Project Operating Funding (Tier I), [KTT program webpage, KTT: Getting Science Off The Shelf](#).
• In addition, a Letter of Agreement for Internal Transfer of Funds will be required at the time of award.
• It is the Lead Applicant’s responsibility to report on all project activities, including the work of collaborating team members, regardless of the presence of a sub-award or a Collaborating Research Agreement.

Collaborative Research Agreements (for non-U of G Collaborating Researchers)
Alliance project funding awarded for an approved project can be transferred to another organization for use by a team member via a Collaborative Research Agreement (CRA). Typically, CRAs are greater than $10,000 per year and require the completion of a legal agreement between the University of Guelph and the collaborating institution. It is expected that CRAs will not encompass more than 50% of the Amount Requested from the Program. Although CRAs are created post-award, they must be identified at the proposal stage with the following budgetary information:

• If a CRA is required, a separate budget worksheet which provides the details of the budget for the CRA must be uploaded with the proposal. The budget worksheet is available on the KTT program webpage, KTT: Getting Science Off The Shelf.
• Any overhead/indirect costs levied by the receiving institution on such fund transfers of OMAFRA-U of G project support must be included in the amount identified and budgeted for transfer, as there is no other mechanism by which such indirect expenses can be paid. The maximum overhead rate allowed will be 25%.

It is the Lead Applicant’s responsibility to report on all project activities, including the work of collaborating team members.

Intellectual Property (IP) and Non-Disclosure Agreements (NDA)
Under the Intellectual Property tab identify if any background (pre-existing) IP will be used in the project, particularly if it requires a confidentiality or material transfer agreement. Also indicate whether any foreground (new/arising) IP is expected or anticipated to be developed from the project and identify how it will be managed. Please reach out to the Research Innovation Office if you have any questions about IP ownership or management for Alliance funded projects.

If there is any data or other information that is coming into the project or will be generated during the project that will or may be confidential and require an NDA please clearly describe it, including implications for data sharing and dissemination of results (see below).

Access by the Ministry to Records, Data and Third-Party Agreements
Please be aware that OMAFRA may require access to records, data, or agreements that the University has entered into with third parties which relate to your project. If you have any concerns about sharing
APPLICATION CHECKLIST AND POST AWARD PROCESSES

Full Proposal Checklist

☐ Read the current OMAFRA Research Priorities document. Please be sure to read the entire Appendix as some topic areas are not intuitively located within the document.
☐ Attend the U of G KTT Program Virtual Town Hall. Information about this event is available under ‘How to apply’ on the KTT: Getting science off the Shelf webpage.
☐ Develop project concept.
☐ Assemble project team that includes your research capacity, advisors, stakeholders (including OMAFRA staff if applicable), and technicians. Team members must be confirmed through an invitation process. HQP are identified in a separate table.
☐ Connect with your Research Program Director (RPD), College Research Manager, Alliance Research Program Coordinators and Alliance Knowledge Mobilization staff (kttadmin@uoguelph.ca) for support in preparing a strong proposal.
  ☐ The RPD for the Alliance KTT Program is Dr. Alison Duncan (amduncan@uoguelph.ca).
☐ Develop the proposal by completing all tabs in the RMS. Ensure the proposal is complete, well-written and clearly demonstrates how it addresses a specific research question in the priority document.
☐ Append all required documents (e.g., Lead Applicant and Co-Applicant CVs, Value Assessment Plan if applicable) and other supporting documentation as described above.
☐ You can access a PDF version of your proposal any time using the View Application button within the project record. Note, if your proposal is under review, the project record is not editable, but the View Application button is present on the dashboard under the Current Applications>Under Review table.
☐ Submit your Full Proposal in the RMS by the submission deadline (November 30 at 1:00 PM).

