

# Development of a New Robust Controller with Velocity Estimator for Docked Mobile Robots: Theory and Experiments

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**Abstract**—The tracking control problem of docked mobile robot systems is challenging due to their nonlinear and underactuated system dynamics as well as limited access to the required states of robots. The majority of the previously developed controllers in the literature are not robust to model uncertainties and are based on the assumption that full-states are accessible. In this paper, we develop a new robust tracking controller for a docked nonholonomic mobile robotic system with online velocity estimation. Our proposed controller, composed of sliding mode and robust saturation controllers, is developed to be robust to external disturbances, unmodeled dynamics and parameter uncertainties. To provide the required states for the controller, a model-aided particle filter estimator is developed to estimate the translational and rotational velocities. We perform several experiments to verify the effectiveness of our proposed control and estimation methodologies as well as the integrated system. We also compare our results with some conventional controllers developed in the literature, such as sliding mode control, and demonstrate its superior performance in terms of unmodeled dynamics and parametric uncertainties. This comparison indicated that the steady state tracking performance increases by up to 28.7% and 22.2% under parametric uncertainties and unmodeled dynamics, respectively, showing a significant improvement over the sliding mode control. Our proposed integrated (controller-estimator) method can be used in uncertain systems with good tracking performance where accessing velocity directly is not possible.

**Index Terms**—Docked Mobile Robots, Robust Tracking Control, Velocity Estimator.

## I. INTRODUCTION

Multiple mobile robots have enhanced functionalities compared to a single robot while being more efficient and reliable. More specifically, when multiple robots are capable of being docked to each other, their range of operations will significantly increase, e.g., ability to transport heavier loads, transfer powers amongst robots (as charging units), mobility in rough terrains, robustness to hardware failure, and self-perception. Some important applications of docked mobile robots (DMR) are seen in agriculture [1], search and rescue in rough terrains [2], luggage carriers [3], and manipulation and transportation of wire-like objects [4].

Mechanical structures of docking mechanisms in DMR can be generally classified into two categories: on-axle and off-axle hitching. In DMR with on-axle hitching, the joint between the leader (front robot) and follower (rear robot) is located at

the center of the rear axle of the leader robot. In DMR with off-axle hitching, the joint between the leader and follower robots is located at a point away from the rear axle of the leader robot. Although DMR with on-axle hitching has been widely used in the literature [5], [6], it confines the mobility and maneuverability of the system as reported in [7]. On the other hand, off-axle offers better tracking performance and it is capable of traveling in the narrower tracks due to requiring smaller width of path, yet, its kinematic structure is more complicated [8]. In this paper, we are concerned with an off-axle hitched DMR because it outperforms the trajectory tracking compared to on-axle hitched DMR.

In practical applications of robotic systems, robust control methods, such as sliding mode control [9], [10],  $H_\infty$  optimal control [11], [12], and adaptive robust control [13], [14], have been proven to outperform conventional controllers. To increase the functionality of DMR, thus, it is necessary to develop realistic robust motion controllers that can effectively navigate such robots. Several motion controllers for DMR have been developed, such as tracking control, stabilization, assistance, backward driving control, and formation control. Among the aforementioned control problems, tracking control is most important in applications where autonomous motion is desirable. Tracking control of DMR is complex due to being underactuated as well as having nonlinear dynamics and nonholonomic constraints. There are several attempts to tackle this problem which can be categorized into kinematics-based tracking control design [5], [15–19] and dynamics-based [6], [20], [21]. While most of the developed tracking controllers in the literature use only kinematics of DMR in their design, incorporating dynamics is crucial to improve the robustness of the DMR system especially when dimension of system is large, the weight of robots/vehicles is considerable, or when they carry or drop off heavy loads. Thus, a controller needs to be designed taking into account the dynamics of the system such that it is robust to unmodeled dynamics uncertainties. Only a few papers have considered dynamics of DMR in their control design [6], [21]. In [21], a linear quadratic regulator based on the linearized dynamic model was proposed for a tractor-trailer system. However, the robustness of the controller is questionable because of using linearization. In [6], a robust adaptive tracking controller was designed for a tractor-trailer robotic system including robots' dynamics. As was also mentioned in [6], the controller is not robust to unmodeled dynamics uncertainties, and it is based on the assumption that all the control states are available without providing details

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Table I: Parameters used to present DMR.

Symbol	Definition
$O_n$	geometric center of $n^{th}$ robot
$CO_n$	center of mass of $n^{th}$ robot and docking link
$(x_n, y_n)$	coordinates of $n^{th}$ robot's center of mass
$b$	half of the distance between wheels of robot
$r$	radius of driving wheel
$d_n$	distance between $n^{th}$ robot geometric center and center of mass
$h_n$	distance between the joint and center of mass of $n^{th}$ robot
$m_n$	mass of $n^{th}$ robot
$m_{o_n}$	mass of each docking link connected to $n^{th}$ robot
$\theta_n$	orientation of $n^{th}$ robot
$v_n$	translational velocity of $n^{th}$ robot
$\omega_n$	rotational velocity of $n^{th}$ robot
$I_n$	moment of inertia of $n^{th}$ robot and docking link
$\tau_R$	applied torque to the right wheel of leader robot
$\tau_L$	applied torque to the left wheel of leader robot

\*  $n = 1, 2$  corresponds to the leader and follower robots, respectively.

Section IV provides the development of the estimator, Section V presents the simulation and experimental results, and Section VI concludes the paper.

## II. GOVERNING DYNAMICS

The DMR considered in this study is a two-docked mobile robotic system shown in Fig. 1. This system includes a mobile robot, defined as a leader (robot 1), docked to a follower robot (robot 2) via rigid links and a flexible joint. The links and joint allow the connected robots to have a relative rotational motion with respect to each other. The nature of hitching in the docking mechanism is considered to be off-axle. The two mobile robots are nonholonomic differential drive identical to each other but with different masses. The center of mass of each robot has an offset ( $d_1$  and  $d_2$ ) with the geometric center as shown in Fig. 1. The parameters of the considered DMR are listed in Table I. The configuration of the DMR is given by  $\mathbf{q} = [x_2, y_2, \theta_2, \theta_1]^T$ , where  $(x_2, y_2)$  is the coordinate of the follower's center of mass, and  $\theta_1$  and  $\theta_2$  are the orientations of the leader and follower robots, respectively. The reason that we can present the entire DMR system with only four generalized coordinates is because the leader position can be found using

$$x_1 = x_2 + h_2 \cos \theta_2 + h_1 \cos \theta_1 \quad (1)$$

$$y_1 = y_2 + h_2 \sin \theta_2 + h_1 \sin \theta_1. \quad (2)$$

Two types of kinematic constraints exist in the system: (i) docking constraints, and (ii) nonholonomic constraints. The docking constraints indicate the relation between the leader and follower robots' translational and rotational velocities, and are expressed as

$$v_2 = v_1 \cos(\theta_1 - \theta_2) + \omega_1(h_1 + d_1) \sin(\theta_1 - \theta_2)$$

$$\omega_2 = \frac{1}{2d_2 + h_2} [v_1 \sin(\theta_1 - \theta_2) - \omega_1(h_1 + d_1) \cos(\theta_1 - \theta_2)]. \quad (3)$$

The nonholonomic constraints are due to no-slip conditions that do not allow robots to slide sideways and are given by

$$\sin \theta_1 \dot{x}_2 - \cos \theta_1 \dot{y}_2 - h_2 \cos(\theta_1 - \theta_2) \dot{\theta}_2 + (d_1 - h_1) \dot{\theta}_1 = 0$$

$$\sin \theta_2 \dot{x}_2 - \cos \theta_2 \dot{y}_2 + d_2 \dot{\theta}_2 = 0. \quad (4)$$

on how to obtain them. In addition, tracking errors reported in [6] are rather large, and an on-axle trailer is considered which may limit maneuverability and adversely affect the tracking performance. In summary, the existing controllers in the literature for DMR are not robust to unmodeled dynamic uncertainties [6], [17], [18]. In this paper, we develop a new robust control method incorporating dynamics of DMR system, which is robust to model uncertainties (including unmodeled dynamics and parameter uncertainties) and without the assumption that full-state feedback is required.

The majority of the developed controllers for DMR in the literature require accessing velocity to achieve good performance [5], [6], [15], [22], and hence their performance will be deteriorated when the required control states cannot be measured accurately. In real applications, accessing the velocity is difficult due to limitations in measuring velocity directly using sensors; and, even if measured, its signal would be noisy. This failure in measuring robots' velocity leads to inefficient control performance. To make the controller more practical and applicable to a wider range of robotic tasks, it is necessary to develop an estimator to provide the necessary state(s) for the controller. Most of velocity estimators have been only developed for non-docked robots [23–26], and to the best of our knowledge, there is no work for DMR in the literature that has developed a methodology for estimating velocity and accordingly design a robust controller that can handle unmodeled uncertainties with good tracking error. Due to [nonlinearities existing](#) in the dynamics of DMR, a nonlinear estimator has to be developed that can accurately provide the required control states. In our paper, we develop a new estimator based on particle filter algorithm that fuses sensory data with the dynamics of DMR to provide a good estimate of translational and rotational velocities.

In this paper, we develop (1) a new robust tracking controller composed of two controllers: sliding mode and robust saturation controller. The sliding mode controller is designed for tracking under disturbances yet it does not guarantee robustness under model uncertainties. The robust saturation controller handles the model uncertainties to achieve good robust tracking, (2) an estimator to provide the required states (for control) that cannot be measured directly. [Our proposed methodology is general and can be extended \(and applied\) to any underactuated robotic system with nonlinear dynamics and nonholonomic constraints for trajectory tracking purposes.](#) In summary, the contributions of the paper are as follows: (i) development of a new robust controller that can handle model uncertainties such as unmodeled dynamics and parametric uncertainties; useful when robots/vehicles carry uncertain loads, (ii) development of a new particle filter-based estimator to estimate rotational and translational velocities [profile given the availability of position and orientation sensory data and a dynamic model of the system,](#) (iii) validation of the performance of our developed integrated controller and estimator experimentally and demonstrate its effectiveness in comparison to some well-known controllers.

