# Clinical Child and Adolescent Psychology Graduate Handbook - PHD

2019-2020 Handbook

Introductory remarks

Our CPA accredited graduate program in clinical child and adolescent psychology is based on the scientist-practitioner model. This provides a balanced emphasis on science and practice and provides our graduates with the training to become successful in both professional and academic career paths. The PhD degree utilizes a range of instructional experiences including a developmental sequence of courses, progressively independent research projects (including a doctoral dissertation), special-topics workshops and presentations, and hands-on clinical practice through practica within our training clinic, in community settings, and culminating in a one-year, full-time, clinical internship.

Detailed information about our program can be found by following the tabs within this comprehensive Handbook.

# **Area-specific Program Background**

# Clinical Child and Adolescent Psychology Program

The Clinical Child and Adolescent Psychology (CCAP) Program is firmly based on the scientistpractitioner model. It has as its main goal the training of graduate students to become professional psychologists who are proficient contributors to clinical science and competent providers of psychological services to children and adolescents through a prescribed set of courses, research experiences, and practica.

The program offers MA and PhD degrees in a sequenced course of studies. Students entering the MA program do so with the understanding that they are expected to pursue a doctoral degree having first successfully completed a MA degree, comprising course work, clinical work, and an empirical research thesis. If their performance has been satisfactory, then they apply to the PhD program and are admitted. Should students enter the PhD program from other universities, they do so with the understanding that they are required to complete all the required MA level courses for which they do not have equivalent coursework. In this way we ensure that all of our doctoral graduates meet the requirements for provincial registration as psychologists and for working as psychologists in clinical, school, academic, and research settings.

The Clinical Child and Adolescent Psychology (CCAP) Program's mission is to cultivate excellence through developing and training child clinical psychologists within a scientist-practitioner model. Children, adolescents, and their families face numerous challenges and also present with many strengths and resources to address these challenges and improve life. Clinical Child and Adolescent Psychologists have much value to contribute to this end.

# **Philosophy and Training Model**

The Clinical Child and Adolescent Psychology Program is based on the scientist-practitioner model. Our overriding programmatic goal is to prepare clinical child psychologists with an orientation that considers psychopathological processes and relevant diagnostic implications, while also incorporating a solid foundation in development, including the understanding of the inherent strengths and resources that children, adolescents and families possess. Students are required to demonstrate thorough knowledge and skill in research, clinical skills (assessment and diagnosis, intervention, clinical supervision), and ethics, standards and professionalism.

A range of instructional experiences has been designed to operationalize our training model. Through formal courses and seminars, students are expected to acquire foundational knowledge and skills of the discipline. The relationship with the thesis supervisor and advisory committee serves to enhance their ability to undertake progressively independent research across the program. Topics in core clinical courses specifically address the developmental, academic, social, and emotional challenges that children and adolescents may face such that students are prepared to meet these challenges with relevant knowledge and skills on practica and internship. Clinical skills are further developed within a broad array of structured training experiences, including ongoing open practica and a focused CBT practicum in the program's clinic: The Centre for Psychological Services, as well as external practicum placements, and predoctoral internship. Additional learning opportunities are available including monthly Clinical Program Meetings organized around clinical research, professional issues, and clinical issues, and special-topic workshops offered to the entire program. We purposefully cultivate a learning context to model and foster the highest professional standards in research, teaching, supervision, and clinical practice.

# Values

The CCAP program attempts to foster the following values in our students and faculty as they carry out their teaching, research, and professional responsibilities:

Excellence

Ethical behaviour

Integration of science, scholarship, and clinical practice

Inclusion and responsiveness to diversity

Critical inquiry

Self-reflection

Compassion

Flexibility and creativity

Commitment to lifelong learning

Community engaged and impactful research

Engagement with and service to communities

Innovation

# **Program Goals and Objectives**

Within a competency framework, our program emphasizes three broad goals, each of which is associated with a number of more specific objectives:

- 1. The development of scholarly and research skills
- 2. The development of clinical skills
- 3. The development of ethics and professionalism

All of these culminate in the defense of a PhD thesis and the successful completion of one year of internship year in a clinical setting. At the end of their formal training, graduates of the CCAP program are prepared to undertake the professional life of a clinical child psychologist (supervised practice) in clinical, research- scientist, and academic settings.

# A Brief History of Our Program

Our program began as a M.A. program in Applied Child Psychology not long after the official founding of the University of Guelph in 1965. The program evolved to include a Ph.D. and was approved by the Ontario Council on Graduate Studies (OCGS) in the early 1990's. In 1996, an on-site clinic was established for the combined purpose of training students and providing a service to children, adolescents and families within the wider community. Our Centre for Psychological Services (CPS) has remained a valued cornerstone of the program. In the Fall of 2003, CCAP (then known as the Clinical Program: Applied Developmental Emphasis) began the self-study process and received accreditation by the Canadian Psychological Association (CPA) in November 2005. The program retains it's CPA accreditation standing and represents external recognition of the high quality of the program. We adopted the CCAP name in 2018 to reflect the program's explicit focus on training in clinical psychology within child and adolescent (rather than adult) populations. We have always been proud of the training provided in the program, the students within it, and of their accomplishments on internship and after graduation.

# Importance of Accreditation

Accreditation by the Canadian Psychological Association (CPA) indicates that our program meets the standards that are thought to be important by the broader psychology community for a professional psychology training program. Our doctoral program was initially accredited in 2004 and has been continuously accredited ever since. We are currently in the process of undergoing review for reaccreditation in the 2018-19 academic year. Graduating from an accredited program has several advantages for our students as only students from accredited programs are eligible to participate in the APPIC internship matching program. As well, being from an accredited program facilitates your

application for licensure or registration as a clinical psychologist and many employers prefer individuals who have graduated from accredited doctoral and internship programs.

For more information on accreditation see: <u>CPA Accreditation</u>

# **CCAP Faculty**

Core Clinical Faculty: A listing of the core clinical faculty is provided below. Links are provided for additional information regarding faculty research interests.

Dr. Heidi Bailey hnbailey@uoguelph.ca ext. 56399, Office: MCKN 3008 Directory listing

Dr. Karl Hennig khennig@uguelph.ca Ext. 53558, Office: MCKN 4016 Directory listing

Dr. Stephen Lewis stephen.lewis@uoguelph.ca ext. 53299, Office: MCKN 3001 Directory listing

Dr. Margaret Lumley, Director of Clinical Training mlumley@uoguelph.ca ext. 36798, Office: MCKN 3012 <u>Directory listing</u>

Dr. Kaitlyn McLachlan, Graduate Studies Representative kmclac02@uoguelph.ca ext. 56447, Office: MCKN 3011 Directory listing

Dr. Meghan McMurtry cmcmurtr@uoguelph.ca ext. 52499, Office: MCKN 4004 <u>Directory listing</u>

Dr. Barbara Morrongiello bmorrong@uoguelph.ca ext. 53086, Office: MCKN 3003 Directory listing

Dr. Kristel Thomassin kristel.thomassin@uoguelph.ca ext. 53513, Office: MCKN 3016 <u>Directory listing</u>

Dr. Arlene Young

CCAP Area Coordinator ayoung09@uoguelph.ca ext. 58350, Office: MCKN 3004 Directory listing

Clinical Supervisors at the Centre for Psychological Services are also members of the Core Clinical Faculty. Their contact information is noted below. Information about their clinical interests and backgrounds can be found at: https://www.uoguelph.ca/cps/about-us/our-staff

Dr. Tamara Berman Director, Practicum Coordinator Registered Psychologist tberman@uoguelph.ca ext. 54715

Eileen Gross Assistant Professor Registered Psychological Associate egross@uoguelph.ca ext. 52574

Dr. Elissa Newby-Clark Assistant Professor Registered Psychologist enewbycl@uoguelph.ca ext. 56320

Dr. Gregory R. Simpson Assistant Professor Registered Psychologist gsimpson@uoguelph.ca 519-824-4120 X 54008

# Faculty roles and responsibilities in governing the CCAP Program

The core faculty, made up of the nine faculty in CCAP, are responsible for the governance and operation of the program. As such, the faculty, through discussion, shape the curriculum of the program, agree on the policies and procedures that will govern its operation, and jointly evaluate the progress of our students over the course of their studies. The core faculty serve as the research advisors of most CCAP students and make up the majority of members of the advisory committees. Core faculty teach a majority of the courses in the program. Finally, some of the core faculty provide consultation and clinical supervision to our students at the Centre for Psychological Services (CPS), our on campus training clinic.

The major area portfolios are DCT, the Clinic Director, the Graduate Program Committee Representative and the Area Coordinator.

The DCT is responsible for the overall operation of the program and insuring that the accreditation standards of CPA are upheld. The DCT prepares the documentation and chairs the area semester end review of student progress. The DCT represents the program at meetings of CPA, CCPPP, and CPO and works closely with the Clinic Director to monitor the clinical training progress of all program students.