Full Proposal Decision Notification and Award Phase

• Researchers will be notified of the outcome of the review and approval process via the RMS.
• Conditionally approved applications must address any conditions in the offer described in the notification email through the RMS. All leveraged funding must be confirmed with a letter of support prior to final approval.
• A Data Management Plan will be a condition of funding for approved KTT-Research projects. A DMP is not required for projects funded through the KTT Mobilization funding stream.
• Award Agreements are issued for projects once the response to conditions of funding have been addressed and approved by the Research Program Director and Alliance staff. Execution of Award Agreements will occur by an online ‘DocuSign’ process. The Lead Applicant and the Department Chair will receive notification via email that there is an Agreement to sign.

• **FRS account numbers** are accessible on the Award Agreement and on the General tab in the RMS.

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**Data Management Plans**

The Ontario Agri-Food Innovation Alliance is committed to fostering sound data management practices to facilitate new agri-food and rural research. As of 2020, researchers awarded funding through the Alliance research program must complete a U of G library-endorsed Data Management Plan (DMP) as a condition of award for their approved project(s) in the KTT-Research stream. A DMP summarizes how data generated over the course of a research project will be stored, shared and maintained. It can help improve the effectiveness and efficiency of a research project as well as help prepare data for preservation and sharing. Visit the [Data Management Plans webpage](#) for more details on how to complete a DMP.

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As of 2020, **Data Management Plans are a condition of funding for all KTT-Research projects. KTT-Mobilization projects do not require a DMP. All DMPs must be reviewed and endorsed by the U of G Library. The Library will submit the DMP to the Alliance.**

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**Post Award-Reporting**

• Annual Progress reports are due 30 days after the anniversary of the project start date (with budget reporting for each fiscal period) and must include reporting on all KTT activities related to the project and a financial update on any sub-award and/or CRA agreements.

• Annual reports will be reviewed and approved if acceptable or revisions may be requested. Funding for the following year of the project will only be released once the report has been approved.

• Final reports are due 60 days following the conclusion of the project. They are critical to the success of the Alliance. Some of the summary fields will be published publicly.

• Reports are reviewed and approved on completeness and merit by Alliance staff and OMAFRA Research Analysts.

• Any changes to the start and end dates, objectives, deliverables or budget in an awarded project, must be requested and approved by OMAFRA through the amendment request process.

• If you have questions about the amendment or reporting process, please contact [rescoord@uoguelph.ca](mailto:rescoord@uoguelph.ca)
Alliance program staff should be notified of any issues affecting project progress as soon as they are identified. Project extensions should be requested at least three months prior to the project end date.

Non-Compliance with the Terms of the Award Agreement

If there is a failure to comply with the terms of the Award Agreement, including reporting requirements, or if there are substantial unresolved issues related to project progress, the Alliance has the right to withhold funds and/or the right to terminate the project.

ACKNOWLEDGING ALLIANCE RESEARCH FUNDING

Recipients of funding must acknowledge Ontario Ministry of Agriculture, Food and Rural Affairs (OMAFRA) support in all public communications products, including news releases, web copy, magazine stories, public-facing reports, interviews, journal articles, conference posters and oral presentations. Visit the Alliance website for more details on acknowledging OMAFRA Funding.

APPEAL PROCESS

To ensure the transparency and rigour of the processes involved in the review and selection of Full Proposals, the Ontario Agri-Food Innovation Alliance Research Program has established a policy to guide the appeals process.

The primary purpose of the appeal is to correct errors, omissions or mistakes made by the review committees during the review of the Full Proposal. These errors are rare, however, in order to maintain fairness and equity to all applicants, the Program does permit appeals under the specific circumstances outlined below.

Appeals are heard only where the researcher demonstrates that an error of fact or process, or inadvertent omission of information has been made by the review committees. A researcher who has had a Full Proposal rejected, or an active project terminated prior to its normal end date, may request a review or appeal of the specific process used in the evaluation or assessment of the proposal or project. All researchers are entitled to receive a written communication indicating the decision regarding the approval or decline of the funding for their Full Proposal or active project, which will include the rationale behind that decision.

A written request for a review/appeal must be submitted within 30 calendar days from the date of the documented notification of decision and must include written evidence of error in the evaluation or assessment process. The request for appeal should be addressed to the Associate Vice-President, Research (Agri-Food Partnership) (AVPR).