The rest of the paper is organized as follows. Section II presents kinematics and dynamic models for a two-docked mobile robot system, Section III presents the control design,

The dynamics of DMR with nonholonomic constraints is described by

$$M(\mathbf{q})\ddot{\mathbf{q}} + \mathbf{V}(\mathbf{q}, \dot{\mathbf{q}})\dot{\mathbf{q}} = \mathbf{B}(\mathbf{q})\boldsymbol{\tau} - \mathbf{A}^T(\mathbf{q})\boldsymbol{\lambda} \quad (5)$$

in which  $M(\mathbf{q}) \in \mathbb{R}^{4 \times 4}$  is the positive definite inertia matrix,  $\mathbf{V}(\mathbf{q}, \dot{\mathbf{q}}) \in \mathbb{R}^{4 \times 4}$  represents centrifugal and Coriolis terms,  $\mathbf{B}(\mathbf{q}) \in \mathbb{R}^{4 \times 2}$  is a transformation matrix,  $\mathbf{A}(\mathbf{q}) \in \mathbb{R}^{2 \times 4}$  is related to nonholonomic constraints,  $\boldsymbol{\lambda} \in \mathbb{R}^{2 \times 1}$  is the constraint force vector,  $\mathbf{q}$  is the generalized coordinates introduced previously, and  $\boldsymbol{\tau} \in \mathbb{R}^{2 \times 1}$  is the input vector indicating the applied torque required to drive the DMR. In this paper, because of kinematic constraints, we assumed that the follower robot is passive and cannot be actuated; thus, the input vector is  $\boldsymbol{\tau} = [\tau_R, \tau_L]^T$ , where  $\tau_R$  and  $\tau_L$  are the applied torques of the right and left wheel of the leader robot, respectively.

Assuming  $d_1$  and  $d_2$  are negligible, the matrices  $M(\mathbf{q})$ ,  $\mathbf{V}(\mathbf{q}, \dot{\mathbf{q}})$ ,  $\mathbf{B}(\mathbf{q})$  and  $\mathbf{A}(\mathbf{q})$  are found as

$$M(\mathbf{q}) = \begin{bmatrix} a_{11} & 0 & a_{13} & a_{14} \\ 0 & a_{11} & a_{23} & a_{24} \\ a_{13} & a_{23} & a_{33} & a_{34} \\ a_{14} & a_{24} & a_{34} & a_{44} \end{bmatrix}$$

$$\mathbf{V}(\mathbf{q}, \dot{\mathbf{q}}) = \begin{bmatrix} 0 & 0 & -h_2(m_1 + m_{o_1})c_2\dot{\theta}_2 & -h_1(m_1 + m_{o_1})c_1\dot{\theta}_1 \\ 0 & 0 & -h_2(m_1 + m_{o_1})s_2\dot{\theta}_2 & -h_1(m_1 + m_{o_1})s_1\dot{\theta}_1 \\ 0 & 0 & 0 & -h_1h_2(m_1 + m_{o_1})s_{12}\dot{\theta}_1 \\ 0 & 0 & h_1h_2(m_1 + m_{o_1})s_{12}\dot{\theta}_2 & 0 \end{bmatrix}$$

$$\mathbf{B}(\mathbf{q}) = \frac{1}{r} \begin{bmatrix} c_1 & c_1 \\ s_1 & s_1 \\ b & -b \\ h_2s_{12} & h_2s_{12} \end{bmatrix}, \quad \mathbf{A}(\mathbf{q}) = \begin{bmatrix} s_1 & -c_1 & -h_2c_{12} & -h_1 \\ s_2 & -c_2 & 0 & 0 \end{bmatrix}$$

where

$$\begin{aligned} a_{11} &= m_1 + m_2 + m_{o_1} + m_{o_2}, & a_{13} &= -h_2(m_1 + m_{o_1})s_2, \\ a_{14} &= -h_1(m_1 + m_{o_1})s_1, & a_{23} &= h_2(m_1 + m_{o_1})c_2, \\ a_{24} &= h_1(m_1 + m_{o_1})c_1, & a_{33} &= I_2 + h_2^2(m_1 + m_{o_1}), \\ a_{44} &= I_1 + h_1h_2(m_1 + m_{o_1}), & a_{34} &= h_1h_2(m_1 + m_{o_1})c_{12}, \\ c_{12} &= \cos(\theta_1 - \theta_2), & s_{12} &= \sin(\theta_1 - \theta_2), \\ c_1 &= \cos \theta_1, & c_2 &= \cos \theta_2, \\ s_1 &= \sin \theta_1, & s_2 &= \sin \theta_2. \end{aligned}$$

To include the nonholonomic constraints, we introduce

$$\dot{\mathbf{q}} = \mathbf{J}(\mathbf{q})\mathbf{z} \quad (6)$$

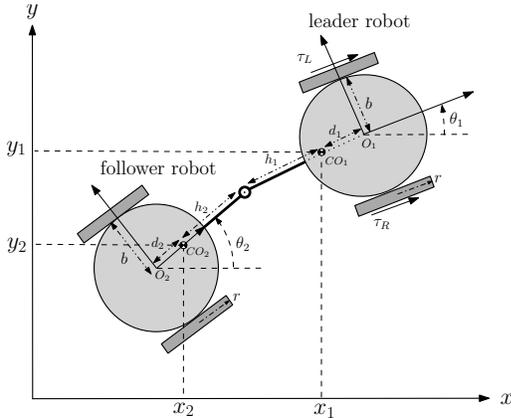


Figure 1: DMR with off-axis hitching.

where  $\mathbf{z}$  is given by [6]

$$\mathbf{z} = \begin{bmatrix} v_1 \cos(\theta_1 - \theta_2) \\ \omega_1 \end{bmatrix}. \quad (7)$$

Using (3), we propose  $\mathbf{J}(\mathbf{q})$  to be as follows

$$\mathbf{J}(\mathbf{q}) = \begin{bmatrix} \cos \theta_2 & h_1 \cos \theta_2 \sin(\theta_1 - \theta_2) \\ \sin \theta_2 & h_1 \sin \theta_2 \sin(\theta_1 - \theta_2) \\ \frac{1}{h_2} \tan(\theta_1 - \theta_2) & -\frac{h_1}{h_2} \cos(\theta_1 - \theta_2) \\ 0 & 1 \end{bmatrix} \quad (8)$$

such that  $\mathbf{J}^T(\mathbf{q})\mathbf{A}^T(\mathbf{q}) = 0$ . Therefore, by substituting (6) into (5), the system dynamics takes the following form:

$$\dot{\mathbf{z}} = \overline{M}^{-1}(\mathbf{q})[-\overline{V}(\mathbf{q}, \dot{\mathbf{q}})\mathbf{z} + \overline{B}(\mathbf{q})\boldsymbol{\tau}] \quad (9)$$

where  $\overline{M}(\mathbf{q}) = \mathbf{J}^T(\mathbf{q})M(\mathbf{q})\mathbf{J}(\mathbf{q})$ ,  $\overline{B}(\mathbf{q}) = \mathbf{J}^T(\mathbf{q})\mathbf{B}(\mathbf{q})$ , and  $\overline{V}(\mathbf{q}, \dot{\mathbf{q}}) = \mathbf{J}^T(\mathbf{q})(M(\mathbf{q})\dot{\mathbf{J}}(\mathbf{q}, \dot{\mathbf{q}}) + \mathbf{V}(\mathbf{q}, \dot{\mathbf{q}})\mathbf{J}(\mathbf{q}))$ .

Note that when the passive follower robot in the DMR system skids during sharp turning, backward motion and fast paces [8], the leader and follower robots get perpendicular with respect to each other (jack-knife phenomenon) and consequently (9) reaches singular points. At this point, the determinant of  $\overline{M}(\mathbf{q})$  is zero and thus  $\overline{M}^{-1}(\mathbf{q})$  does not exist. The following assumption is thus made and will be used in the design of the controller:

**Assumption 1.** The robots trajectories do not contain sharp turnings and no backward movement and fast speed is allowed for the DMR. Thus, the inertia matrix  $\overline{M}(\mathbf{q})$  is invertible throughout the entire motion and the system is stabilizable.

In the following sections, our derived dynamics, (9), is used to design a robust controller and a velocity estimator.

### III. CONTROL DESIGN

Assume the DMR system described by (9) has some external disturbances and uncertainties in the parameters and the dynamic model. Therefore,  $\overline{M}(\mathbf{q})$  and  $\overline{V}(\mathbf{q}, \dot{\mathbf{q}})$  are as follows (note that  $\overline{B}(\mathbf{q})$  does not contain uncertain parameters):

$$\overline{M}(\mathbf{q}) = \overline{M}_0(\mathbf{q}) + \Delta M(\mathbf{q}) \quad (10)$$

$$\overline{V}(\mathbf{q}, \dot{\mathbf{q}}) = \overline{V}_0(\mathbf{q}, \dot{\mathbf{q}}) + \Delta V(\mathbf{q}, \dot{\mathbf{q}}) \quad (11)$$

where  $\overline{M}_0(\mathbf{q})$  and  $\overline{V}_0(\mathbf{q}, \dot{\mathbf{q}})$  are nominal matrices,  $\Delta M(\mathbf{q})$  and  $\Delta V(\mathbf{q}, \dot{\mathbf{q}})$  represent system uncertainty. A bounded input disturbance  $\tau_d$  is also considered for the DMR, where it is assumed to be upper bounded by a positive number. Therefore, by including uncertainties and disturbances, (9) can be expressed as follows:

$$\dot{\mathbf{z}} = \overline{M}_0^{-1}(\mathbf{q})[-\overline{V}_0(\mathbf{q}, \dot{\mathbf{q}})\mathbf{z} + \overline{B}(\mathbf{q})\boldsymbol{\tau} + \mathbf{h}(t)] \quad (12)$$

where

$$\mathbf{h}(t) = -\Delta M(\mathbf{q})\dot{\mathbf{z}} - \Delta V(\mathbf{q}, \dot{\mathbf{q}})\mathbf{z} - \tau_d. \quad (13)$$

We propose our robust control law to be as follows:

$$\boldsymbol{\tau} = \boldsymbol{\tau}_{SMC} + \Delta \boldsymbol{\tau} \quad (14)$$

where  $\boldsymbol{\tau}_{SMC}$  is a sliding mode controller that controls DMR to track desired trajectories, yet, it does not guarantee the robustness to model uncertainties [27]. The term  $\Delta \boldsymbol{\tau}$  is a

1 robust saturation controller that handles model uncertainties,  
2 i.e., unmodeled dynamics and parameter uncertainties, when it  
3 is combined with the sliding mode controller. Later, in Section  
4 V, the effectiveness and robustness of our proposed approach  
5 is demonstrated experimentally.