The DCT also meets regularly with students to address any questions or challenges they may be encountering within their training. Finally, the DCT is responsible for the writing of all documents representing the program as a whole (e.g., CPA annual accreditation reports, reaccreditation document, and internship application letters).

The Clinic Director is responsible for assigning cases to students and clinical supervisors within CPS ensuring that the students are receiving the appropriate practical experiences over a range of supervisors and activities. The Clinic Director also coordinates the application of students to practicum sites for their external practica and completes regular evaluation of student clinical performance. The Clinic Director also writes the assessment of clinical progress used by the Qualifying Examination Committee to determine whether a student meets the levels of competence expected for the clinical component of the Qualifying Examination. Finally, the Clinic Director is responsible for keeping the DCT apprised of student progress throughout their practical clinical training.

The Graduate Program Committee representative coordinates with the departmental Graduate Coordinator and the representatives from the other graduate areas. The GPC rep also supports the DCT and the area in terms of progression through the program. More specifically, the GPC: represents the area in GPC meetings; coordinates with the Graduate Coordinator and other GPC reps for the department, carrying out required tasks as needed; maintains the record of cognates and any differential program specifications which were identified at entry into the program for CCAP students; and creates programs of study for already enrolled (i.e., post-entry) CCAP students who are not following the typical program of studies (e.g., students with leaves) consulting with the DCT, student, and advisor as needed. With respect to this last task, the GPC rep gains approval for these programs of studies, reviewing them with the relevant student and ensuring that these courses of study are brought to area review meetings to ensure appropriate evaluation of progress.

The Area Coordinator (AC) chairs the monthly area meetings, is responsible for the distribution of minutes, and prepares an action list to assist the area in meeting its goals. The AC also compiles the evaluations of each graduate student at the end of each semester for the DCT to review prior to the area wide graduate evaluation meeting. The AC is also responsible for the distribution of public information about the program including the brochure and the website.

# **Cognates: Foundations of Psychology Courses**

All CCAP students must demonstrate sufficient coverage of 5 foundational areas of psychology (biological bases of behaviour, cognitive-affective bases of behaviour, individual differences, social bases of behaviour, history and scientific foundations of general psychology) before completion of the PhD program, consistent with CPA accreditation requirements. Upon entering the program, the DCT will determine if you have sufficient coverage of all areas (2 upper level undergraduate courses or 1 graduate course in each area (except for the History of Psychology where 1 upper level undergraduate or graduate course is needed). Individual Differences is covered in the CCAP core program. The current University of Guelph graduate courses approved to meet these requirements include: PSYC\*6810 for the Biological Bases of Behaviour; PSYC\* 7040, OR PSYC\*6930, OR PSYC\*6910 for the Social Bases of Behaviour; PSYC\*6790 for the Cognitive-Affective Bases of Behaviour; and PSYC\*6900 History and Systems. At the Masters level, you may consider taking a course that will meet this requirement (optionally).

\*Important departmental milestones to achieve during the degree (full-time registration only): Students enrolled in the MA must have their thesis defended in their 6th semester. If this milestone is not met it will result in a "Some concerns" on the student's progress report.

#### IMPORTANT TO NOTE:

A student cannot be in two programs at the same time, the expectation is that a continuing master's student with a doctoral offer for the semester following their last MA semester will defend by the middle of their last MA semester or earlier.

If you are unable to successfully finalize your master's with the Office of Graduate Studies no later than a week before the start of your first PhD semester, the doctoral offer of admission may become be null and void.

# **Description of Practica**

(Note: Both MA and PhD level practica are described below).

Practica are an integral part of the CPA accredited Clinical Child and Adolescent Psychology (CCAP) program and involve supervised placements in school boards, community mental health agencies, hospitals, and the Department's in-house training facility, the Centre for Psychological Services (CPS). The sequence of practica provide opportunities for students to apply knowledge and clinical skills gained in coursework to develop and practice the professional competencies that are an essential part of the training program. Evaluated competencies on practica are also an important component of the PhD Qualifying Examination for determining suitability for Doctoral candidacy. Moreover, documented skilled performance and experience in practica are essential preparation for the required year-long CPA accredited clinical internship (PSYC\*8000). Before applying for this internship year, students should have developed both breadth and depth of clinical training through a minimum of 300 direct contact hours in interviewing, assessing or intervening with clients and 200 hours of supervision.

Guidance to students regarding practica is primarily provided by the Director of Clinical Training and the CPS Clinic Director. The typical sequence of clinical experiential training and course work that students follow across their studies is included at the end of this document.

The CCAP Program acknowledges the invaluable benefit that students receive from their practicum experiences. Integral to our program are four clinical faculty supervisors whose central role is to provide individual and group clinical supervision at CPS in addition to other service and research roles within the department (Assistant Professor, Contract-Limited positions). Our program is also grateful for the time and expertise provided by external practicum supervisors. In recognition of the important educational and training role played by these external supervisors, Associate Graduate Faculty standing may be accorded by the University.

Note that students must carefully balance their coursework, practica and thesis/dissertation work to ensure that they make good progress on their research. The department has clear requirements regarding the deadlines for thesis and dissertation proposals and overall program timelines which must be considered while acquiring appropriate practicum experience. On a term-by-term basis, particularly in senior years, students must consult with their advisors and the DCT to ensure that they are appropriately balancing the clinical training and research components of graduate study.

## **Broad Learning Outcomes Assessed Via Practicum Training**

The following broad learning outcomes (comprised of numerous more specific competencies) are developed and assessed on our integrated series of practicum experiences.

Professionalism and Interpersonal Relationships: Demonstrates knowledge and ability to establish,

develop, and maintain effective interpersonal and professional relationships (e.g., with clients, supervisors, students, research participants, colleagues) with consideration to diversity. This is a core competency that underlies all other competencies. Psychologists normally do their work in the context of interpersonal relationships. They must therefore be able to establish and maintain a constructive working alliance with clients and other professionals (e.g., colleagues, learners).

Assessment and Evaluation: Demonstrates knowledge about how and ability to assess, conceptualize, diagnose, and communicate the needs, challenges, and strengths of clients to inform practical plans of action. The skills required for assessment can and should be applied to many situations other than initial evaluation, including, for example, treatment outcome, program evaluation, and problems occurring in a broad spectrum of non-clinical settings. The primary purpose of psychological assessment is to provide an understanding that informs a practical plan of action. It may result in a diagnostic classification or in the identification of strengths or competencies.

Intervention and Consultation: Demonstrates knowledge about and ability to implement activities that promote, restore, sustain, and/or enhance positive functioning and a sense of wellbeing; this includes addressing clients' needs, concerns, distress, and impairment. A broad, comprehensive vision of the intervention competency should include explicitly theory as well as knowledge and skills.

Ethics and Standards: Demonstrates knowledge and application of ethical principles, standards of professional conduct, and jurisprudence in relation to psychology.

## **Required Practicum Hours**

Students traditionally experience anxiety about how many practicum hours they need to accrue to be prepared and competitive for their internship. Directors of internship sites repeatedly stress that it is the quality of the practicum experiences rather than the sheer quantity of hours that sets the applicants for internship in the best stead. The expectations in our accredited program are informed by practicum hours guidelines set by both the Canadian Psychological Association (CPA) and recommendations from the Canadian Council of Professional Psychology Programs (CCPPP).

"While 600 hours of practicum experience before beginning an internship has been set within the CPA accreditation standards as the minimum in which this competence might be gained, more typically 1000 hours of wisely chosen practicum experience is required to attain sufficient breadth and depth. This would include an appropriate balance of direct service, supervision, and support hours. Students and programs should strive in their practica for experience with cases varying in complexity in different service delivery settings, with a variety of populations, presenting questions, assessment and therapeutic models and methods, case conferences, and supervisors to acquire competencies for a successful internship year. This is more important than the number of hours recorded." Excerpt from: Guiding Principles in the Preparation of and Selection of Applicants for Internship (Approved at CCPPP AGM, June 2007)

In keeping with CCPPP recommendations, the following table details the practicum hours that are expected throughout the program.

Year 1

PSYC\*7991 Internal practicum which includes policies and procedures of CPS, CPS intake line, risk assessment training, and two assessments (intensively supervised). Hours required: 50 Direct contact hours: 20 Supervision hours: 20

Year 2 PSYC\*7991 PSYC\*7991 Internal practicum which includes participating on in-person intake team (IPIT)

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and substantially contributing to a minimum of two full assessments at CPS.' PSYC\*7992 External practicum which involves completion of approximately four cognitive, social/personality and learning disabilities assessments; Consulting with teachers, school administrators, parents. Hours required: 150 Direct contact hours: 50 Supervision hours: 50

Year 3

PSYC\*7994 CBT practicum course with didactic, practice and supervision components within the CPS (1 therapy case min); Within PSYC\*7991 carry one additional therapy case at minimum and contribute to a minimum of one assessment case at CPS. Hours required: 200 Direct contact hours: 45 Supervision hours: 45

Year 4

PSYC\*7993 is a two-day per week, 400 hour external practicum (typically hospital or community clinic based; therapy and assessment).