The AVPR will determine if sufficient evidence exists for a formal appeal. Once a determination has been made to proceed with an appeal hearing, the AVPR will, in collaboration with the other co-chair
of the Research Program Management Committee, convene a meeting of an appropriate Appeal Committee as per the following:

1. The AVPR will Chair the Appeal Committee.
2. The Appeal Committee may consist of one or more of the Research Program Directors (RPDs) and up to two (2) OMAFRA representatives as appropriate. This committee will not include the Research Program Director(s) of the priority area(s) where the project fits.
3. All relevant written materials generated concerning the project in question, prior to the date of the request for review, will be supplied to the Appeal Committee at least five (5) business days in advance of the meeting.
4. The RPD of the relevant priority area will present an oral report to the Appeal Committee summarizing the process followed and actions taken pertaining to the decision in question. The RPD will then be excused from the balance of the appeal proceedings.
5. The Appeal Committee will then receive evidence from the researcher concerning the project in question, specifically addressing the errors or omissions which have been alleged to have occurred.

The Appeal Committee will then determine, by consensus, a recommendation on the Appeal which will be presented to the Executive Committee for a final, binding decision on the matter. A written decision communicating the Executive Committee’s decision will be presented to both the researcher and the Research Program Director. No further appeals will be permitted within either the University or OMAFRA systems.
APPENDIX A: PANEL REVIEW SCORECARD FOR KTT RESEARCH PROJECTS

The criteria used by review committee members to assess proposals is provided below.

1. RESEARCH TEAM (see Team section of the proposal)

Evaluate the qualifications and suitability of the lead applicant, co-applicant (if applicable) and team members to conduct the research proposed and to achieve the project outcomes. Are there gaps in the expertise required to complete the project?

- **Outstanding**: Lead Applicant is a leader in the field. Exceptional expertise from all necessary disciplines represented and contribution of each is fully defined and appropriate.

- **Very Good**: Lead Applicant is highly regarded in the field or has the potential to be a field leader. The research team has a proven track record in the proposed research area. Roles and responsibilities are clearly articulated.

- **Good**: Lead Applicant is appropriate to lead the study. The research team has experience in the proposed research area. Some revision needed: either additional expertise or better description of team member roles and responsibilities.

- **Sufficient**: The Lead Applicant and research team have some experience in the proposed research area. Key areas of scientific or technical expertise or industry collaboration are deficient. Roles and responsibilities are not well defined.

- **Marginal**: The research team lacks the breadth of experience in the field(s) outlined in the proposal. Project outcomes may be compromised by the lack of experience.

- **Unsatisfactory**: Significant weakness in the research team composition. Project outcomes will be compromised by this weakness.

2. HIGHLY QUALIFIED PERSONAL (HQP) (see Team and Budget section of the proposal)

The training of HQP is an important objective of the Ontario Agri-Food Innovation Alliance. Evaluate the training and development of HQP.

The HQP training as described is:

- **Outstanding**: HQP training includes graduate student and/or Post-Doctoral Fellows and meets or exceeds expectations for a project of this nature.

- **Good**: Makes an appropriate contribution to HQP development for a project of this nature. Graduate students and/or Post-Doctoral Fellows will be trained.

- **Marginal**: Some HQP development (e.g. undergraduates). No graduate students or Post-Doctoral Fellows will be trained.

- **Unsatisfactory**: No HQP trained.
3. OBJECTIVES (see Objectives section of the proposal)

Evaluate the project objectives: Are the objectives clear and well developed? To what extent will the project address to the research question(s) identified and realize the intended benefits of the project?

The project objectives are:

- **Outstanding**: Objectives are detailed, realistic and very well developed. All project elements fall within the identified research question(s). Anticipated project outcomes and benefits are very likely to be achieved.

- **Very Good**: Clear and detailed description of objectives. All project elements fall within the identified research question(s). Anticipated project outcomes are likely to be achieved.