6 In this section, first we design a sliding mode controller for  
7 the DMR system with no uncertainty and disturbance (we call  
8 it nominal system) to obtain  $\tau_{SMC}$ . Next, we develop a robust  
9 saturation controller,  $\Delta\tau$ , to achieve a robust tracking for the  
10 entire DMR system in the presence of model uncertainties and  
11 disturbances.

### 12 A. Sliding Mode Controller Design

13 Under the condition of no uncertainties and disturbances in  
14 the DMR, the following nominal system is obtained by letting  
15  $\mathbf{h}(t)$  to be zero in (12) and substituting  $\tau_{SMC}$  into  $\tau$ :

$$\dot{\mathbf{z}} = \overline{\mathbf{M}}_0^{-1}(\mathbf{q}) [-\overline{\mathbf{V}}_0(\mathbf{q}, \dot{\mathbf{q}})\mathbf{z} + \overline{\mathbf{B}}(\mathbf{q})\tau_{SMC}]. \quad (15)$$

16 We design the controller in polar coordinates because it  
17 makes it easier to define the sliding surfaces and later prove the  
18 stability. Thus, we assume the desired trajectory of the DMR  
19 in polar coordinates is given by  $\mathbf{q}_d = [\rho_{d1}, \phi_{d1}, \theta_{d1}, \theta_{d2}]^T$ ,  
20 where  $\rho_{d1}$  and  $\phi_{d1}$  represent the desired radial and angular  
21 coordinates of the leader, and  $\theta_{d1}$  and  $\theta_{d2}$  denote desired  
22 orientations of the leader and follower robots, respectively.  
23 Similarly, the given general coordinates of the system,  $\mathbf{q}$ , can  
24 be obtained in polar coordinates as  $\mathbf{q}_p = [\rho_1, \phi_1, \theta_1, \theta_2]^T$ ,  
25 by using the expressions given in (1) and (2), and then a  
26 transformation from Cartesian to polar coordinates. Also, the  
27 derivative of  $\mathbf{q}_p$  is obtained as follows:

$$\dot{\mathbf{q}}_p = \begin{bmatrix} \dot{\rho}_1 \\ \dot{\phi}_1 \\ \dot{\theta}_1 \\ \dot{\theta}_2 \end{bmatrix} = \begin{bmatrix} v_1 \cos(\phi_1 - \theta_1) \\ -\frac{v_1}{\rho_1} \sin(\phi_1 - \theta_1) \\ \omega_1 \\ \omega_2 \end{bmatrix}. \quad (16)$$

28 Before proceeding to the design of sliding mode control,  
29 the following assumption on DMR trajectory is given:

30 **Assumption 2.** The difference between the leader robot ori-  
31 entation ( $\theta_1$ ) and its angular position ( $\phi_1$ ) should not be  $\frac{\pi}{2}$ .  
32 This implies that the leader robot should not have a posture  
33 whose orientation is tangential of any circle drawn around the  
34 origin of polar coordinates.

35 We define tracking errors in polar coordinates as  $e_\rho = \rho_1 -$   
36  $\rho_{d1}$ ,  $e_\phi = \phi_1 - \phi_{d1}$ ,  $e_{\theta_1} = \theta_1 - \theta_{d1}$ , and  $e_{\theta_2} = \theta_2 - \theta_{d2}$ . The  
37 sliding surface vector is defined as

$$\mathbf{S} = \begin{bmatrix} s_1 \\ s_2 \end{bmatrix} = \begin{bmatrix} \dot{e}_\rho + \lambda_1 e_\rho + \lambda_2 \int e_\rho \\ \dot{e}_{\theta_1} + \lambda_3 e_{\theta_1} + \lambda_4 \int e_{\theta_1} + \gamma \text{sgn}(e_{\theta_1})|e_\phi| \end{bmatrix} \quad (17)$$

38 where  $\lambda_1, \dots, \lambda_4$  and  $\gamma$  are positive constants, and  $\text{sgn}(\cdot)$   
39 represents sign function. The control law should be chosen  
40 such that states reach the sliding surfaces. To do so, we design  
41 the controller with the reaching condition of  $\dot{\mathbf{S}} = 0$ , where  $\dot{\mathbf{S}}$  is

$$\dot{\mathbf{S}} = \begin{bmatrix} \dot{s}_1 \\ \dot{s}_2 \end{bmatrix} = \begin{bmatrix} \ddot{e}_\rho + \lambda_1 \dot{e}_\rho + \lambda_2 e_\rho \\ \ddot{e}_{\theta_1} + \lambda_3 \dot{e}_{\theta_1} + \lambda_4 e_{\theta_1} + \gamma \text{sgn}(e_{\theta_1}) \text{sgn}(e_\phi) \dot{e}_\phi \end{bmatrix}. \quad (18)$$

The control torque input using the computed torque method  
is expressed as

$$\tau_{SMC} = \overline{\mathbf{B}}^{-1}(\mathbf{q}) [\overline{\mathbf{V}}_0(\mathbf{q}, \dot{\mathbf{q}})\mathbf{z} + \overline{\mathbf{M}}_0(\mathbf{q})(\dot{\mathbf{z}}_d + \mathbf{u})] \quad (19)$$

where  $\mathbf{u}$  is an auxiliary control variable,  $\mathbf{u} \equiv [u_1, u_2]^T$ , and  
 $z_d = [z_{d1}, z_{d2}]^T$  is the desired value of  $z$  in (7) that is  
expressed as

$$\mathbf{z}_d = \begin{bmatrix} v_{d1} \cos(\theta_{d1} - \theta_{d2}) \\ \omega_{d1} \end{bmatrix} \quad (20)$$

where  $v_{d1}$  and  $\omega_{d1}$  are desired magnitudes of translational  
and rotational velocities of the leader robot, respectively. The  
components of the auxiliary control variable  $\mathbf{u}$ , i.e.,  $u_1$  and  
 $u_2$ , are given according to the following theorem:

**Theorem 1.** The control law given by the following expres-  
sions:

$$u_1 = -\frac{\cos(\theta_1 - \theta_2)}{\cos(\phi_1 - \theta_1)} \left[ \kappa_1 s_1 + \zeta_1 \text{sgn}(s_1) - \ddot{\rho}_{d1} + \lambda_1 \dot{e}_\rho \right. \\ \left. + \lambda_2 e_\rho + \frac{v_1^2}{\rho_1} \sin^2(\phi_1 - \theta_1) + v_1 \omega_1 \sin(\phi_1 - \theta_1) \right] \\ - v_1 (\omega_1 - \omega_2) \sin(\theta_1 - \theta_2) - \dot{z}_{d1} \quad (21)$$

$$u_2 = -\kappa_2 s_2 - \zeta_2 \text{sgn}(s_2) - \lambda_3 \dot{e}_{\theta_1} - \lambda_4 e_{\theta_1} \\ - \gamma \text{sgn}(e_{\theta_1}) \text{sgn}(e_\phi) \dot{e}_\phi. \quad (22)$$

stabilizes the sliding surface vector (17).

*Proof:* Consider the following Lyapunov function:

$$V_1 = \frac{1}{2} \mathbf{S}^T \mathbf{S}. \quad (23)$$

The sufficient condition for the asymptotic stability of the  
closed-loop system is

$$\dot{V}_1 = \mathbf{S}^T \dot{\mathbf{S}} = s_1 \dot{s}_1 + s_2 \dot{s}_2 < 0. \quad (24)$$

Before proving the stability, we substitute (19) into (15) to get  
 $\dot{\mathbf{z}} = \dot{\mathbf{z}}_d + \mathbf{u}$ , which can be alternatively expressed as

$$\begin{bmatrix} u_1 \\ u_2 \end{bmatrix} = \begin{bmatrix} \dot{z}_1 \\ \dot{z}_2 \end{bmatrix} - \begin{bmatrix} \dot{z}_{d1} \\ \dot{z}_{d2} \end{bmatrix}. \quad (25)$$

We use (25) in the rest of the proof.

To obtain  $\dot{s}_1$ , we first find  $\ddot{e}_\rho = \ddot{\rho}_1 - \ddot{\rho}_{d1}$  by getting derivative  
of  $\dot{\rho}_1$  given in (16) that yields

$$\ddot{e}_\rho = \dot{v}_1 \cos(\phi_1 - \theta_1) + \frac{v_1^2}{\rho_1} \sin^2(\phi_1 - \theta_1) \\ + v_1 \omega_1 \sin(\phi_1 - \theta_1) - \ddot{\rho}_{d1}. \quad (26)$$

Also, getting derivative of the first component of  $\mathbf{z}$  expressed  
in (7),  $z_1$ , follows that

$$\dot{z}_1 = \dot{v}_1 \cos(\theta_1 - \theta_2) - v_1 (\omega_1 - \omega_2) \sin(\theta_1 - \theta_2). \quad (27)$$

By substituting (26) and (27) into  $u_1$  given in (21), it follows  
that

$$u_1 = -\frac{\cos(\theta_1 - \theta_2)}{\cos(\phi_1 - \theta_1)} \left[ \kappa_1 s_1 + \zeta_1 \text{sgn}(s_1) + \ddot{e}_\rho \right. \\ \left. + \lambda_1 \dot{e}_\rho + \lambda_2 e_\rho \right] + (\dot{z}_1 - \dot{z}_{d1}). \quad (28)$$

1 Alternatively, from (25) it yields that  $u_1 = \dot{z}_1 - \dot{z}_{d1}$ . Thus, we  
 2 further express (28) as  $\ddot{e}_\rho = -\kappa_1 s_1 - \zeta_1 \text{sgn}(s_1) - \lambda_1 \dot{e}_\rho - \lambda_2 e_\rho$ .  
 3 Consequently, by substituting  $\ddot{e}_\rho$  into  $\dot{s}_1$  given by (18), the final  
 4 expression for  $\dot{s}_1$  is obtained as follows:

$$\dot{s}_1 = -\kappa_1 s_1 - \zeta_1 \text{sgn}(s_1). \quad (29)$$

5 Similarly, for obtaining  $\dot{s}_2$ , we use  $u_2 = \dot{z}_2 - \dot{z}_{d2}$  given in  
 6 (25) which can be further written as  $u_2 = \ddot{e}_{\theta_1}$  and substitute  
 7 it into (22) to find  $\ddot{e}_{\theta_1}$  as

$$\begin{aligned} \ddot{e}_{\theta_1} = & -\kappa_2 s_2 - \zeta_2 \text{sgn}(s_2) - \lambda_3 \dot{e}_{\theta_1} \\ & - \lambda_4 e_{\theta_1} - \gamma \text{sgn}(e_{\theta_1}) \text{sgn}(e_\phi) \dot{e}_\phi. \end{aligned} \quad (30)$$

8 Using (30) and  $\dot{s}_2$  in (18),  $\dot{s}_2$  is alternatively expressed as

$$\dot{s}_2 = -\kappa_2 s_2 - \zeta_2 \text{sgn}(s_2). \quad (31)$$

9 Finally, we substitute (29) and (31) into (24) to get

$$\dot{V}_1 = -\kappa_1 s_1^2 - \zeta_1 |s_1| - \kappa_2 s_2^2 - \zeta_2 |s_2|. \quad (32)$$

10 Therefore, for  $\dot{V}_1$  to be negative definite, it is sufficient to have  
 11  $\kappa_1, \kappa_2, \zeta_1$ , and  $\zeta_2$  to be positive. ■

12 The sliding mode controller designed in this section guar-  
 13 antees the trajectory tracking of the DMR under no system  
 14 uncertainty and disturbance.