In years 4 and 5 students return to CPS for PSYC\*7991. Students are expected to carry 1-2 therapy cases and have involvement in at least one assessment case per year. Leadership on IPIT Team is an expectation for at least two semesters during years 5 and/or 6 as is involvement in supervised supervision of junior colleagues in assessment, intake, or therapy.

Hours required: 450 Direct contact hours: 200 Supervision hours: 100

Hour Totals:

Hours required: 850 Direct contact hours: 315 Supervision hours: 215

**Description of Practicum Courses** 

Clinical Psychology Practicum I: (PSYC\*7991) taken in most semesters of the graduate program

Approximately 350 hours (120 Direct; 120 Supervision)

Faculty Instructor (Coordinator): Clinic Director

Throughout their MA and PhD studies, students are required to undertake ongoing supervised clinical work with children, adolescents and their families at the CPS. This practicum is undertaken in multiple semesters to permit a broad variety of case and supervisory experiences. This includes experiences from shadowing cases and performing intake duties early in MA training to participating on the In-Person-Intake-Team (IPIT), handling complex assessment and eventually therapy cases during PhD training. Senior PhD students will also participate in supervised supervision activities. Supervision of clinical work at CPS is provided by the Clinic Director and several Clinical faculty.

Note: In senior PhD years, some students elect to take PSYC\*7991 as an external practicum (i.e., outside CPS). If a student would like to complete and additional PSYC\*7991 in a setting other than the CPS, the student must obtain approval from the Director of Clinical Training. It must be clear that the potential site offers sufficient quality clinical training and supervision and that the particular experience is not readily available at CPS within the same timeframe.

Clinical Psychology Practicum II: Masters Level Practicum (PSYC\*7992)

Approximately 150 hours (50 Direct; 30 Supervision)

Faculty Instructor (Coordinator): Clinic Director

This practicum is intended to provide students with a broad range of experience in psychological assessment and typically occurs in the psychological services department of a school board. Under supervision, students are expected to conduct initial interviews, plan assessments, administer a range of tests, score and integrate assessment data, generate preliminary formulations, consider differential diagnoses, and write integrated, informative psychological assessment reports. Although the breadth and complexity of cases and level of involvement of students will vary depending on the abilities of the individual student, the client population, and the practicum setting itself, PSYC\*7992 students have exposure to a range of presenting problems, including learning, attention, behaviour, social-emotional, developmental, and/or other mental health concerns. Usually students take on between 4 and 6 assessments over the course of a semester depending on the complexity of the cases and depth of the assessments. Students may also be involved in consultation or other in-service work.

CBT Practicum: PhD Level Practicum (PSYC\*7994)

Approximately 100 hours (20 Direct; 20 Supervision) Faculty Instructor (Coordinator): Faculty Member Assigned to Teach PSYC\*7994

This practicum course is taken in the first year of the PhD and is intended to provide extensive support for first psychotherapy cases. The course will foster graduate student training in early therapy skills with a focus on cognitive behaviour therapy (CBT) and will include didactic and experiential components. Students will gain competency with the practice of CBT for child and adolescent mental health challenges within the CPS, be exposed to treatment manuals, and undertake at least one ongoing therapy case utilizing a CBT approach.

Practicum III: PhD Level Practicum (PSYC\*7993)

Approximately 400 hours (100 Direct; 50 Supervision)

Faculty Instructor (Coordinator): Clinic Director

This practicum is intended to provide students with in-depth experience in the assessment of and intervention for complex social-emotional and mental health problems. Often this practicum takes place in a hospital or community mental health setting. On this practicum, students continue to develop assessment, therapy and consultation competencies. Most students apply to sites that comprise the Toronto Area Practicum Group. An electronic resource about external practica available through the Toronto Area Practicum Group can be found here: <u>Practicum brochure</u>.

Preparing for Practicum

Practicum I (PSYC\*7991) can usually be arranged on a semester by semester basis, consistent with ongoing training objectives.

# Selecting a Site

For all practica, students should meet with the Clinic Director to identify training objectives and potential practicum sites and to develop a rough plan of activities for the practicum to be finalized in

consultation with the on-site practicum supervisor. When applying for practica, students should have ready an up-to date curriculum vitae that includes coursework, clinical experience, and research completed and planned for before the practicum begins.

For Practicum II (PSYC\*7992), students need to meet with the faculty instructor for this course 4-5 months in advance of placement to review possibilities for placements at different school boards. Students should supply a recent CV to the instructor who will initiate contact with the chief psychologist and gain potential matches for students to follow-up on. If students are interested in placement with school boards (or other assessment placements) that are part of the Toronto-Area Practicum Group, they will need to apply one year in advance.

For Practicum III (PSYC\*7993), preparation should begin at least a year in advance, as considerable leadtime is required for some settings. Students who are interested in completing practicum in one of the popular Toronto Area Practicum settings including, CAMH, SickKids, Bloorview Kids Rehabilitation Hospital, Reach Out Centre for Kids, Hamilton Health Sciences, among many other sites, are advised that this group of settings has organized into a Toronto Area Practicum Group with a coordinated application date (usually early February) notification date (usually March) and procedure. Reference letters, transcripts and cover letters will be requested when applying for Practicum III.

#### Insurance

Work completed as part of required studies is covered under the Canadian Universities Reciprocal Insurance Exchange insurance policy. Practicum settings may request proof of this Insurance Certificate. This documentation can be arranged through the faculty instructor or the DCT.

Police Checks, Vaccination Coverage, and Tuberculosis Clearance

Many practicum settings require that all regular and visiting personnel have a criminal record check with a vulnerable sector screen, proof of vaccination coverage/history, and certificate of being free of tuberculosis. Policies vary from setting to setting and may change from one year to the next. Students must determine what the policies are at the setting and ensure that appropriate documentation is submitted in advance of beginning the practicum.

#### Registering in Practica

Registration in each practicum course requires the consent and initials of the faculty instructor or Clinic Director (depending on the course). It is students' responsibility to supply the instructor of these courses with the appropriate Add Form. Once a practicum setting and supervisor has been determined, the student completes three copies of the Practicum Agreement Form: one for the onsite supervisor, one for the Clinic Director, and one to keep for their records.

Students must register for a practicum before undertaking any clinical work. Clinical work undertaken while the student is not registered for practicum will not count as program sanctioned hours. This is to ensure that appropriate experiences and a suitable supervisor are in place for optimal clinical training.

With respect to practicum work at the CPS, students must register for PSYC\*7991 for any type of work that may affect clients including shadowing cases and intake duties. Registration must be done in paper format and not through Web Advisor. The Graduate Secretary will assist students with getting the proper form and signatures before submitting the registration to Graduate Program Services. There is no charge for adding a course after the add period as long as the student is currently registered for the semester.

### Practicum Responsibilities

#### Responsibilities of the Student

Students are responsible for establishing training goals and objectives and monitoring their experience in conjunction with their supervisors. At minimum, progress toward these goals should be discussed with the supervisor at the mid-point and at the end of the practicum. Students must also keep a record of their hours and activities and submit these to their practicum supervisor for approval and signature. The Department also subscribes to the software program Time2Track to facilitate tracking practicum experiences and students are required to use it for their benefit and also so that their clinical training hours can be cumulatively tracked by the DCT and Clinic Director. Students are expected to resolve issues in a professional manner and to seek advice from their supervisors should any difficulties arise. Students are also able to bring any issues related to their clinical training to the DCT and/or Clinic Director for discussion.

Responsibilities of Onsite Clinical Supervisors.

Clinical supervisors should provide students with information on the policies and procedures for the setting, as well as identify particular professional and legislative standards that apply. Ongoing feedback should be provided to the student through scheduled supervision. Based on the ASPPB Guidelines for Practicum Experience (October 2008) face-to-face supervision is to be provided for no less than 25% of the time the student spends in service-related activities. In addition as per the CPA Accreditation Standards, on average, one hour of face-to-face supervision is to be provided for every two hours of direct, face-to face client work. Supervision is expected to follow the students' levels of competency. Typically, more supervision is required when students undertake new or more complex tasks. For example, students at earlier stages of training or undertaking new responsibilities on practica may require more intensive supervision ratios. Client work may also result in other service related activities such as report writing, scoring, progress notes, and classroom observations that also require supervision. In addition, supervisors should expect to be contacted one or two times by the faculty member responsible for evaluating the training experience to discuss the student's progress.

At the conclusion of the practicum, the supervisor completes an evaluation form regarding the student's competencies, recommends future training goals, discusses this with the student, and verifies that the documentation of hours and experiences compiled by the student is accurate. At any point during the practicum, supervisors are to convey any serious or immediate concerns regarding the student's practicum work to the faculty instructor identified on the Practicum Agreement Form or the DCT.