- **Good**: Objectives are appropriate and fall within the identified research question(s) but minor deficiencies are observed (e.g., lack of clarity, or 1 or 2 project elements out of scope and/or are not in full alignment with the research question(s) identified).

- **Sufficient**: Objectives are reasonable but lack detail, requiring moderate revisions. Project is limited in scope and/or has some elements that do not fall within the identified research question(s). Anticipated benefits of the project may not be fully realized.

- **Marginal**: Objectives are vague or not well developed. Many project elements are out of scope and/or marginally fall within the identified research question(s).

- **Unsatisfactory**: Objectives are vague and poorly developed. Objectives do not fall within the identified research question(s)) and the intended benefits of the project are unclear.

4. RESEARCH IMPACT/BENEFIT (see Project Description, Alignment with OMAFRA Priorities, Objectives, Benefits & Rationale and Deliverables sections of the proposal) (2x weight)

Evaluate the likelihood of this proposal generating a positive impact on the Ontario agri-food sector or rural communities. Is the rationale for the study clearly articulated and does it provide sufficient justification for the project (e.g. knowledge gap to be addressed, problem to be solved)?

The potential impact/benefit of the project is:

- **Outstanding**: Project is very likely to advance the field. Project will contribute significant, lasting benefits to Ontario's agri-food sector/rural communities. Very clear and compelling description of expected benefits that are realistic and exceptional in their potential for impact on the sector.

- **Very Good**: Project will provide significant new knowledge that contributes to Ontario's agri-food sector/rural communities. Benefits for the project are realistic and clearly described.

- **Good**: Project will provide incremental or temporary benefits for Ontario's agri-food sector/rural communities. The benefits are reasonable.
• **Sufficient:** Project will provide limited benefits for Ontario's agri-food sector/rural communities. The benefits are not fully described or are under or over-stated.

• **Marginal:** Extent of the potential impact of the project is not clear. A description of the benefits is lacking.

• **Unsatisfactory:** Little benefit evident for Ontario’s agri-food sector/rural communities.

5. EXPERIMENTAL PLAN (see Methodology and Milestone sections of the proposal)

Evaluate the quality and clarity of experimental design: Are the methods clear and do they provide sufficient detail to determine the course of the project? Do the methods support the project plan (objectives, milestones and deliverables)? Can the methods realistically achieve the deliverables within the stated timeframes?

The experimental plan as described is:

• **Outstanding:** Approach is very well developed and is highly innovative and/or original. Methods and milestones are sound and designed to deliver on the project objectives and deliverables. Probability of success is very high.

• **Very Good:** Approach is clear and detailed. Methods and milestones are appropriate to complete the project objectives and deliverables. It is likely the project and milestones will be completed successfully and on time.

• **Good:** Approach is reasonable. Methods and milestones appear appropriate to complete the project objectives and deliverables. The project should be completed on time however minor revision (additional detail or clarity) of the methods or milestone timing/details may be required.

• **Sufficient:** Project may be completed successfully but the approach lacks clarity or some detail. Some aspects of project timing may be unrealistic and additional detail or clarity of the methods or milestones is required to be confident project objectives and deliverables will be met.

• **Marginal:** Approach is vague and/or is unlikely to produce the planned results. The project timing appears unrealistic and significant additional detail or clarity of the methods is required.

• **Unsatisfactory:** Insufficient detail to assess approach. Unlikely that the project can be completed successfully.

6. DELIVERABLES (See Deliverables section of the proposal)

Evaluate the project deliverables: Are the deliverables clear, tangible, measurable and achievable within the project timeframe? If fully achieved, will the deliverables result in the outcomes and impact described in the proposal?

The deliverables are:
• **Outstanding:** Deliverables are comprehensive, fully detailed, measurable and clearly achievable. Anticipated outcomes and impact very likely to be achieved.

• **Very Good:** Clear and concise description of project deliverables resulting in tangible outcomes. Anticipated outcomes and impact likely to be achieved.

• **Good:** Deliverables are clear and appropriate but weaknesses observed. Minor revision required to improve clarity and detail or ensure deliverables are tangible.