### 15 B. Robust Saturation Controller Design

16 We now design the robust saturation controller to handle ex-  
 17 ternal disturbances and model uncertainties. Before designing  
 18 the controller, the following assumptions are made for proving  
 19 uniformly ultimately boundedness of the system [28–31]:

20 **Assumption 3.** The norm of  $\mathbf{J}(\mathbf{q})$  matrix is upper bounded  
 21 such that  $\|\mathbf{J}(\mathbf{q})\| \leq J_\alpha$ , where  $\|\cdot\|$  denotes  $L_2$  norm for vector  
 22 and induced norm for matrix.

23 **Assumption 4.** The inertia matrix  $\overline{\mathbf{M}}_0(\mathbf{q})$  is upper bounded,  
 24 i.e.,  $\|\overline{\mathbf{M}}_0(\mathbf{q})\| \leq M_\alpha$ .

25 **Assumption 5.** The upper bound of the norm of control inputs  
 26 for most robotic systems that do not have acceleration term  
 27 can be described by a positive function as follows [31]:

$$\|\boldsymbol{\tau}\| \leq \alpha_0 + \alpha_1 \|\mathbf{q}\| + \alpha_2 \|\dot{\mathbf{q}}\|^2 \quad (33)$$

28 where  $\alpha_0, \alpha_1, \alpha_2$  are positive numbers that are only used in the  
 29 proof of Lemma 1, and we show later that our methodology  
 30 does not depend on them.

31 **Lemma 1.** The norm of the system uncertainty  $\mathbf{h}(t)$  in (13)  
 32 is upper bounded by a positive function described by

$$\|\mathbf{h}(t)\| \leq \beta_0 + \beta_1 \|\mathbf{q}\| + \beta_2 \|z\| \|\dot{\mathbf{J}}\| + \beta_3 \|z\|^2 \quad (34)$$

33 where positive numbers  $\beta_0, \beta_1, \beta_2, \beta_3$  are the upper bound  
 34 parameters of the system uncertainty which will be estimated  
 35 later using adaptive laws.

36 *Proof:* The proof of Lemma 1 is given in the Appendix. ■

37 To design the robust saturation controller, let us define  
 38  $\boldsymbol{\epsilon} \equiv \mathbf{z} - \mathbf{z}_d$  considering system uncertainties. To find the  
 39 DMR error dynamics, first we find  $\boldsymbol{\tau}$  by substituting (19) into

(14), and then, we use the obtained  $\boldsymbol{\tau}$  in expression (12) to  
 get

$$\dot{\mathbf{z}} = \dot{\mathbf{z}}_d + \mathbf{u} + \overline{\mathbf{M}}_0^{-1}(\mathbf{q}) \overline{\mathbf{B}}(\mathbf{q}) \boldsymbol{\Delta} \boldsymbol{\tau} + \overline{\mathbf{M}}_0^{-1}(\mathbf{q}) \mathbf{h}(t). \quad (35)$$

Afterwards, by getting derivative of the defined  $\boldsymbol{\epsilon}$  and sub-  
 stituting it into (35), the error dynamics of the DMR can be  
 obtained as follows:

$$\dot{\boldsymbol{\epsilon}} = \mathbf{u} + \overline{\mathbf{M}}_0^{-1}(\mathbf{q}) \overline{\mathbf{B}}(\mathbf{q}) \boldsymbol{\Delta} \boldsymbol{\tau} + \overline{\mathbf{M}}_0^{-1}(\mathbf{q}) \mathbf{h}(t). \quad (36)$$

To design  $\boldsymbol{\Delta} \boldsymbol{\tau}$ , we choose the Lyapunov function as

$$V_2 = \frac{1}{2} \boldsymbol{\epsilon}^T \mathbf{L} \boldsymbol{\epsilon} \quad (37)$$

where  $\mathbf{L}$  is a  $2 \times 2$  diagonal matrix with the main diagonals  
 of  $l_1$  and  $l_2$ . Therefore,  $\boldsymbol{\Delta} \boldsymbol{\tau}$  is designed such that the tracking  
 error,  $\boldsymbol{\epsilon}$ , converges to zero under large system uncertainties.  
 The discontinuous control law is given as follows:

$$\boldsymbol{\Delta} \boldsymbol{\tau} = \begin{cases} \frac{(\nabla V_2^T \overline{\mathbf{L}} \overline{\mathbf{M}}_0^{-1}(\mathbf{q}) \overline{\mathbf{B}}(\mathbf{q}))^T}{\|\nabla V_2^T \overline{\mathbf{L}} \overline{\mathbf{M}}_0^{-1}(\mathbf{q}) \overline{\mathbf{B}}(\mathbf{q})\|^2} \boldsymbol{\nu} & \|\nabla V_2\| \neq 0 \\ 0 & \|\nabla V_2\| = 0 \end{cases} \quad (38)$$

where  $\boldsymbol{\nu} = -\nabla V_2^T \mathbf{L} \mathbf{u} - \|\nabla V_2\| \|\overline{\mathbf{L}} \overline{\mathbf{M}}_0^{-1}(\mathbf{q})\| (\beta_0 + \beta_1 \|\mathbf{q}\| +$   
 $\beta_2 \|z\| \|\dot{\mathbf{J}}\| + \beta_3 \|z\|^2)$ .

The robust saturation control law designed in (38) requires a  
 prior knowledge of the upper bounds of the system uncertainty  
 $(\beta_0, \beta_1, \beta_2, \beta_3)$ , which is difficult to access due to nonlinear  
 nature of the DMR system. An adaptive estimation technique  
 is then used to find these parameters. Assuming  $\hat{\beta}_0, \hat{\beta}_1, \hat{\beta}_2, \hat{\beta}_3$   
 are the estimates and using the following rules:

$$\dot{\hat{\beta}}_0 = k_0 \|\nabla V_2\| \|\overline{\mathbf{L}} \overline{\mathbf{M}}_0^{-1}(\mathbf{q})\| \quad (39)$$

$$\dot{\hat{\beta}}_1 = k_1 \|\nabla V_2\| \|\overline{\mathbf{L}} \overline{\mathbf{M}}_0^{-1}(\mathbf{q})\| \|\mathbf{q}\| \quad (40)$$

$$\dot{\hat{\beta}}_2 = k_2 \|\nabla V_2\| \|\overline{\mathbf{L}} \overline{\mathbf{M}}_0^{-1}(\mathbf{q})\| \|z\| \|\dot{\mathbf{J}}\| \quad (41)$$

$$\dot{\hat{\beta}}_3 = k_3 \|\nabla V_2\| \|\overline{\mathbf{L}} \overline{\mathbf{M}}_0^{-1}(\mathbf{q})\| \|z\|^2 \quad (42)$$

the upper bound parameters of  $\|\mathbf{h}(t)\|$  can be estimated. In  
 expressions (39)-(42), the positive constants  $k_0, k_1, k_2, k_3$  and  
 initial values of  $\hat{\beta}_0, \hat{\beta}_1, \hat{\beta}_2, \hat{\beta}_3$  can be selected arbitrarily.

**Theorem 2.** Using the control law given by sliding mode  
 controller in (19) and robust saturation controller in (38), the  
 system (12) is uniformly ultimately bounded.

*Proof:* Consider the following function which is a part of  
 the Lyapunov candidate as

$$V_3 = \frac{1}{2} \sum_{j=0}^3 k_j^{-1} (\beta_j - \hat{\beta}_j)^2. \quad (43)$$

Therefore, using (23), (37), and (43), the complete Lyapunov  
 function is

$$\begin{aligned} V &= V_1 + V_2 + V_3 \\ &= \frac{1}{2} \mathbf{S}^T \mathbf{S} + \frac{1}{2} \boldsymbol{\epsilon}^T \mathbf{L} \boldsymbol{\epsilon} + \frac{1}{2} \sum_{j=0}^3 k_j^{-1} (\beta_j - \hat{\beta}_j)^2. \end{aligned} \quad (44)$$

Observe that  $V > 0$ , we now show  $\dot{V} < 0$ . In the proof  
 of Theorem 1, it was shown that  $\dot{V}_1 < 0$  along the system

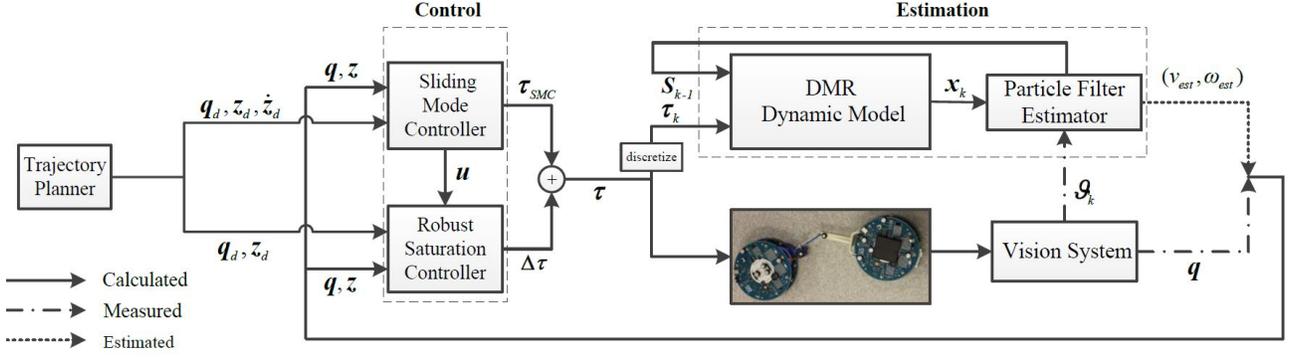


Figure 2: Schematic diagram of proposed methodology.