### Responsibilities of the Course Instructor

Currently, the Clinic Director is responsible for coordination and evaluation of PSYC\*7991, PSYC\*7992, and PSYC\*7993 whereas a clinical faculty member is responsible for PSYC\*7994. The instructor assists students in locating and setting up practica. Once a practicum supervisor is determined, the instructor invites the supervisor to submit their CV and then forwards this to the graduate secretary along with the student's name and anticipated start and end supervision dates requesting that the supervisor be nominated to Clinical Associated Graduate Faculty.

The faculty instructor is also responsible for monitoring the progress and experiences of students while on practica and helping to resolve any problems that might arise. Monitoring is typically handled through a combination of scheduled cohort meetings and one-to-one consultation. Following the Guidelines of Council of Chairs for Training Councils Voluntary Guidelines for Communication between Graduate Program and Internships, the faculty instructor should make 1-2 informal (telephone or email) contacts with the site supervisor to elaborate on or answer any questions on the nature of the program and expectations for supervision and accountability and to monitor student progress. In cases in which a site supervisor expresses concerns over a student, the instructor is to document these concerns as they are conveyed, address them with the student, and follow-up with the on-site supervisor accordingly. These communications should be brought to DCT's attention. At the end of the practicum, the instructor is to write each supervisor thanking them on behalf of the CCAP Program for their contribution. The instructor also ensures that all practicum documentation for each student is complete and signed and that the final grade is submitted. Practicum documentation for each student is to be collated, provided to the graduate secretary for entry into the database, and then placed in the student's practicum file. This file may be periodically reviewed by the DCT and Clinic Director. The PhD Qualifying Exam takes place following completion of PSYC\*7993. At this time, the Clinic Director utilizes the information in the student practicum file to write a summary and recommendation as to whether the student demonstrates clinical competency commensurate with a senior PhD student. This letter will be weighed when making overall decisions about student PhD candidature.

Responsibilities of the Director of Clinical Training:

It is the responsibility of the DCT to consult with the Clinic Director who coordinates PSYC\*7991, PSYC\*7992, and PSYC\*7993 and with the course instructor for PSYC\*7994 to be aware of the progress made by students in the various practica. The DCT meets with individual students as needed to address any questions/concerns about progression in the program including practica. The DCT will also be available for consultation about professional and ethical matters pertaining to the practica and to ensure that the accreditation standards of CPA are adhered to.

### **Practicum Credit**

### Evaluation

The faculty instructor in conjunction with the clinical supervisor decides whether the regular practicum evaluation form or the short practicum evaluation form should be used, depending on the nature of the student's involvement on practicum. Students are graded on a pass/fail basis by the faculty instructor based on written evaluation and verbal feedback from the clinical on-site supervisor. Practicum evaluations are reviewed by the Clinic Director at various times to gain an overview of student progress and provide guidance for future training experiences, as well as to provide a summary of clinical competence as part of the PhD Qualifying Examination. Unethical, irresponsible, incompetent behaviour in practicum activities that is egregious (e.g., harming a client) and/or which continues after explicit corrective feedback to the student would likely be grounds for failure in a practicum course. Should a student fail a practicum, the CCAP area will review the case to determine a recommendation that may range from remedial work to withdrawal from the program.

#### **Incomplete Practica**

On rare occasions, typically due to circumstances beyond student control (e.g., personal illness, strikes, changes in clients) a student may not be able to complete sufficient practicum work or gain enough experience to be evaluated within the semester in which they are registered for a practicum. In such cases, the faculty supervisor in consultation with the on-site clinical supervisor may deem the course to be incomplete and the student receives an interim grade of Incomplete (INC) on their end of current semester transcript. Automatically, the student carries the practicum into the next registered semester and should not re-register for the practicum.

In the following registered semester, with the exception of extraordinary circumstances, the practicum must be completed and the appropriate paperwork submitted to the faculty instructor as per Practicum Responsibilities above. An evaluation of satisfactory or unsatisfactory will be assigned by the faculty instructor, replacing the INC on the student's transcript.

#### Funding

To prevent dual relationships and ensure focus of all practica is centred on student learning, program-

sanctioned practica are unpaid clinical training experiences.

Currently our program will review and occasionally grant permission for a site to offer a small honorarium to students to defray the expenses associated with training (e.g., travel, parking). If the practicum setting is able to offer this one-time amount, it should be provided to the student directly and should first be discussed with and approved by the DCT.

# Clinical Training Sequence - PhD

The CCAP sequence of clinical training follows a developmental trajectory aimed intentionally to increase core competencies from basic to advanced levels throughout the program.

### PhD first year

In the first semester of the PhD program, students are introduced to psychotherapy by taking Foundations in Child and Adolescent Psychotherapy (PSYC\*6580). This course emphasizes major systems of psychotherapy including cognitive, behavioural, psychodynamic, client-centred play therapy, group therapy and family systems therapy. Students are also introduced to and practice basic therapy skills. In the first year of the PhD, students also take a two semester CBT Practicum (PSYC\*7994) with didactic, practice and supervision components within the CPS. Students are expected to have engaged with a minimum of one therapy case by course cessation. Students also enroll in PSYC\*7991 at CPS at some point during their PhD 1 year and carry at least one additional therapy case as well as have substantive involvement in one assessment across the year.

#### PhD second year

Advanced Child and Adolescent Psychotherapy, PSYC\*6610 builds on basic intervention skills in PSYC\*6580 with an emphasis on intervention in complex circumstances (e.g., developmental trauma, continued discussion of diversity considerations) and a broadened introduction to third wave cognitive therapies (e.g., DBT and ACT) as well as other systems of intervention (e.g., Emotionally Focused Family Therapy). In this year, students are also typically enrolled in PSYC\*7993, a two-day per week, 400-hour external practicum in a child and adolescent mental health facility (typically hospital or community clinic based). Typically, this practicum will have a mixed intervention and assessment focus. In the Spring term, students complete the written and oral components of the PhD Qualifying Exam, one goal of which is to establish clinical competence commensurate with the PhD.

#### PhD third year

In the third year of the PhD program, students complete the Professional Development, Supervision, and Consultation course (PSYC\*7996) with a focus on the Supervisory Relationship, Models of Supervision, Supervision Skills as well as broader focus on professional development at this stage of training including a focus on consultation, preparation for internship and eventual registration as a psychologist. Students will become familiar with the professional literature relevant to supervision, gain competency with ethical, culturally-competent clinical supervision, and explore their own development as a supervisor. Senior PhD students also return to CPS for PSYC\*7991. Students are expected to carry 1-2 therapy cases and have involvement in at least one assessment case per year. (The unique balance of assessment and therapy activities will vary depending on the given student's training goals/gaps/needs which are closely monitored by the Clinic Director and DCT). Leadership on IPIT Team is an expectation for at least two semesters during PhD 3 and/or 4 as is involvement in supervised supervision of junior colleagues in assessment, intake or therapy. Professional skills by the end of PhD 3 or 4 should be rated as, "ready for internship". Students who do not achieve this level of competence will be required to

complete remedial clinical work until this level of professional competency is acquired.

### PhD fourth/fifth year

Clinical Internship (PSYC\*8000) a full year internship in a CPA accredited site is completed in the fourth or fifth year of the PhD program. Students are expected to apply to CPA or APA accredited internship sites through the APPIC match process. In order to be determined to be ready to apply for internship, a student must consult with the DCT to ensure that they have the necessary clinical and academic preparation and are in good standing in the program. As well, students are expected to have completed all required academic courses and have their dissertation data collected by the end of October in the Fall semester before applying. Any application outside of these expectations (e.g., in rare instances where a student does not match or is unable, because of extenuating circumstances to participate in the APPIC match as expected) must be discussed and approved by the DCT. The Program has a detailed and explicit policy regarding applications to non-accredited internship sites to determine if the internship meets the quality standards needed to approve it as an internship for a student in the CCAP program.

# **Typical Progress Sequence PHD CCAP**

### PhD year 1

Semester 1 (7) Fall

#### Courses

- PSYC\*7070 [.50] Psychological Measurement
- PSYC\*6580 [.50] Foundations in Child and Adolescent Psychotherapy

Practicum

• PSYC\*7994 Practicum IV: CBT Practicum (continues in Winter semester)

PhD thesis

• Draft ideas for proposals

Scholarships

- Apply for OGS
- Apply for SSHRC or other Tri council scholarship

• Other options may also available depending on your research topic search the web and discuss with your supervisor

Semester 2 (8) Winter

#### Courses

- PSYC\*6840 [.50] Program Evaluation
- One of the Cognate courses (as needed and available if not already completed)

For the Social Bases of Behaviour (chose 1 of the following courses)

- PSYC\*6910 [0.50] Critical Approaches to Applied Social Psychology
- PSYC\*6930 [0.50] Community, Culture and Global Citizenship
- PSYC\*7040 [.50] Social Processes in the Workplace

For the the Biological Bases of Behaviour

• PSYC\*6810 [0.50] Neuropsychology

For the Historical and Scientific Foundations of General Psychology

• PSYC\*6900 [0.50] Philosophy and History of Psychology as a Science

For the Cognitive-Affective Bases of Behaviour

• PSYC\*6790 [0.50] Memory and Cognition

#### Practicum

• Apply for Practicum III PSYC\*7993 (to begin in the following Fall semester)

• PSYC\*7994 Practicum IV CBT Practicum (continues from the Fall semester and continues throughout the Winter semester)

PhD thesis

- PhD thesis proposal draft submitted to advisor (see PhD Thesis proposal section for more information)
- Form PhD thesis committee (no later than the 10th week of the 2<sup>nd</sup> semester)
- Initial PhD committee meeting

#### Scholarships

• None

Semester 3 (9) Summer

Courses

• One of the Cognate courses (as needed and available if not already completed)

Practicum

• PSYC\*7991 (open hours)

PhD thesis

• PhD thesis proposal draft submitted to advisor and advisory committee (see PhD Thesis proposal section for more information)

Scholarships

Revise OGS, SSHRC and any other grant application

\*Important departmental milestones to achieve during the degree (full-time registration only): PhD must have their approved thesis proposal: 3<sup>rd</sup> semester. If the milestone is not met it will result in a "Some concerns" on the student's progress report.