• **Sufficient:** Deliverables are reasonable but not clearly defined. Moderate revision required to ensure project deliverables are tangible and linked to desired outcomes.

• **Marginal:** Deliverables are not well described or vague and are unlikely to produce the planned results. Major revision required.

• **Unsatisfactory:** Deliverables poorly developed or unrealistic. Project will not result in tangible outcomes.

7. KNOWLEDGE TRANSLATION AND TRANSFER (KTT) (see KTT Plan section of the proposal)

Taking into account the KTT reviewer’s comments and using the KTT Plan Appraisal Tool, evaluate the quality of the KTT plan: Are the intended audiences appropriate for the project? Are the proposed KTT activities suitable for the intended audience(s)? Are the KTT activities clear and achievable within the project timeframe and are appropriate resources allocated (personnel and money)?

The KTT plan is:

• **Outstanding:** The KTT Plan components are fully, clearly, and comprehensively described with excellence/innovation of approach.

• **Very Good:** The KTT Plan components are fully, clearly, and comprehensively described.

• **Good:** The KTT Plan components are well described with most details and the plan is mostly clear.

• **Sufficient:** The KTT Plan components are described without elaboration and some points are unclear.

• **Marginal:** The KTT Plan components are vaguely mentioned or mainly unclear.

• **Unsatisfactory:** The KTT Plan components are missing or not present.

8. BUDGET (see Budget section of the proposal)

Evaluate the budget: Is the budget appropriate for the work proposed? Does the amount of funding requested seem appropriate and expenditures linked to the outcomes described? Are all budget items sufficiently described/justified and valued appropriately?
The budget as presented is:

- **Outstanding**: Budget is clear, very well developed and represents great value for money. All items are fully described and justified in the budget notes and valued correctly.

- **Very Good**: Budget is clear, appropriate for the scale of the proposed research and represents good value for money. All items are sufficiently described and justified and valued correctly but may require minor revisions.

- **Good**: Budget is reasonable for the scale of the proposed research but requires moderate revisions (such as additional clarity and justification for items or more appropriate valuation of some budget items).

- **Sufficient**: Budget is acceptable for the scale of the proposed research but requires moderate revisions (such as additional clarity and justification for items or more appropriate valuation of some budget items). Alignment of expenditures with project outcomes not fully clear.

- **Marginal**: Budget is somewhat appropriate but requires major revisions. Budget items are not sufficiently described or justified or are valued improperly. Alignment of expenditures with project outcomes not fully clear.

- **Unsatisfactory**: Budget is disproportionate to the work proposed or insufficiently described to assess. Budget items not valued appropriately (clearly unrealistic or over- or underestimated) and/or inadequately justified (poorly explained). Budget does not represent good value for money.

### 9. LEVERAGE AND PARTNERSHIPS (see Budget and Team sections of the proposal)

Evaluate the leverage and partnerships: Is the level of partnerships and external support (letters of support, expertise, facilities, equipment, cash, in-kind) adequate? Where appropriate, is there evidence that relevant partners are contributing to the project or will be contacted?

**Note**: While projects do not require matching funding, funding partners show end-user pull/support for a project, which helps build a strong rationale for the research. The appropriate level of leverage funds and partnerships will vary by project depending on the nature of the study. **Please focus on the appropriateness of both cash and in-kind leverage and other evidence of end user support for the type of project.**

The leverage and partnerships are:

- **Outstanding**: Project significantly exceeds the leveraged funds and/or partnerships expected given the type of research. The funds and partnerships are confirmed by documentation (letters of support, in-kind and cash contributions).

- **Very Good**: Project has a high level of leveraged funds and/or partnerships given the type of research. Most stakeholder support is confirmed (letters of support, in-kind and cash contributions) or plans for gaining such support are provided.
• **Good**: Project has the adequate level of leveraged funds and/or partnerships given the type of research. It has some stakeholder support confirmed (letters of support, in-kind and cash contributions) or plans for gaining such support are provided.