- 1 trajectories. Thus, it is sufficient to prove that  $\dot{V}_2 + \dot{V}_3 < 0$ .
- 2 Consider the following two cases:
- 3 1) If  $\|\nabla V_2\| \neq 0$ , one has

$$\begin{aligned}
 \dot{V}_2 &= \frac{1}{2} \dot{\epsilon}^T L \epsilon + \frac{1}{2} \epsilon^T L \dot{\epsilon} \\
 &= \nabla V_2^T L \dot{\epsilon} \\
 &= \nabla V_2^T L \left[ u + \overline{M}_0^{-1}(q) \overline{B}(q) \Delta \tau + \overline{M}_0^{-1}(q) h(t) \right] \\
 &= \nabla V_2^T L u + \nu + \nabla V_2^T L \overline{M}_0^{-1}(q) h(t) \\
 &= \nabla V_2^T L u - \left[ \nabla V_2^T L u + \|\nabla V_2\| \|\overline{L} \overline{M}_0^{-1}(q)\| \right. \\
 &\quad \left. \times \left( \hat{\beta}_0 + \hat{\beta}_1 \|\mathbf{q}\| + \hat{\beta}_2 \|\mathbf{z}\| \|\mathbf{J}\| + \hat{\beta}_3 \|\mathbf{z}\|^2 \right) \right] \\
 &\quad + \nabla V_2^T L \overline{M}_0^{-1}(q) h(t) \\
 &= \nabla V_2^T L \overline{M}_0^{-1}(q) h(t) - \|\nabla V_2\| \|\overline{L} \overline{M}_0^{-1}(q)\| \\
 &\quad \times \left( \hat{\beta}_0 + \hat{\beta}_1 \|\mathbf{q}\| + \hat{\beta}_2 \|\mathbf{z}\| \|\mathbf{J}\| + \hat{\beta}_3 \|\mathbf{z}\|^2 \right) \quad (45)
 \end{aligned}$$

- 4 where  $\times$  denotes multiplication. Also,  $\dot{V}_3$  can be obtained as
- 5 follows:

$$\begin{aligned}
 \dot{V}_3 &= - \sum_{j=0}^3 k_j^{-1} \dot{\hat{\beta}}_j (\beta_j - \hat{\beta}_j) \\
 &= - \|\nabla V_2\| \|\overline{L} \overline{M}_0^{-1}(q)\| \\
 &\quad \times \left[ (\beta_0 - \hat{\beta}_0) + (\beta_1 - \hat{\beta}_1) \|\mathbf{q}\| \right. \\
 &\quad \left. + (\beta_2 - \hat{\beta}_2) \|\mathbf{z}\| \|\mathbf{J}\| + (\beta_3 - \hat{\beta}_3) \|\mathbf{z}\|^2 \right] \quad (46)
 \end{aligned}$$

- 6 Subsequently, using (45) and (46),  $\dot{V}_2 + \dot{V}_3$  is expressed as

$$\begin{aligned}
 \dot{V}_2 + \dot{V}_3 &= \nabla V_2^T L \overline{M}_0^{-1}(q) h(t) - \|\nabla V_2\| \|\overline{L} \overline{M}_0^{-1}(q)\| \\
 &\quad \times \left( \beta_0 + \beta_1 \|\mathbf{q}\| + \beta_2 \|\mathbf{z}\| \|\mathbf{J}\| + \beta_3 \|\mathbf{z}\|^2 \right) \\
 &< 0
 \end{aligned}$$

- 7 2) If  $\|\nabla V_2\| = 0$ , it is straightforward to show  $\dot{V}_2 + \dot{V}_3 \leq 0$ .
- 8 Therefore, for both cases,  $\dot{V} < 0$ . ■

9 Note that the components of the auxiliary control variable  $\mathbf{u}$  given in (21) and (22) are a function of the leader's translational and rotational velocities ( $v_1$  and  $\omega_1$ ). However, in practice, measuring absolute velocity of the robots is not possible due to the limited sensing capability of available sensors. In the following section, we develop an estimator to estimate these velocities.

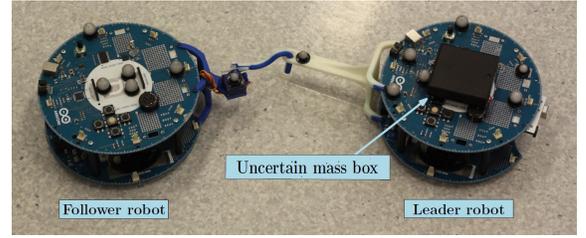


Figure 3: Experimental DMR.

#### IV. ESTIMATOR DESIGN

1 In this section, we propose an estimator that fuses sensory data and dynamics of the DMR to estimate the required velocities. Considering the nonlinearities in the DMR system dynamics, a nonlinear estimator such as extended Kalman filter, unscented Kalman filter, or particle filter, has to be developed. We choose particle filter because of its capability in dealing with non-Gaussian and nonlinear systems [32].

2 To develop the particle filter, we discretize the system with constant velocity assumption and designate the discrete current state vector as  $\mathbf{x}_k = [x_1, y_1, \theta_1, \theta_2, \dot{x}_1, \dot{y}_1, \dot{\theta}_1, \dot{\theta}_2]^T$ , that is obtained at each time step using the state information from the dynamic model (9), the transformation (6), and the expressions (1) and (2). To predict the velocities at each time step, we define the following measurement model:

$$\mathbf{y}_k = \begin{bmatrix} \sqrt{\dot{x}_1^2 + \dot{y}_1^2} \\ \dot{\theta}_1 \end{bmatrix}. \quad (47)$$

16 In addition, DMR translational and rotational velocities are measured at time  $k$  by differentiating the docked robots' position and orientation feedback from a centralized vision system, i.e.,  $v_m$  and  $\omega_m$ , that might be noisy or subjected to error. To model the velocity measurements realistically, we assume that these measurements are also corrupted by an additive noise. Hence, the measured velocity vector is  $\boldsymbol{\vartheta}_k = [v_m, \omega_m]^T + \boldsymbol{\delta}_k$ , where  $\boldsymbol{\delta}_k$  can be a zero mean white Gaussian or non-Gaussian noise.

25 Our particle filter algorithm is developed to generate a particle set which can be the best representation of the true velocities. Each particle set is composed of current state sample and its weight. Our particle filter takes the current torque and prior particle sets as its input to estimate the true

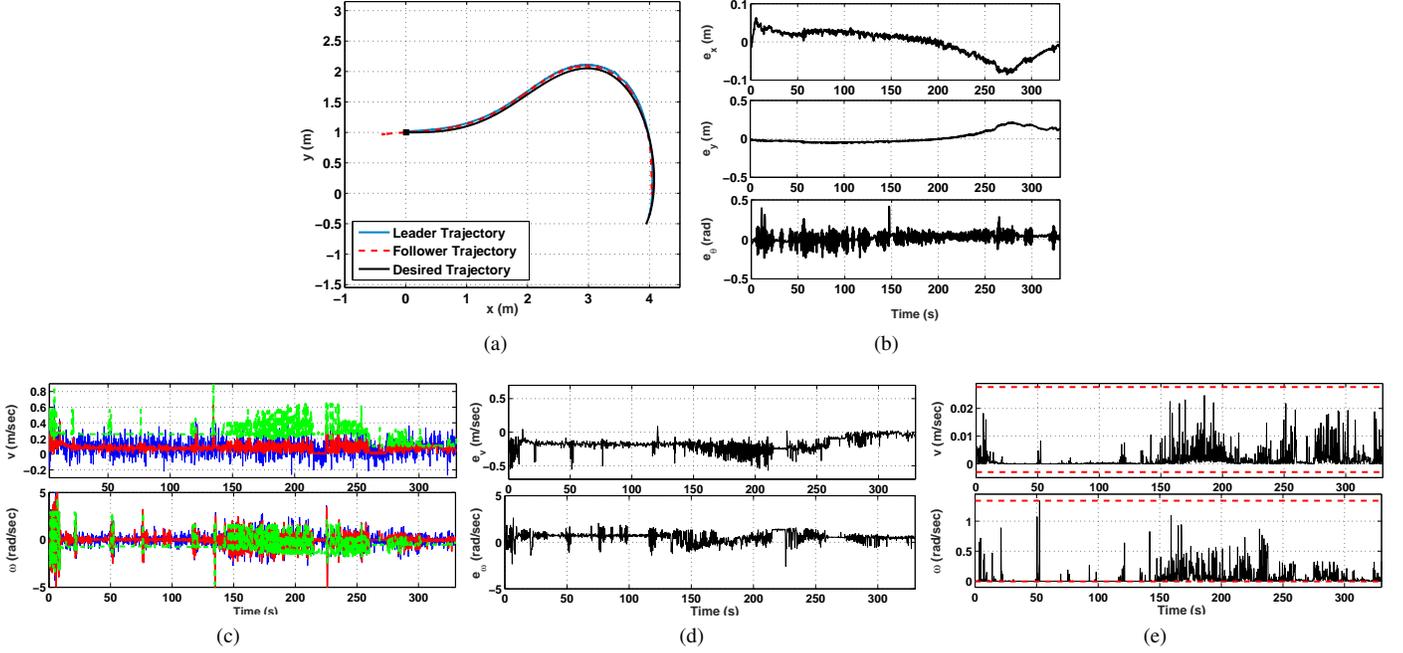


Figure 4: Experimental results of the first trajectory under measurement noise: (a) leader-follower trajectories; (b) tracking error; (c) estimated (green), measured (blue), and true (red) states; (d) estimation error; (e) velocity error covariance.

Table II: System and control design parameters.