### PhD year 2

Semester 4 (10) Fall

Courses

- PSYC\*6610 [0.50] Advanced Child and Adolescent Psychotherapy
- One of the Cognate courses (as needed and available if not already completed)

### **Clinical Child and Adolescent Psychology Graduate Handbook - PHD**

Published on Department of Psychology (https://www.uoguelph.ca/psychology)

#### Practicum

• Practicum III PSYC\*7993 (a total of 400 hours to be completed over the Fall and Winter terms)

PhD dissertation

- PhD proposal examination
- Approval of PhD proposal by committee
- Ethics for PhD research (if applicable; note the REB review process typically takes 2 to 4 weeks)

Scholarships

- Apply for OGS
- Apply for SSHRC or other Tri council scholarship

• Other options may also available depending on your research topic search the web and discuss with your supervisor

Semester 5 (11) Winter

Courses

• PSYC\*6890 [0.25] Legislation and Professional Practice

• One of the Cognate courses (as needed and available if not already completed)

Practicum

• Practicum III PSYC\*7993 continued (a total of 400 hours to be completed over the Fall and Winter terms)

PhD thesis

- PhD data collection /analysis
- And elaboration of follow up research (if applicable)

• Continue research opportunities with other faculty members than primary advisor(s) (if applicable, recommended for research stream, discuss with your primary advisor(s))

Scholarships

None

Semester 6 (12) Summer

Courses

• Typically course requirements are completed at this time.

Practicum

• PSYC\*7991 (open hours)

Qualifying Exam (see QE examination section for more details)

PhD dissertation

- PhD data collection /analysis
- And elaboration or pursuit of follow up research (if applicable)
- Completed at this time.

Scholarships

Revise OGS, SSHRC and any other grant application

#### PhD year 3

Semester 7 (13) Fall

Published on Department of Psychology (https://www.uoguelph.ca/psychology)

### Courses

- PSYC\*7996 [.50] Clinical Supervision, Consultation and Professional Development
- Typically all other courses are completed

#### Practicum

• PSYC\*7991 (open hours)

#### PhD Thesis

- Completion of follow up research (if applicable)
- PhD data collection/analysis
- Thesis writing

#### Qualifying exam

• Completed at this time.

Apply for Internship through the APPIC standardized application portal

#### Scholarships

• Consider applying for postdoctoral fellowships

#### If applicable:

- Apply for OGS
- Apply for SSHRC or other Tri council scholarship

• Other options may also available depending on your research topic search the web and discuss with your supervisor

#### Semester 8 (14) Winter

#### Courses

• Typically course requirements are completed at this time.

Practicum

• PSYC\*7991 (open hours – optional as needed)

PhD dissertation

- Completion of follow up research (if applicable)
- PhD data analysis
- Dissertation writing

Qualifying exam

• Completed at this time.

Scholarships

None

Semester 9 (15) Summer

Courses

• Typically course requirements are completed at this time.

Practicum

• Practicum are not required but participation in PSYC\*7991 practicum is allowed

Published on Department of Psychology (https://www.uoguelph.ca/psychology)

PhD dissertation

Dissertation writing

• PhD thesis examination/defense

Qualifying exam

• Completed at this time.

Scholarships

• None

Semester 10 (16) Fall

Internship

• Begin full time internship – PSYCH\*8000

Practicum

None

PhD ThesisIf not already defended, continue to finalize thesis for defense

Qualifying exam • Completed at this time.

Semester 11 (17) Winter

Internship • Continue full time internship – PSYC\*8000

PhD Thesis

• If not already defended, continue to finalize thesis for defense

Qualifying exam • Completed at this time.

Scholarships

None

Semester 12 (18) Summer

Internship

• Continue and complete full time internship – PSYC\*8000

Practicum

None

PhD dissertationIf not already defended, continue to finalize thesis for defense

Qualifying exam • Completed at this time.

Scholarships

None

\*Important departmental milestones to achieve during the degree (full-time registration only): PhD must have defended their dissertation: 15<sup>th</sup> semester. If the milestone is not met it will result in a "Some concerns" on the student's progress report.

# **Advisory Committee Membership**

See Chapter II, heading Student Program, subheading Establishment of the Advisory Committee

See also Chapter IV, heading Doctor of Philosophy, subheading Advising

See also Chapter IV, heading Doctor of Philosophy, subheading Department Regulations

Look for "Area-specific advisory committee membership" below CONTENTS for area-specific guidance if it exists.

# Area-specific Additional regulations specific to the CCAP PhD program

Typically, the advisory committee must consist of a minimum of three graduate faculty members. Typically, at least one committee member beyond the advisor will be from the University of Guelph CCAP graduate faculty. This committee should be formed no later than the 20th class day of the student's second registered semester.

After the committee is formed, it is recommended that the student, their Advisor, and the committee members meet to discuss the expectations for that particular committee (e.g., does the committee plan to have regular meetings, when does the student expect to have a proposal meeting?, etc.).

# **Conflict with Advisor or Advisory Committee**

See chapter III General information, heading Policy on Responsibilities of Advisors, Advisory Committees and Graduate Students and Graduate Student-Advisor Mediation Procedures, subheading <u>Dispute</u> <u>Resolution Mechanisms</u> (with flowchart)

See also Chapter IV, heading Doctor of Philosophy, subheading Department Regulations

Look for "Area-specific conflict with advisor or advisory committee" below CONTENTS for area-specific guidance if it exists.

# Area-specific conflict with advisor or advisory committee PHD CCAP

As reminder, in the case of conflict with the Advisor or Advisory Committee, students can inform either the CCAP representative to the Graduate Program Committee, the Associate Chair, Graduate Program Coordinator, or, if needed, the Department Chair, of the situation.

# **Transfer of advisors PHD CCAP**

See Chapter IV, heading Doctor of Philosophy, subheading Department Regulations

Students are assigned a primary advisor (or co-advisors) upon admission. In rare cases a student may wish to change his or her primary advisor (or co-advisors). If a student wishes to change his or her primary advisor (or co-advisors) the student must notify the Area Graduate Program Coordinator. The coordinator will provide assistance to the student who seeks to transfer. In those cases where the advisor is also the Area Graduate Program Coordinator, the student should contact the departmental graduate studies coordinator.

Please note that transfers will be at the discretion of the faculty member(s) being asked to become the student's primary advisor (or co-advisors).

If a faculty member agrees to become the student's new primary advisor (or co-advisor), then typically a new advisory committee will be constituted under the direction of the newly appointed advisor. Normally, at least one of the members of the existing advisory committee will continue as a member of the new advisory committee. The decision to continue as an advisory committee member is at the discretion of the faculty member.

Look for "area-specific transfer of advisors" below CONTENTS for area-specific guidance if it exists.

# Area-specific transfer of advisors CCAP PHD

## **CCAP: Additional PhD regulations**

Students are assigned a primary advisor (or co-advisors) upon admission. In rare cases a student may wish to change their primary advisor (or co-advisors). The decision to become a student's primary advisor (or co-advisor) in this circumstance will be at the discretion of the faculty member(s) being asked to become the student's primary advisor (or co-advisors). If a faculty member agrees to become the student's new primary advisor (or co-advisor), then typically a new advisory committee will be constituted under the direction of the newly appointed advisor. Normally, at least one of the members of the existing advisory committee will continue as a member of the new advisory committee. The decision to continue as an advisory committee member is at the discretion of the faculty member. As reminder, students can seek feedback from the CCAP representative to the Graduate Program Committee, the Associate Chair, Graduate Program Coordinator, or, if needed, the Department Chair, for support.

Published on Department of Psychology (https://www.uoguelph.ca/psychology)

# **Examination Committee Membership PHD CCAP**

Look for "Area-specific examination committee membership" below CONTENTS for area-specific guidance if it exists.