• **Sufficient**: Project has nearly adequate level of leveraged funds and/or partnerships. It has limited stakeholder support confirmed (letters of support, in-kind and cash contributions) or plans for gaining such support.

• **Marginal**: Project has limited leveraged funds and/or appropriate partnerships given the type of research. There are no letters of support and/or in-kind contributions. Essential facilities and/or access to equipment may be lacking.

• **Unsatisfactory**: Project does not have adequate levels of leveraged funds and/or appropriate partnerships. It has no industry or stakeholder support or plans for gaining support. Essential facilities and/or access to equipment are lacking.

10. **OVERALL COMMENTS** (not scored)

Please summarize your overall assessment of the project and any comments you feel will support decision-making. Please provide any feedback you would recommend for the researchers (e.g. conditions of funding if the proposal is funded)

**Your overall evaluation for this project is:**

Outstanding; Very Good; Good; Sufficient; Marginal; Unsatisfactory
APPENDIX B: PANEL REVIEW SCORECARD FOR KTT MOBILIZATION PROJECTS

The criteria used by review committee members to assess proposals is provided below.

1. RESEARCH TEAM (see Team section of the proposal)

Evaluate the qualifications and suitability of the Lead Applicant, Co-Applicant (if applicable) and team members to conduct the research proposed and to achieve the project outcomes. Are there gaps in the expertise required to complete the project?

- **Outstanding**: Lead Applicant is a leader in the field. Exceptional expertise from all necessary disciplines represented on the team and the contribution of each member is fully defined and appropriate. Excellent HQP participation.

- **Very Good**: Lead Applicant is highly regarded in the field or has the potential to be a leader in the field. The research team has a proven track record in the proposed research area. Roles and responsibilities are clearly articulated. Very good HQP participation.

- **Good**: Lead Applicant is appropriate to lead the project. The research team has experience in the proposed research area and mobilization activities. Some revision needed: either additional expertise or better description of team member roles and responsibilities. Good HQP participation.

- **Sufficient**: The Lead Applicant and research team have some experience in the proposed research area and mobilization activities. Key areas of technical expertise or industry collaboration are deficient. Roles and responsibilities are not well defined. Sufficient HQP participation.

- **Marginal**: The research team lacks the breadth of experience in the field(s) outlined in the proposal. Project outcomes may be compromised by the lack of experience. Marginal HQP participation.

- **Unsatisfactory**: Significant weakness in the research team composition. Project outcomes will be compromised by this weakness. Unsatisfactory HQP participation.

2. Audience

Is the identified audience the appropriate target for the research knowledge? Will the identified audience benefit from the research knowledge? To what extent will this benefit extend to the wider agri-food sector and/or rural communities?

The identified project audience is:

- **Outstanding**: Audience is the clear primary beneficiary of the research knowledge. The audience will benefit from the knowledge and this benefit will likely extend to the wider agri-food sector/rural community.
- **Very Good:** Audience is an appropriate target for the activity. The audience will benefit from the knowledge.

- **Good:** Audience is an adequate fit for the identified research knowledge and should benefit from the information but may not be the ideal target audience. Some revision to include additional or slightly modified/targeted audience may be required.

- **Sufficient:** Audience may benefit from the research knowledge, but key audiences are missing. Moderate revisions required for project benefits to be fully realized.

- **Marginal:** Audience is vague or not a good target for the research knowledge. As a result, it is unlikely that the full benefits of the knowledge will be realized in this project.

- **Unsatisfactory:** Audience is not a fit for the research knowledge or proposed activity. Significant revisions are required for the project to be effective.

3. OBJECTIVES (see Objectives section of the proposal)

Evaluate the project objectives: Are the objectives clear and well developed? To what extent will the project address to the research question(s) identified and realize the intended benefits of the project?

The project objectives are:

- **Outstanding:** Objectives are detailed, realistic and very well developed. All project elements fall within the identified research question(s). Anticipated project outcomes and benefits are very likely to be achieved.

- **Very Good:** Clear and detailed description of objectives. All project elements fall within the identified research question(s). Anticipated project outcomes are likely to be achieved.