Parameter	Value	Parameter	Value
$b$	0.0850 m	$\lambda_1, \lambda_2, \lambda_3, \lambda_4$	400
$h_1, h_2$	0.05 m	$\kappa_1, \kappa_2$	25, 15
$m_1$	0.65 Kg	$\zeta_1, \zeta_2$	25, 15
$m_2$	0.55 Kg	$\gamma$	400
$m_o$	0.050 Kg	$l_1, l_2$	10, 5
$I_1, I_2$	0.0026 Kg.m <sup>2</sup>	$k_0, k_1, k_2, k_3$	12

1 belief of velocities,  $v_{est}$  and  $\omega_{est}$ , using dynamic model (9)  
 2 and measurement model (47). The dynamic model (9) is used  
 3 to propagate samples forward in each time step based on the  
 4 previous particles and the current inputs. The measurement  
 5 model (47) is used to predict the velocities using samples of  
 6 current state vector. To assign a weight to each state sample,  
 7 we find the normal probability density function of measured  
 8 velocity vector  $\boldsymbol{v}_k$  using a normal distribution centered at each  
 9 sample of predicted velocity vector. Afterwards, resampling  
 10 is done with the purpose of transforming the predicted belief  
 11 particle set to belief using importance sampling. If we consider  
 12 the current input as  $\boldsymbol{\tau}_k$  and prior state as  $\boldsymbol{x}_{k-1}$ , then we can  
 13 define the measurement model in (47) as  $p(\boldsymbol{y}_k|\boldsymbol{x}_k)$  which is  
 14 the probability of measurement  $\boldsymbol{y}$  occurring at time  $k$  given the  
 15 state  $\boldsymbol{x}$ , and similarly, we can define the motion model given in  
 16 (9) as  $p(\boldsymbol{x}_k|\boldsymbol{x}_{k-1}, \boldsymbol{\tau}_k)$ . Assuming the total number of particles  
 17 is  $I$ , the distribution of samples for predicted belief of current  
 18 state and measurement vector as well as the belief of current  
 19 state and measurement vector respectively are denoted by  $\bar{\boldsymbol{x}}_k^{[i]}$ ,  
 20  $\bar{\boldsymbol{y}}_k^{[i]}$ ,  $\boldsymbol{x}_k^{[i]}$ , and  $\boldsymbol{y}_k^{[i]}$ , for  $i = 1, \dots, I$ . Each particle set,  $\boldsymbol{s}_k^{[i]}$ , is a  
 21 combination of the sample ( $\boldsymbol{x}_k^{[i]}$ ) and its weight ( $\boldsymbol{w}_k^{[i]}$ ) that is  
 22 shown with  $\boldsymbol{s}_k^{[i]} = \{\boldsymbol{x}_k^{[i]}, \boldsymbol{w}_k^{[i]}\}$ . We now present the details of our  
 23 developed particle filter velocity estimator in Algorithm 1.

---

#### Algorithm 1 Particle Filter for Velocity Estimation

---

**Inputs:** Prior particle sets  $\mathcal{S}_{k-1} = \{\boldsymbol{s}_{k-1}^{[1]}, \dots, \boldsymbol{s}_{k-1}^{[I]}\}$ , current  
 input  $\boldsymbol{\tau}_k$ , and measured velocity  $\boldsymbol{v}_k$

**Outputs:** True belief of velocities  $v_{est}$  and  $\omega_{est}$

**for** each particle in  $\mathcal{S}_{k-1}$  **do**

Sampling: propagate sample forward using motion  
 model (9):  $\bar{\boldsymbol{x}}_k^{[i]} \sim p(\boldsymbol{x}_k|\boldsymbol{x}_{k-1}^{[i]}, \boldsymbol{\tau}_k)$

Prediction: predicting measurements using sample  $\bar{\boldsymbol{x}}_k^{[i]}$   
 and measurement model (47):  $\bar{\boldsymbol{y}}_k^{[i]} \sim p(\boldsymbol{y}_k|\bar{\boldsymbol{x}}_k^{[i]})$

Weighting: define weights from measured velocities  $\boldsymbol{v}_k$ ,  
 with normal distribution centered at predictions  $\bar{\boldsymbol{y}}_k^{[i]}$ :  $\boldsymbol{w}_k^{[i]}$

Store in interim particle set:  $\bar{\mathcal{S}}_k = \bar{\mathcal{S}}_k + \{\bar{\boldsymbol{s}}_k^{[i]}\}$ , where  
 $\bar{\boldsymbol{s}}_k^{[i]} = \{\bar{\boldsymbol{x}}_k^{[i]}, \boldsymbol{w}_k^{[i]}\}$ .

**end for**

Normalize weights

**for** each particle in  $\bar{\mathcal{S}}_k$  **do**

Resampling: draw particle  $\bar{\boldsymbol{x}}_k^{[i]}$  with probability  $\boldsymbol{w}_k^{[i]}$ :  
 $\boldsymbol{s}_k^{[i]} = \{\boldsymbol{x}_k^{[i]}, \boldsymbol{w}_k^{[i]}\}$

Add to final particle set:  $\mathcal{S}_k = \mathcal{S}_k + \{\boldsymbol{s}_k^{[i]}\}$

Calculate true velocities through measurement  
 model (47):  $\boldsymbol{y}_k^{[i]} = [\boldsymbol{v}_k^{[i]}, \boldsymbol{\omega}_k^{[i]}]^T = p(\boldsymbol{y}_k|\boldsymbol{x}_k^{[i]})$

**end for**

Calculate mean of  $\boldsymbol{v}_k^{[i]}$  and mean of  $\boldsymbol{\omega}_k^{[i]}$  over  $i = 1, \dots, I$  to  
 get  $v_{est}$  and  $\omega_{est}$ .

where  $I$  is the total number of particles,  $\boldsymbol{s}_k^{[i]}$  is the combination  
 of sample and weight of  $i^{th}$  particle, and  $\bar{\boldsymbol{x}}_k^{[i]}$ ,  $\bar{\boldsymbol{y}}_k^{[i]}$ ,  $\boldsymbol{x}_k^{[i]}$ ,  $\boldsymbol{y}_k^{[i]}$  are  
 respectively the  $i^{th}$  predicted belief of states, predicted belief  
 of measurements, current belief of states and current belief of  
 measurements, all at time  $k$  for  $i = 1, \dots, I$ .

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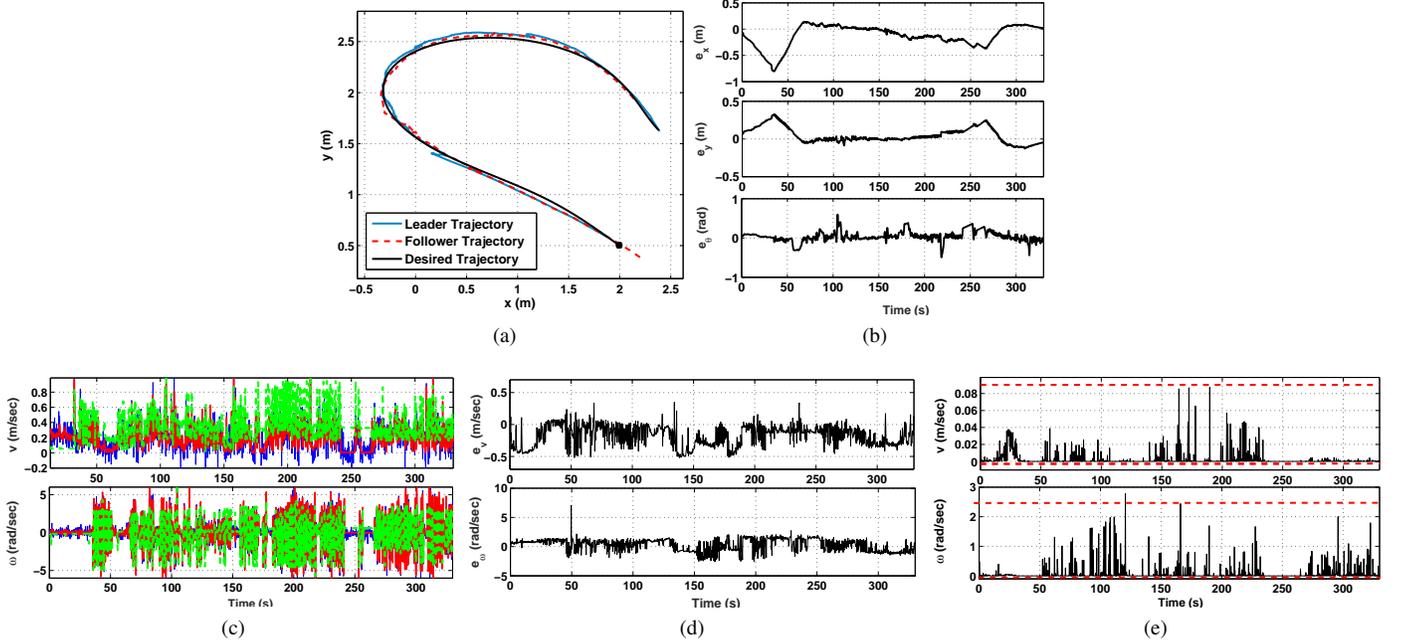


Figure 5: Experimental results of the second trajectory under unmodeled dynamics (a) leader-follower trajectories; (b) tracking error; (c) estimated (green), measured (blue), and true (red) states; (d) estimation error; (e) velocity error covariance.

1 In summary, Algorithm 1 inputs the prior particle sets,  
 2 current control input and velocity measurements, and conse-  
 3 quently it outputs the true belief of leader's translational and  
 4 rotational velocities. To verify the developed controller and  
 5 estimator algorithms, experiments were carried out and are  
 6 presented in the next section.

## 7 V. EXPERIMENTAL STUDIES

8 The entire closed-loop system composed of a trajectory  
 9 planner, particle filter estimator, and robust controller is shown  
 10 in Fig. 2. The trajectory planner generates desired trajectories  
 11 required for the controller to provide the input torque of the  
 12 leader's wheels, i.e.,  $\tau$ . Our proposed controller also inputs  
 13 the position, and translational and rotational velocities of  
 14 the DMR. The position of the DMR,  $q$ , can be measured  
 15 accurately using Vicon system. By getting the derivatives  
 16 of these terms, we can find the translational and rotational  
 17 velocities. However, to model velocities realistically that can  
 18 behave like real sensory data, we corrupt these signals by white  
 19 Gaussian noise and then we deploy an estimator to obtain the  
 20 velocity profile more accurately. The particle filter estimator  
 21 fuses the measured velocity  $\vartheta_k$  obtained by Vicon, and current  
 22 state  $x_k$  which is calculated using the DMR dynamic model.  
 23 Afterwards, the estimated velocities ( $v_{est}$  and  $\omega_{est}$ ), measured  
 24 states ( $q$ ), and desired states ( $q_d$ ,  $z_d$  and  $\dot{z}_d$ ) are used by the  
 25 controller to generate the input torque required for control.

### 26 A. Experimental Setup

27 Two Arduino mobile robots docked via two rigid links  
 28 connected through an off-axle hitch were used in experiments.  
 29 The experimental setup is shown in Fig. 3. The robots were

equipped with wireless Xbee modules for communication with  
 the computer with the sampling frequency of 10 Hz.

For tracking the DMR position and orientation, we used the  
 Vicon vision system. The translational and rotational velocities  
 were given by the Vicon, and the known sampling rate of 0.1  
 sec. We later added noise to these. The control input of the  
 leader robot is input voltage in the range of  $[-5V, 5V]$ .