# Area-specific examination committee membership PHD CCAP

The Final Oral Examination Committee will consist of five members:

1. A member of the regular graduate faculty who is not a member of the advisory committee appointed to act as chair by the department chair on behalf of the Assistant Vice-President (Graduate Studies)

2. The external examiner

3. A member of the regular graduate faculty, who is not a member of the advisory committee

4. Two members of the student's advisory committee, selected by the advisory committee. The candidate's Advisor may be one of the two members that join the Examination Committee. If the Advisor does not join the Examination Committee, the Advisor should be present (in a non-voting capacity) during the Examination Committee's deliberations following the PhD Thesis Examination.

### **External PhD Examiner**

1. The Department Chair appoints an External Examiner from outside the university for each PhD Thesis, on behalf of the Dean of Graduate Studies, and in consultation with the Advisor. The External Examiner must be a recognized expert in the subject of the thesis.

2. The External Examiner must not have been involved in joint projects with the Advisor, student, or other committee members in the last 5 years, must not have served as an Advisor of the student's Advisor, must not have been a student or a member of the graduate faculty at the university in the last 5 years, and must not have served as an External Examiner at the university within the last 3 years. The External Examiner must also have had no direct connection with the student or the student's research project. The Board of Graduate Studies takes assurance of the independence of the External Examiner as a very serious matter.

3. The External Examiner is required to submit a written appraisal of the PhD Thesis to the Chair of the Department at least seven days before the Final Oral Examination. The External Examiner is expected to attend the oral examination (in person or by video/phone conference) and assist in evaluating all aspects of the candidate's performance.

# **Examination Committee Creation PHD CCAP**

See Chapter IV, heading Doctor of Philosophy, subheading Department Regulations

The student's advisor in responsible for establishing the Examination Committee. It is important that the student does not directly contact the External Examiner. Once the student has an initial draft of the

thesis ready for evaluation by their Advisory Committee, the advisor should begin establishing the Examination Committee.

1. They should forward a list of three potential External Examiners to the Graduate Secretary who will verify that the examiners are not currently on record with graduate studies (i.e., are not already taking part in the University of Guelph committees).

2. Provided that the Graduate Secretary and Advisor cannot identify any conflicts of interest, the Advisor will informally contact externals, verifying with them their availability, and that they are not planning to be serve on any other University of Guelph committees before the Final Oral Examination.

3. The Advisor will then identify the remaining committee members, verifying that each does not have any joint projects with the External Examiner.

4. The full list of proposed Examination Committee members should then be forwarded to the Department Chair (CC'ing the Graduate Secretary), for formal approval.

5. Once the External Examiner has been formally approved, the Advisor will obtain the examiners address, phone number, email address, availability for the defense over several weeks, and whether they are planning to attend the Final Oral Examination in person or by video conference.

\*\*All members of the Examination Committee must receive a copy of the final draft of the PhD Thesis (i.e., which include the corrections requested by the Advisory Committee) at least one month (four weeks) before the date of the PhD Thesis Public Lecture & Examination.

Look for "Area-specific examination committee creation" below CONTENTS for area-specific guidance if it exists.

# Area-specific examination committee creation PHD CCAP

No specific CCAP regulations.

# **Qualifying Exam**

See Chapter IV, heading Doctor of Philosophy, subheading Qualifying exam

See Chapter IV, heading Doctor of Philosophy, subheading Department Regulations

Department of Psychology Form: Approval of Qualifying Examination Paper Proposal

Once the examination/defense has been setup by the Graduate Program Assistant, the Chair is responsible for following each program's specific process for the complete examination experience (e.g. questioning process from public attendees and examining committee, avoidance of asking own questions, following the time requirements, etc.). This process needs to be followed as precisely as possible to ensure fairness across all students within the program.

However, it is possible for the student and/or advisor to request a modification or accommodation to the process. All requests must be made to the Examination/Defense Chair who is responsible for ensuring that the process is fair to all students. Accommodations are possible as long as these are managed by

the Defense/Examination Chair. If you have a defense/examination coming up and would like to discuss an issue related to the process, please discuss this with your Defense/Examination Chair with as much advance notice as possible to allow this person to discuss with relevant others if necessary (e.g. Department Chair, Grad Studies) and/or to arrange the modifications.

Look for "Area-specific qualifying exam" below CONTENTS for area-specific guidance if it exists.

# Area-specific qualifying exam PHD CCAP

### **Components of the Qualifying Exam**

The CCAP PhD Qualifying Examination will take place no later than the 6th semester following the MA degree (typically completed between May and June). Within the QE, students are assessed on their independent competence in two domains: clinical competency and research competency.

### **Competence Domains**

### **Clinical Competency**

Clinical Competency is assessed via two components: 1) A written examination, and, 2) A letter composed by the Clinic Director in consultation with the DCT providing a summary of the student's clinical training to date.

### Written Examination

Format: the written exam will be completed in a single 3-hour period within a computer lab in mid-May of PhD semester 6. A USB key will be provided and students will also upload their answers to a Courselink website.

Content Overview: A single, clinically-focused question will make up the Written Examination. This question will be based on readings and content of the courses taken to date and will cover the areas of development, assessment, diagnosis, case formulation, psychopathology, risk and resilience, intervention, ethics, and legislation. Specifically, students will be given a case study and asked to respond to the following:

- 1. Diagnostic Formulation: Based on the information provided in the case study, provide a potential diagnosis consistent with the symptom presentation. Note that there may be more than one possible diagnosis for the symptom presentation. If more than one diagnosis appears appropriate, limit your answer to no more than three possible diagnoses. For each potential diagnosis, clearly indicate why the diagnosis is consistent with the individual's symptom presentation as well as any other further information you would need to confirm or rule out the diagnosis.
- 2. Case Formulation: Now imagine that you are meeting with your clinical supervisor. How would you explain the client's symptom cluster/presentation?
- 3. Other Issues: Clearly identify any ethical or legal concerns, or other issues needing further assessment.
- 4. Treatment Plan: Accounting for the three components above (your diagnosis, case formulation, and other issues), what would be your initial treatment plan? Should there be multiple diagnoses (up to three maximum) which are divergent, in the interests of time you may wish to focus on

one or possibly two diagnoses for the treatment.

Feedback: Within 2 weeks of the written exam, the 3 members of the QEC who are competent to evaluate the written exam, complete the "Marker Report for the PhD Qualifying Examination Committee," and return the report to the QEC chair. The student's response is to be assigned either a "satisfactory" or a "some concerns" designation. Markers are to indicate in the report the nature of their concerns and/or any questions they have.

Within 2.5 weeks of the written exam, the chair of the QEC is to contact the student to inform him or her of whether a "satisfactory" or "some concerns" was given for the written exam. The QEC chair will not share with the student any of the stated concerns or questions at that time. The students will be allowed to review the exam in the QEC chair's office, but will not be allowed to take away the exam.

If the majority of the markers (i.e., 2+ out of 3) indicated that the student satisfactorily passed the exam: No further consideration of the written exam will take place.

If the majority of the markers (i.e., 2+ out of 3) indicated that they had "some concerns" with the student's response: Follow up questioning by the 3 markers regarding the student's response and understanding of the case will occur at the oral exam meeting in mid-June (at which all QEC members will be present). It is the student's choice regarding which component to complete first at the June meeting: oral research presentation and questioning, followed by further questioning on the written exam OR vice versa.

Committee members who indicated a "some concerns" on their marker report are asked to submit to the QEC chair a prepared line of Socratic questioning that would aid the student in demonstrating clinical competence. The QEC Chair will appoint one of the QEC members who will receive Committee members' questions and initiate the questioning. Questions will be posed in the identified area(s) of concern to determine whether the student's performance has improved sufficiently to warrant an overall pass on the written portion of the qualifying exam.

### **Clinical Training Letter**

Format: The Director of the Centre for Psychological Services, in consultation with the Director of Clinical Training, will provide a written assessment of all of the student's clinical work. The assessment of the candidate's clinical performance will note both areas of strength and areas where improvement is needed. At the beginning of the letter, the assessment will include a clear statement indicating whether or not the student has passed the standard of performance expected for the level of training to that point in the program.

This letter will be submitted to the Chair of the QEC by early June of the 6<sup>th</sup> semester of the PhD. The letter will be reviewed with the committee at the end of the oral exam in June (see overall evaluation below).

### **Research Competency**

Research competency is assessed via three components: 1) the oral presentation and exam, 2) student submission of scholarly activity, and, 3) the supervisor's letter detailing the student's research track record.

When assessing research competency, students' active engagement in their field of study as well as their demonstration of independent thought, conceptual competence, and critical thinking will be considered.

#### Student research record

Format: The student is to submit his or her current CV which should list publication, oral presentation, and poster presentation activity to date. The student may also submit copies of published papers and/or papers under review or in press as desired. These materials should be submitted in hard copy to the QE Chair by early June of PhD semester 6.

#### Student oral presentation and exam

Note: The purpose of this oral presentation and exam is to demonstrate the student's conceptual competence in his or her area of research. Thus, the student should not be consulting with his or her supervisor in preparing for the oral presentation and exam (e.g., content of presentation, types of questions). Rather, this document is intended to provide all of the information necessary for students to prepare. If needed, consultation with the chair of the QE can be sought.