- **Good:** Objectives are appropriate and fall within the identified research question(s) but minor deficiencies are observed (e.g., lack of clarity, or 1 or 2 project elements out of scope and/or are not in full alignment with the research question(s) identified).

- **Sufficient:** Objectives are reasonable but lack detail, requiring moderate revisions. Project is limited in scope and/or has some elements that do not fall within the identified research question(s). Anticipated benefits of the project may not be fully realized.

- **Marginal:** Objectives are vague or not well developed. Many project elements are out of scope and/or marginally fall within the established research question(s).

- **Unsatisfactory:** Objectives are vague and poorly developed. Objectives do not fall within the established research question(s) and the intended benefits of the project are unclear.
4. RESEARCH IMPACT/BENEFIT (see Project Description, Alignment with OMAFRA Priorities, Objectives, Benefits & Rationale and Deliverables sections of the proposal) (2x weight)

Evaluate the likelihood of this proposal generating a positive impact on the Ontario agri-food sector or rural communities. Is the rationale for the study clearly articulated and does it provide sufficient justification for the project (e.g., knowledge gap to be addressed, problem to be solved)?

The potential impact/benefit of the project is:

- **Outstanding**: Project is very likely to advance the field. Project will contribute significant, lasting benefits to Ontario's agri-food sector/rural communities. Very clear and compelling description of expected benefits that are realistic and exceptional in their potential for impact on the sector.

- **Very Good**: Project will provide significant new knowledge that contributes to Ontario's agri-food sector/rural communities. Benefits for the project are realistic and clearly described.

- **Good**: Project will provide incremental or temporary benefits for Ontario's agri-food sector/rural communities. The benefits are reasonable.

- **Sufficient**: Project will provide limited benefits for Ontario's agri-food sector/rural communities. The benefits are not fully described or are under or over-stated.

- **Marginal**: Extent of the potential impact of the project is not clear. A description of the benefits is lacking.

- **Unsatisfactory**: Little benefit evident for Ontario’s agri-food sector/rural communities.

5. EXPERIMENTAL PLAN (see Methodology and Milestone sections of the proposal)

Evaluate the quality and clarity of experimental design: Are the methods clear and do they provide sufficient detail to determine the course of the project? Do the methods support the project plan (objectives, milestones and deliverables)? Can these methods realistically achieve the deliverables within the stated timeframes? Are the milestones sufficient in number and detail to understand the project plan and track project progress?

The experimental plan as described is:

- **Outstanding**: Approach is very well developed and is highly innovative and/or original. Methods and milestones are sound and designed to deliver on the project objectives and deliverables. Probability of success is very high.

- **Very Good**: Approach is clear and detailed. Methods and milestones are appropriate to complete the project objectives and deliverables. It is likely the project and milestones will be completed successfully and on time.

- **Good**: Approach is reasonable. Methods and milestones appear appropriate to complete the project objectives and deliverables. The project should be completed on time however minor
revision (additional detail or clarity) of the methods or milestone timing/details may be required.

- **Sufficient**: Project may be completed successfully but the approach lacks clarity or some detail. Some aspects of project timing may be unrealistic and additional detail or clarity of the methods or milestones is required to be confident project objectives and deliverables will be met.

- **Marginal**: Approach is vague and/or is unlikely to produce the planned results. The project timing appears unrealistic and significant additional detail or clarity of the methods is required.

- **Unsatisfactory**: Insufficient detail to assess approach. Unlikely that the project can be completed successfully.

6. DELIVERABLES (See Deliverables section of Proposal)

Evaluate the project deliverables: Are the deliverables clear, tangible, measurable and achievable within the project timeframe? If fully achieved, will the deliverables result in the outcomes and impact described in the proposal?

The deliverables are:

- **Outstanding**: Deliverables are comprehensive, fully detailed, measurable and clearly achievable. Anticipated outcomes and impact very likely to be achieved.

- **Very Good**: Clear and concise description of project deliverables resulting in tangible outcomes. Anticipated outcomes and impact likely to be achieved.