The parameters of the DMR system and the controller  
 used for experiments are given in Table II. **To determine  
 the control design parameters prior to experiments, we used  
 Genetic Algorithm.** For implementing the particle filter, it  
 was assumed that the initial velocities are unknown and the  
 particles are spread randomly. For the sake of accuracy and  
 calculation time, the number of particles was assumed to be  
 200 that enables the estimator to perform online estimation.  
 We assumed a zero mean white Gaussian noise  $\delta_k$  is added to  
 the velocity profile given by Vicon, where standard deviations  
 are 0.1 m/sec and 0.3 rad/sec for  $v_1$  and  $\omega_1$ , respectively.

### B. Experimental Results

The performances of our methodology are demonstrated in  
 five sections. In sections 1 to 3, we present the results under  
 three case studies: (1) measurement noise, (2) unmodeled  
 dynamics, and (3) parametric uncertainty. In section 4, we  
 compare the performance of our proposed control strategy with  
 the case that only sliding mode is deployed. In section 5, we  
 compare our results with previously developed controllers in  
 the literature.

1) *Robustness to measurement noise:* to investigate the  
 robustness of our approach to measurement noise, we added a  
 white Gaussian noise with the standard deviation of 0.4 m  
 for both  $x_1$  and  $y_1$ , and 0.05 rad for  $\theta_1$ . Fig. 4 presents

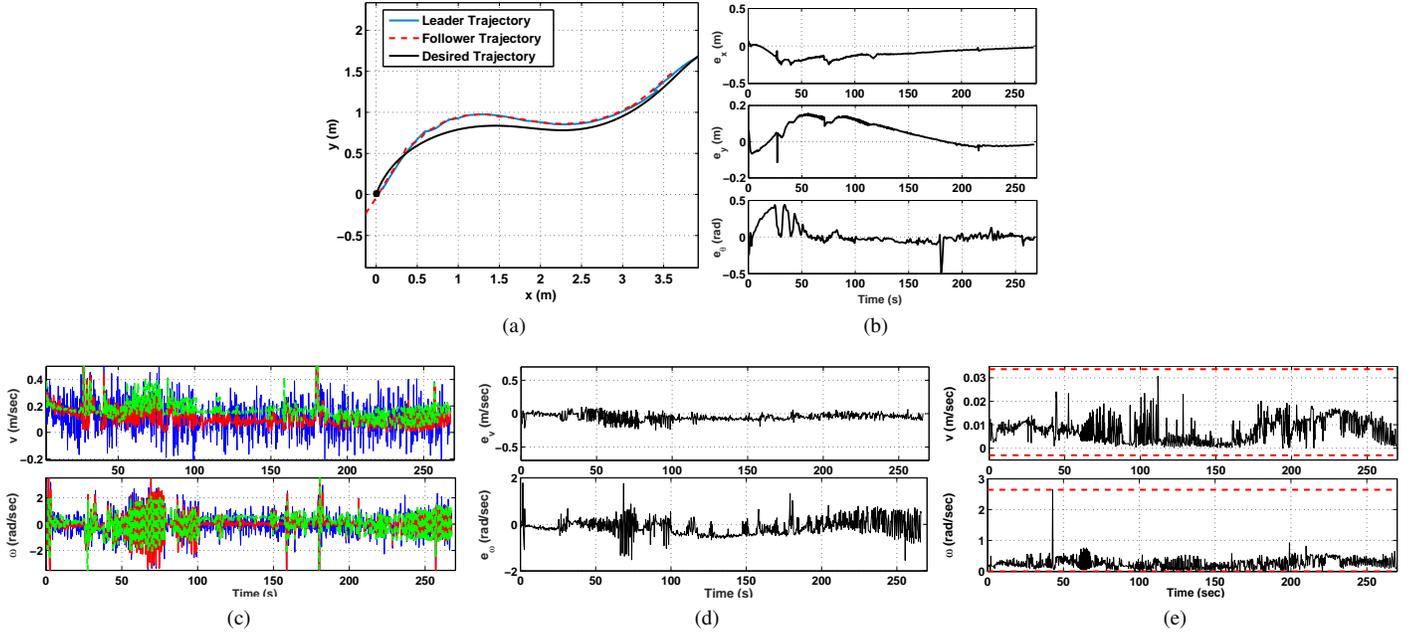


Figure 6: Experimental results of the third trajectory under parametric uncertainty: (a) leader-follower trajectories; (b) tracking error; (c) estimated (green), measured (blue), and true (red) states; (d) estimation error; (e) velocity error covariance.

1 the experimental results. The DMR trajectories are shown in  
 2 Fig. 4a, where the black square denotes the starting point of the  
 3 desired trajectory. To show how the developed particle filter  
 4 estimates the velocities, Figs. 4c, 4d, and 4e are provided. In  
 5 Figs. 4c and 4d convergence of the estimated velocities to the  
 6 true values obtained by Vicon and the estimation errors to zero  
 7 are illustrated, respectively. The convergence and boundedness  
 8 of the estimation errors covariance are also shown in Fig. 4e.  
 9 From these graphs, it is evident that the estimator is capable of  
 10 estimating the required states with good accuracy. The tracking  
 11 performance of the integrated estimator and controller system  
 12 is shown to be good in Fig. 4b. As can be seen, the tracking  
 13 error of the controller is small. The peak observed in tracking  
 14 error is because of the orientation mismatch between the leader  
 15 and follower robots arising from turning on the trajectory, thus  
 16 making the robots to have some errors in following their paths.  
 17 After passing the turning point, the tracking error gets smaller  
 18 as it is demonstrated. The root mean square of errors ( $e_{rms}$ )  
 19 are 6 cm, 6.8 cm, 0.07 rad for  $x$ ,  $y$ ,  $\theta$ , respectively.

20 2) *Robustness to unmodeled dynamics*: to implement un-  
 21 modeled dynamics, we set  $h_1 = 0$  (on-axle hitched DMR) in  
 22 the dynamics (9) that is used in estimation and control while  
 23 in the experiments  $h_1 \neq 0$  (off-axle hitched DMR shown in  
 24 Fig. 3). Fig. 5 displays the estimation and control results under  
 25 unmodeled dynamics effects. The estimation results provided  
 26 in Figs. 5c, 5d, and 5e show that the outputs have converged  
 27 to true velocities obtained by Vicon. It is shown in Figs. 5a  
 28 and 5b that DMR successfully tracks the trajectory with small  
 29 errors, where  $e_{rms}$  is 19.7 cm, 6.8 cm, 0.09 rad respectively  
 30 for  $x$ ,  $y$ ,  $\theta$ .

31 3) *Robustness to parametric uncertainty*: we assess the  
 32 performance of the proposed approach in the presence of  
 33 parametric uncertainty, which is important in loading and

unloading applications. To do so, we added 15% mass un-  
 certainty to the leader robot using the uncertain mass box  
 shown in Fig. 3. The results are shown in Fig. 6. From  
 Figs. 6c, 6d and 6e it is evident that the designed particle filter  
 estimator converges to true DMR velocities. Tracking results  
 are demonstrated in Figs. 6a and 6b which confirms the robust  
 tracking performance of our approach. The  $e_{rms}$  was found  
 to be 17.2 cm, 9.5 cm, 0.15 rad for  $x$ ,  $y$ ,  $\theta$ , respectively.

4) *Comparison with sliding mode controller*: in this part,  
 we compare the performance of our proposed controller in  
 (14) with the case when only sliding mode is deployed. Both  
 controllers were integrated with the developed estimator and  
 tested under the same conditions, i.e., measurement noise,  
 unmodeled dynamics and parametric uncertainties. To further  
 investigate the robustness of our proposed methodology, we  
 tested each condition for three different trajectories (three  
 trials). In Table III, the tracking performance of controllers  
 are compared in terms of  $e_{rms}$  and the percentage maximum  
 tracking error during steady state phase ( $e_{ss}$ ). This table shows  
 that our proposed robust controller outputs lower tracking  
 error and improves robustness under measurement noise and  
 model uncertainties in comparison to the case when only  
 sliding mode controller is used. In average, the improvement  
 for measurement noise, unmodeled dynamics, and parametric  
 uncertainties for three trials, are respectively 5.1%, 22.2%, and  
 28.7% during the steady state<sup>1</sup>. It has not escaped our notice  
 that horizontal steady state tracking error of the pure sliding  
 mode controller seems smaller than our proposed controller;  
 however, by taking into account both horizontal and vertical  
 tracking errors in steady state, we can conclude that the robust  
 controller still outperforms the sliding mode controller. As also

<sup>1</sup>Average of steady state error for each trial:  $\frac{e_{x_{ss}} + e_{y_{ss}} + e_{\theta_{ss}}}{3}$

Table III: Comparing tracking performance of sliding mode controller ( $\tau_{SMC}$ ) and robust controller ( $\tau_{SMC} + \Delta\tau$ ).

Case	Control law	$(e_{x_{rms}}, e_{y_{rms}}, e_{\theta_{rms}})$ (cm, cm, rad)			$(e_{x_{ss}}, e_{y_{ss}}, e_{\theta_{ss}})$ %		
		Trial 1	Trial 2	Trial 3	Trial 1	Trial 2	Trial 3
Measurement noise	$\tau_{SMC}$	(5.5, 10.1, 0.15)	(6.0, 8.2, 0.08)	(19.7, 19.5, 0.28)	(0.05, 27.7, 12.1)	(1.5, 5.9, 34.8)	(6.6, 7.8, 29.2)
	$\tau_{SMC} + \Delta\tau$	(6, 6.8, 0.07)	(5.1, 6, 0.06)	(17.3, 11.7, 0.26)	(4.7, 12, 9.4)	(0.02, 5.5, 18.5)	(5.1, 2.5, 20.5)
Unmodeled dynamics	$\tau_{SMC}$	(24.1, 17, 0.16)	(35.0, 27, 0.32)	(17.5, 35.4, 0.44)	(10.3, 11.3, 31.2)	(4.4, 13.1, 75.2)	(9.5, 28.4, > 100)
	$\tau_{SMC} + \Delta\tau$	(19.7, 6.8, 0.09)	(5.6, 4.8, 0.08)	(6.3, 14.7, 0.24)	(4.4, 4.9, 24.1)	(0.1, 7.6, 18.9)	(1.08, 3.5, 18.4)
Parametric uncertainties	$\tau_{SMC}$	(21, 15.9, 0.19)	(21.5, 34.8, 0.4)	(21.0, 17.3, 0.33)	(3.9, 2.1, > 100)	(2.8, 24.9, 77.9)	(5.3, 10.9, > 100)
	$\tau_{SMC} + \Delta\tau$	(17.2, 9.5, 0.15)	(8, 4.1, 0.07)	(12.8, 13.2, 0.28)	(3.7, 5.0, 17.8)	(0.01, 1.7, 21.8)	(1.1, 2.2, 15.4)

Table IV: Performance of different controllers under parametric uncertainties (Trial 1) and unmodeled dynamics (Trial 2 and 3).