Format: Each exam and presentation will take place in mid-June over a designated 1-2 day period.

a. Presentation: The student will give a 15 minute oral presentation (<u>maximum</u>) to the QEC regarding his or her general research area of study. The student can choose to use Powerpoint/other slide show program or use hard copy handouts. The purpose is <u>not</u> to defend the dissertation proposal (which has been completed), nor to get into methodological details. Rather, this presentation should contain the following components related to his or her own work <u>at a general level</u>:

- 1. The state of the science (e.g., what are the 5 high-level take home messages that people should know about this area?)
- 2. Important theoretical gaps and their implications (e.g., what don't we know? why is that information important?)
- 3. Translation of this work into the real world (e.g., clinical practice, education, policy, advocacy, ethical implications etc.

Students are expected to address each of the 3 areas above but the amount of time spent talking about each component is up to the student. Please note that the presentations are only allowed to last 15 minutes – students who try to go beyond this time will be cut off by the QE chair.

b. Examination: The QEC will then engage in 30 minutes of structured questioning of the student on the broad theoretical context, assumptions, connections with extant literature, and general implications of this work. This questioning is <u>not</u> to include very specific methodological queries more suitable to discussions with the PhD advisory committee.

Deliberation: Following the student presentation (15 minutes) and committee questioning (30 minutes), the student will withdraw from the room and the QEC will meet in camera to deliberate regarding the student's performance. See further details in Overall Evaluation.

### Thesis supervisor's written letter

Format: The student's PhD supervisor is asked to write a letter summarizing the student's research competence, by outlining the research accomplishments, strengths and weaknesses of the student. Should the student have completed his or her Master's under a different supervisor, the current supervisor is also expected to gather feedback/information from the Master's supervisor. This letter is to be submitted to the QE Chair by early June in the 6<sup>th</sup> semester of the PhD.

## **Overall Evaluation**

At the oral presentation and examination meeting (in mid-June of PhD semester 6), the QEC will deliberate on the following items:

- 1. Research presentation and oral exam.
- 2. Supervisor letter regarding research performance to date.
- 3. Performance on the written exam (i.e., whether it was passed or not). Note: if the written exam was not passed at the original marking stage, the student's performance in fielding the following up questions at the oral exam
- 4. Letter from the Director of the Centre for Psychological Services (in consultation with the DCT) summarizing practicum performance to date.

The QEC will make the final determination as to whether the student has successfully passed the qualifying examination. To be granted PhD candidacy, the student must have passed all components of the QE as outlined above.

If the Committee decides, following the voting procedures of Graduate Studies, that the student has not passed one or more of the components of the qualifying examination, the examination is considered to be failed and a report of unsatisfactory is forwarded to the Dean of Graduate Studies.

Following the in camera deliberations at the end of the oral exam and meeting in mid-June, the student will be brought back into the room and informed of the outcome of his or her qualifying exam. In addition, within 1 week of the meeting, the QE chair shall provide the student with a letter similarly indicating the outcome of the exam.

## **Composition of each student's Qualifying Examination Committee**

The QEC for each student will consist of five members:

1) Chair (who will be the CCAP QE Portfolio Chair unless he/she is the supervisor of the student)

- 2) Advisor
- 3) One of the other Advisory Committee members
- 4) An additional member
- 5) An additional member

One member of the QEC must be external to the Department (this can be a member of the Advisory Committee), and at least three members must be able to mark the clinical question on the written exam.

The Chair of the QEC will be the Chair of the CCAP QE Portfolio and thus be the same across all QE for that year (except in the case of being the supervisor or co-supervisor of the student).

### **Roles and Responsibilities**

### Faculty Advisors

The Faculty Advisor of the student is responsible for meeting with the student in the fall semester of PhD 2 (PhD semester 4) to determine which members of the advisory committee will move forward to

the QEC (usually advisor + one other).

If one of the advisory committee members is external to the department, there are advantages for that person moving forward to the QEC but this is the decision of the student and advisor. If a person external to the department is still needed after determining who will move forward from the advisory committee, the advisor in consultation with the student, is responsible for identifying and reaching out to that person to see if they are willing to serve in that role. This document can be used to explain the process and identify the time frames when availability is needed.

Given that a student's QEC is to be in place by the end of the PhD semester 4, the aforementioned tasks should be completed before the winter break.

### Students

Students should speak with their advisor starting in the fall semester of the second year of the PhD (PhD semester 4).

If students have any concerns about their ability to complete the QE successfully or are on an individualized plan of study, they should seek guidance from the DCT and QE Chair as soon as possible in the fall semester.

Students should begin to think about who could move forward from their advisory committee and who might be a good person to approach who is external to their committee.

Students should review the timeline in the main policy document and be proactive about ensuring various components are scheduled, materials are prepared, and schedules are booked.

# Thesis Proposal CCAP PHD

See Chapter IV, heading Doctor of Philosophy, subheading Department Regulations

Department of Psychology Form: Approval of PhD Thesis Proposal

Look for "Area-specific thesis proposal" below CONTENTS for area-specific guidance if it exists.

# Area-specific thesis proposal PHD CCAP

## **CCAP: Additional PhD regulations**

All PhD students of the CCAP program are expected to submit a Thesis research proposal to their advisory committee and get the committee's approval before proceeding with their thesis research work. The proposal must be approved by the advisory committee no later than the end of the 3<sup>rd</sup> semester in the PhD degree.

There are many steps in developing your proposed program of work for your PhD. These will vary with the project, the student and the Advisor. Students will need to work out an individual timeline with their Advisor regarding the steps needed to complete their proposal.

The proposal should include the following: Introduction, Method and Materials/Procedure, Proposed Analyses, and a short discussion of feasibility. Proposal length will vary and should be determined together with the student and advisor. Proposals must follow the most recent APA formatting guidelines.

Typically, students will submit multiple drafts of their proposals to their advisor prior to submitting it to their committee.

A minimum of **two weeks** will be allowed for any Advisory Committee member, including the advisor, to evaluate any work submitted to them.

When the PhD candidate's Advisor has deemed that the candidate's proposal is ready to be circulated to the committee the student will send an electronic copy to members of the advisory committee.

Students are encouraged to review the <u>Department of Psychology Statistical Methods in Theses</u>: <u>Guidelines and Explanations</u> with their committee (if applicable).

Once the committee has read the proposal, a meeting of the advisory committee will be scheduled to hold a proposal meeting. Typically, the meeting consists of feedback and questions from the Examination Committee based on the research proposal. It may also include a brief presentation by the student of the proposed research. Evaluation of the proposal will be done holistically. Numeric grades are not required; instead the work is reported as either satisfactory or unsatisfactory.

# Advisory Committee Approval of the Thesis and Submission to Examination Committee

See Chapter IV, heading Doctor of Philosophy, subheading Thesis

See Chapter IV, heading Doctor of Philosophy, subheading Department Regulations

Once you are close to having a draft of your thesis prepared, you should follow the procedures below.

1. When the PhD candidate's Advisor has deemed that the candidate's thesis draft is satisfactory, the Advisor will provide an email to the student indicating that the thesis is satisfactory and ready for submission to the Advisory Committee members for evaluation. At this point:

- a. The advisor will notify the Graduate Program Assistant that the thesis is being evaluated by the advisory committee, allowing the Graduate Program Assistant to prepare necessary forms and provide additional instructions to all.
- b. The advisor will begin the process of forming the examination committee (see detailed instructions below in the section titled PhD Examination Committee Creation). Note that the student must NOT have any contact with the External Examiner.
- c. The student shall provide an electronic copy of the thesis to each member of the Advisory Committee and request email receipt to ensure that the Advisory committee members have received the thesis in a timely fashion. A minimum of two weeks will be allowed for Advisory Committee members to evaluate the thesis.
- d. The student will review and implement the Electronic Formatting Requirements for theses provided on the Graduate & Postdoctoral Studies website.

2. When an Advisory Committee member has read the draft of the thesis, he/she is required to complete

an Evaluation of Draft of Thesis form (a departmental form prepared by and obtained from the Graduate Program Assistant) to provide feedback on the thesis and indicate whether or not the thesis is ready for defense. This form should also indicate whether edits are requested pre-defense or post. The Evaluation of Draft of Thesis form will be submitted to the student with a copy to the candidate(s Advisor. Normally, this feedback includes a number of changes designed to improve the thesis prior to the defense. The student then considers the recommendations in the evaluation forms, and, in consultation with the Advisor, makes changes specified by the committee members. Note that these changes may be done quickly or take a substantial amount of time (e.g., days or weeks). Consequently, students should remember to budget sufficient time for these revisions.

3. If necessary, the student will submit an electronic copy of the corrected thesis to each Advisory Committee member. The Advisory Committee members will typically review the revised draft within two weeks.