- **Good**: Deliverables are clear and appropriate, but weaknesses observed. Minor revision required to improve clarity and detail or ensure deliverables are tangible.

- **Sufficient**: Deliverables are reasonable but not clearly defined. Moderate revision required to ensure project deliverables are tangible and linked to desired outcomes.

- **Marginal**: Deliverables are not well described or vague and are unlikely to produce the planned results. Major revision required.

- **Unsatisfactory**: Deliverables poorly developed or unrealistic. Project will not result in tangible outcomes.

7. BUDGET (see Budget section of the proposal)

Evaluate the budget: Is the budget appropriate for the work proposed? Does the amount of funding requested seem appropriate and expenditures linked to the outcomes described? Are all budget items sufficiently described/justified and valued appropriately?

The budget as presented is:
• **Outstanding**: Budget is clear, very well developed and represents great value for money. All items are fully described and justified in the budget notes and valued correctly.

• **Very Good**: Budget is clear, appropriate for the scale of the proposed research and represents good value for money. All items are sufficiently described and justified and valued correctly but may require minor revisions.

• **Good**: Budget is reasonable for the scale of the proposed research but requires moderate revisions (such as additional clarity and justification for items or more appropriate valuation of some budget items).

• **Sufficient**: Budget is acceptable for the scale of the proposed research but requires moderate revisions (such as additional clarity and justification for items or more appropriate valuation of some budget items). Alignment of expenditures with project outcomes not fully clear.

• **Marginal**: Budget is somewhat appropriate but requires major revisions. Budget items are not sufficiently described or justified or are valued improperly. Alignment of expenditures with project outcomes not fully clear.

• **Unsatisfactory**: Budget is disproportionate to the work proposed or insufficiently described to assess. Budget items not valued appropriately (clearly unrealistic or over- or underestimated) and/or inadequately justified (poorly explained). Budget does not represent good value for money.

8. LEVERAGE AND PARTNERSHIPS (see Budget and Team sections of the proposal)

Evaluate the leverage and partnerships: Is the level of partnerships and external support (letters of support, expertise, facilities, equipment, cash, in-kind) adequate? Where appropriate, is there evidence that relevant partners are contributing to the project or will be contacted?

**Note**: While projects do not require matching funding, funding partners show end-user pull/support for a project, which helps build a strong rationale for the research. The appropriate level of leverage funds and partnerships will vary by project depending on the nature of the study. Please focus on the appropriateness of both cash and in-kind leverage and other evidence of end user support for the type of project.

The leverage and partnerships are:

• **Outstanding**: Project significantly exceeds the leveraged funds and/or partnerships expected given the type of research. The funds and partnerships are confirmed by documentation (letters of support, in-kind and cash contributions).

• **Very Good**: Project has a high level of leveraged funds and/or partnerships given the type of research. Most stakeholder support is confirmed (letters of support, in-kind and cash contributions) and plans for gaining unconfirmed support are provided.
• **Good**: Project has the adequate level of leveraged funds and/or partnerships given the type of research. It has some stakeholder support confirmed (letters of support, in-kind and cash contributions) or plans for gaining such support are provided.

• **Sufficient**: Project has nearly adequate level of leveraged funds and/or partnerships. It has limited stakeholder support confirmed (letters of support, in-kind and cash contributions) or plans for gaining such support.

• **Marginal**: Project has limited leveraged funds and/or appropriate partnerships given the type of research. There are no letters of support and/or in-kind contributions. Essential facilities and/or access to equipment may be lacking.

• **Unsatisfactory**: Project does not have adequate levels of leveraged funds and/or appropriate partnerships. It has no industry or stakeholder support or plans for gaining support. Essential facilities and/or access to equipment are lacking.

9. **OVERALL COMMENTS** *(not scored)*

Please summarize your overall assessment of the project and any comments you feel will support decision-making. Please provide any feedback you would recommend for the researchers (e.g. conditions of funding if the proposal is funded).

**Your overall evaluation for this project is:**

Outstanding; Very Good; Good; Sufficient; Marginal; Unsatisfactory