Control method	$(e_{x_{rms}}, e_{y_{rms}}, e_{\theta_{rms}})$ (cm, cm, rad)			$(e_{x_{ss}}, e_{y_{ss}}, e_{\theta_{ss}})$ %			$(\sigma_{u_r}, \sigma_{u_l})$ (V)		
	Trial 1	Trial 2	Trial 3	Trial 1	Trial 2	Trial 3	Trial 1	Trial 2	Trial 3
Our method	(17.2, 9.5, 0.15)	(5.6, 4.8, 0.08)	(6.3, 14.7, 0.24)	(3.7, 5.0, 17.8)	(0.1, 7.6, 18.9)	(1.08, 3.5, 18.4)	(2.9, 2.5)	(3.2, 3.1)	(3.5, 3.2)
Lyapunov [33]	(16.2, 28.3, 0.24)	(12.6, 13.2, 0.09)	(22.9, 23.1, 0.36)	(4.0, 12.7, 100)	(0.8, 16.8, 80.6)	(10.3, 5.7, > 100)	(2.2, 1.7)	(2.9, 2.8)	(2.4, 1.9)
PD	(46.4, 28.8, 0.3)	(37.5, 29.2, 0.34)	(28.1, 19.5, 0.31)	(12.3, 24.7, > 100)	(5.2, 17.7, 87.5)	(16.6, 7.5, > 100)	(0.8, 0.9)	(2.5, 1.7)	(2.6, 2.4)
PID	(9.5, 12.7, 0.16)	(14.4, 10.6, 0.12)	(29.1, 20.8, 0.37)	(4.0, 1.0, > 100)	(4.6, 16.5, 80.9)	(13.4, 4.7, > 100)	(1.7, 1.9)	(2.1, 2.0)	(3.1, 2.9)

1 stated previously, the pure sliding mode controller is capable  
2 of handling some disturbances and measurement noises, yet  
3 it does not guarantee robustness under model uncertainties.  
4 Therefore, it is reasonable that the tracking performance  
5 improvement of our controller over the sliding mode controller  
6 is not much distinctive under measurement noise.

7 5) *Comparison with other controllers*: we also compared  
8 the performance of our controller with previously developed  
9 controllers in the literature. The experiments were conducted  
10 with three various trajectories under parametric uncertainties  
11 (Trial 1) and unmodeled dynamics (Trials 2 and 3) for dif-  
12 ferent controllers, i.e., Lyapunov-based [33], PD, and PID  
13 controllers. Results are summarized in Table IV based on  
14 the tracking error and control effort. In this table, the control  
15 effort is represented by the standard deviation ( $\sigma$ ) of input  
16 voltage range for each wheel of the leader robot (right and  
17 left). The results verify that our controller outperforms other  
18 controllers in terms of tracking and robustness, although it  
19 requires a bit more control effort. It is worth noting that  
20 among the presented controllers, the tracking performance of  
21 the PID controller is comparable with our methodology under  
22 parametric uncertainties (trial 1); however, it is obvious in  
23 Table IV that the steady state error for orientation obtained by  
24 implementing our proposed approach is significantly smaller  
25 than the PID control. Moreover, applying unmodeled dynamic  
26 uncertainties (Trial 2 and 3), the advantage of our robust  
27 controller can be observed more apparent over standard PID  
28 control. In average, the improvement that our proposed con-  
29 troller makes over PID controller in tracking three reference  
30 trajectories are respectively 26.1%, 25.1% and 31.6% during  
31 the steady state. In tuning all of these controllers, we noticed  
32 that our controller is less sensitive to the design parameters  
33 while others require careful tuning of control parameters and  
34 are significantly sensitive to the parameters change.

## VI. CONCLUSION

36 In this paper, we developed a new integrated system com-  
37 posed of a robust tracking controller and an estimator for a  
38 nonholonomic docked mobile robotic system without having  
39 access to translational and rotational velocities directly. Our  
40 extensive experimental results showed that, first, the developed  
41 estimator is able to estimate the velocities with good accuracy

required for control, and second, the integrated estimator  
and controller have very good tracking performance under  
measurement noise, unmodeled dynamics, and parameter un-  
certainties. It was concluded that if only the sliding mode  
control method (integrated with the estimation) is implemented  
on the robots, tracking performance is deteriorated in the  
presence of uncertainties. Thus, we showed that the robust  
saturation control has to be combined with the sliding mode  
control to achieve robust tracking, especially with parametric  
uncertainties that can decrease the steady state tracking error  
by up to 28.7%. Experimental results were also compared to  
previously developed control methods in the literature and  
it was demonstrated that the performance of our proposed  
methodology is superior to other developed controllers in  
the literature in terms of tracking accuracy and robustness  
to model uncertainties. Moreover, our controller requires  
less tuning effort compared to other methods due to being  
less sensitive to parameter changes. Therefore, the proposed  
methodology can be used for tracking applications without  
relying on velocity measurements and hence making it a viable  
approach for effective navigation of DMR systems.

## APPENDIX

23 Proof of Lemma 1: substituting (12) into (13) gives

$$\begin{aligned}
\mathbf{h}(t) &= \left( \mathbf{I} + \Delta\mathbf{M}(\mathbf{q})\overline{\mathbf{M}}_0^{-1}(\mathbf{q}) \right)^{-1} \\
&\times \left[ \Delta\mathbf{M}(\mathbf{q})\overline{\mathbf{M}}_0^{-1}(\mathbf{q}) (\overline{\mathbf{V}}_0(\mathbf{q}, \dot{\mathbf{q}})\mathbf{z} - \overline{\mathbf{B}}(\mathbf{q})\boldsymbol{\tau}) \right. \\
&\quad \left. - \Delta\mathbf{V}(\mathbf{q}, \dot{\mathbf{q}})\mathbf{z} - \boldsymbol{\tau}_d \right]. \quad (48)
\end{aligned}$$

Using (48), it follows that

$$\begin{aligned}
\|\mathbf{h}(t)\| &\leq \left\| \left( \mathbf{I} + \Delta\mathbf{M}(\mathbf{q})\overline{\mathbf{M}}_0^{-1}(\mathbf{q}) \right)^{-1} \right\| \\
&\times \left[ \|\Delta\mathbf{M}(\mathbf{q})\overline{\mathbf{M}}_0^{-1}(\mathbf{q})\| \times (\|\overline{\mathbf{V}}_0(\mathbf{q}, \dot{\mathbf{q}})\mathbf{z}\| \right. \\
&\quad \left. + \|\overline{\mathbf{B}}(\mathbf{q})\|\|\boldsymbol{\tau}\|) + \|\Delta\mathbf{V}(\mathbf{q}, \dot{\mathbf{q}})\mathbf{z}\| + \|\boldsymbol{\tau}_d\| \right] \quad (49)
\end{aligned}$$

From the Assumptions 1 to 4, each term of (49) is bounded from above as follows:

$$\|(\mathbf{I} + \Delta\mathbf{M}(\mathbf{q})\overline{\mathbf{M}}_0^{-1}(\mathbf{q}))^{-1}\| < a_1 \quad (50)$$

$$\|\Delta\mathbf{M}(\mathbf{q})\overline{\mathbf{M}}_0^{-1}(\mathbf{q})\| < a_2 \quad (51)$$

$$\|\overline{\mathbf{V}}_0(\mathbf{q}, \dot{\mathbf{q}})\mathbf{z}\| < a_3 + a_4\|\mathbf{q}\| + a_5\|\dot{\mathbf{q}}\|^2 + a_6\|\mathbf{z}\|\|\dot{\mathbf{J}}\| \quad (52)$$

$$\|\Delta\mathbf{V}(\mathbf{q}, \dot{\mathbf{q}})\mathbf{z}\| < a_7 + a_8\|\mathbf{q}\| + a_9\|\dot{\mathbf{q}}\|^2 + a_{10}\|\mathbf{z}\|\|\dot{\mathbf{J}}\| \quad (53)$$

$$\|\overline{\mathbf{B}}(\mathbf{q})\| < a_{11} \quad (54)$$

$$\|\boldsymbol{\tau}_d\| < d_1 \quad (55)$$

where  $a_1, \dots, a_{11}$  are positive. Using (50)-(55) and Assumption 5, upper bound of  $\|\mathbf{h}(t)\|$  can be obtained as follows:

$$\begin{aligned} \|\mathbf{h}(t)\| \leq & a_1(a_2a_3 + a_7 + a_2a_{11}\alpha_0 + d_1) \\ & + a_1(a_2a_4 + a_8 + a_2a_{11}\alpha_1)\|\mathbf{q}\| \\ & + a_1(a_2a_6 + a_{10})\|\mathbf{z}\|\|\dot{\mathbf{J}}\| \\ & + a_1(a_2a_5 + a_2a_3a_{11}\alpha_2 + a_9)\|\dot{\mathbf{q}}\|^2 \end{aligned}$$

Using (6) and Assumption 3, it yields that  $\|\dot{\mathbf{q}}\| \leq J_\alpha\|\mathbf{z}\|$ . Thus, upper bound of  $\|\mathbf{h}(t)\|$  can be alternatively expressed as

$$\|\mathbf{h}(t)\| \leq \beta_0 + \beta_1\|\mathbf{q}\| + \beta_2\|\mathbf{z}\|\|\dot{\mathbf{J}}\| + \beta_3\|\mathbf{z}\|^2 \quad (56)$$

where

$$\beta_0 = a_1(a_2a_3 + a_7 + a_2a_{11}\alpha_0 + d_1) \quad (57)$$

$$\beta_1 = a_1(a_2a_4 + a_8 + a_2a_{11}\alpha_1) \quad (58)$$

$$\beta_2 = a_1(a_2a_6 + a_{10}) \quad (59)$$

$$\beta_3 = a_1J_\alpha(a_2a_5 + a_2a_3a_{11}\alpha_2 + a_9). \quad (60)$$

Therefore,  $\mathbf{h}(t)$  is upper bounded as shown in (56).

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