4. Following this, the Advisory Committee members will indicate whether the thesis is ready for defense by signing the Summary of Advice to Student form (a Graduate & Postdoctoral Studies form) and submitting it to the Graduate Program Assistant (who makes a copy for the student). All members should sign the same Summary of Advice form.

5. Once required edits have been addressed as per the committee members' Evaluation of Draft of Thesis forms, the student should immediately send an electronic copy (PDF) of the thesis to the Graduate Program Assistant for distribution to the Final Oral Examination Committee members. If any member requires a hard copy, the student should also provide hard copies to the Graduate Program Assistant. The External Examiner must have a copy of the final thesis at least 1 month prior to the date of the Final Oral Examination.

6. Regardless of the recommendation of the PhD Advisory Committee, a student may submit a request for an examination. Requesting an examination without the approval of all of the members of the PhD Advisory committee is not recommended.

Also see the detailed guidelines on Graduate and Postdoctoral Studies website.

Look for "Area-specific approval of thesis and submission to committee" below CONTENTS for areaspecific guidance if it exists.

# Area-specific advisory committee approval of thesis PHD CCAP

Once you are close to having a draft of your thesis prepared, you should follow the procedures below.

1. When the PhD candidate's Advisor has deemed that the candidate's thesis draft is satisfactory, the Advisor will provide an email to the student indicating that the thesis is satisfactory and ready for submission to the Advisory Committee members for evaluation. At this point:

- a. The advisor will notify the Graduate Program Assistant that the thesis is being evaluated by the advisory committee, allowing the Graduate Program Assistant to prepare necessary forms and provide additional instructions to all.
- b. The advisor will begin the process of forming the examination committee (see detailed instructions below in the section titled PhD Examination Committee Creation). Note that the student must NOT have any contact with the External Examiner.

- c. The student shall provide an electronic copy of the thesis to each member of the Advisory Committee and request email receipt to ensure that the Advisory committee members have received the thesis in a timely fashion. A minimum of two weeks will be allowed for Advisory Committee members to evaluate the thesis.
- d. The student will review and implement the Electronic Formatting Requirements for theses provided on the Graduate & Postdoctoral Studies website.

2. When an Advisory Committee member has read the draft of the thesis, he/she is required to complete an Evaluation of Draft of Thesis form (a departmental form prepared by and obtained from the Graduate Program Assistant) to provide feedback on the thesis and indicate whether or not the thesis is ready for defense. This form should also indicate whether edits are requested pre-defense or post. The Evaluation of Draft of Thesis form will be submitted to the student with a copy to the candidate is Advisor. Normally, this feedback includes a number of changes designed to improve the thesis prior to the defense. The student then considers the recommendations in the evaluation forms, and, in consultation with the Advisor, makes changes specified by the committee members. Note that these changes may be done quickly or take a substantial amount of time (e.g., days or weeks). Consequently, students should remember to budget sufficient time for these revisions.

3. If necessary, the student will submit an electronic copy of the corrected thesis to each Advisory Committee member. The Advisory Committee members will typically review the revised draft within two weeks.

4. Following this, the Advisory Committee members will indicate whether the thesis is ready for defense by signing the Summary of Advice to Student form (a Graduate & Postdoctoral Studies form) and submitting it to the Graduate Program Assistant (who makes a copy for the student). All members should sign the same Summary of Advice form.

5. Once required edits have been addressed as per the committee members' Evaluation of Draft of Thesis forms, the student should immediately send an electronic copy (PDF) of the thesis to the Graduate Program Assistant for distribution to the Final Oral Examination Committee members. If any member requires a hard copy, the student should also provide hard copies to the Graduate Program Assistant. The External Examiner must have a copy of the final thesis at least 1 month prior to the date of the Final Oral Examination.

6. Regardless of the recommendation of the PhD Advisory Committee, a student may submit a request for an examination. Requesting an examination without the approval of all of the members of the PhD Advisory committee is not recommended.

# **Thesis Preparation CCAP PHD**

See Chapter IV, heading Doctor of Philosophy, subheading Thesis

See Chapter IV, heading Doctor of Philosophy, subheading Department Regulations

Look for "Area-specific thesis preparation" below CONTENTS for area-specific guidance if it exists.

# Area-specific thesis preparation PHD CCAP

# **CCAP: Additional Masters regulations**

Typically, a PhD thesis will contain the following sections: Introduction, Method and Materials/Procedure, Results, Discussion, Conclusions, and Implications (followed by Appendices, as relevant).

During the preparation of the written thesis the student should be receiving feedback from the advisor as well as the advisory committee. What form that takes (e.g., drafts, meetings) will be up to each committee to determine. Students are encouraged to have a carefully planned timeline for this process in order to support timely completion of milestones and the final thesis document.

Thesis length can be highly variable depending on the nature of the PhD thesis project. Students are strongly encouraged to discuss a plan of the structure of their thesis (including approximate length of the different sections) with their advisor prior to writing their thesis.

The style of the thesis (e.g., based on chapters; similar to a manuscript) should be confirmed with the advisory committee. The committee is encouraged to consider thesis styles and format amenable to scholarly publications when appropriate. Theses must adhere to the most recent APA formatting guidelines.

The thesis should strive to evince <u>critical and creative thinking skills</u>, <u>literacy skills</u> and <u>communication</u> <u>skills</u> and a <u>global understanding</u>. The thesis and the work it includes must be <u>professional and adhere</u> <u>to the highest ethical standards</u>. The thesis must also demonstrate the candidate's capacity for original and independent work, and should include a critical evaluation of work which has previously been done in the candidate's field of research. The thesis should emphasize any new conclusions which may be drawn from the candidate's own research.

As stated in the graduate calendar "The thesis is expected to be a significant contribution to knowledge in its field and the candidate must indicate in what ways it is a contribution. The thesis must demonstrate mature scholarship and critical judgement on the part of the candidate and it must indicate an ability to express oneself in a satisfactory literary style. Approval of the thesis is taken to imply that it is judged to be sufficiently meritorious to warrant publication in reputable scholarly media in the field."

Typically, students will submit multiple drafts of their thesis to their advisor prior to submitting it to their committee.

A minimum of two weeks will be allowed for any Advisory Committee member, including the advisor, to evaluate any work submitted to them.

# **Thesis Public Lecture and Examination**

See Chapter IV, heading Doctor of Philosophy, subheading Thesis

See Chapter IV, heading Doctor of Philosophy, subheading Department Regulations

Maximum Duration of Oral Examination PhD Examination: 3.5 hours

## PhD Examination Procedure

Introduction by the Chair - 5 minutes

Published on Department of Psychology (https://www.uogueiph.ca/psychology)

Presentation of research findings/scholarly work by candidate - 30 minutes (maximum) Public Question Period - Audience - 10 minutes Break - 5 minutes (members of the public are free to leave) Examination Period (questions only from examiners) - 1 hour and 40 minutes

## The Chair

The chair of the examination committee is the official representative of the Assistant Vice- President (Graduate Studies). The chair serves to administer the examination according to the approved format of the program. The chair does not serve as an additional examiner.

It is the responsibility of the Chair to ensure that the oral examination is conducted in a professional manner. The Chair must ensure that proper forms from the Office of Graduate Studies are available and duly completed and signed by the Examination Committee. The Chair should ensure that adequate time is allotted to the candidate for presentation of research findings, and to the examiners for questions. The details on the time allocation to the candidate and examiners are given in the following paragraphs. It is also the responsibility of the Chair to ensure that examiners should adhere to the allocated time.

In unforeseen circumstances where a Committee member is unable to attend the examination (e.g., due to sickness) either in person or by video/teleconference, the Chair will attempt to receive questions to ask on behalf of the absent member, to be answered by the student to the satisfaction of the examiners present. If this absent member is the External Examiner of a PhD thesis examination, and the written thesis Appraisal and/or questions to ask have not been received, the examination should be postponed.

If during the examination the behaviour of either the candidate or the examiner(s) is unprofessional, the Chair should provide a warning. If the unprofessional behaviour continues, the Chair should stop the examination and report to the Graduate Coordinator.

The Chair should ensure recommendations for revision of the thesis are completed, and should withhold their endorsement of the examination (through signing the Recommendation Form) until such time.

### **Role of the Examiners**

The examiners have the responsibility to review the thesis as outlined in the University Guidelines for thesis evaluation. If an examiner feels that there is a major problem with the thesis, the examiner should inform the candidate in writing with a confidential copy only to the advisor and Graduate Coordinator. If the candidate and the examiner cannot resolve the problem before the oral examination, the Graduate Coordinator will act as facilitator. If there is no agreement, the examination can go forward at the student's request, or postponed on the advice of the Graduate Coordinator.

### **Ordering of Questions by the Examination Committee:**

There will be two rounds of questions by the Committee. The questioning by the Committee will be in the following order:

- 1) Member of the Graduate Faculty (not on the Advisory Committee)
- 2) Member of the Advisory Committee
- 3) Advisor or second member of the Advisory Committee

Suggested time allotted to examination committee members: External Examiner (Round 1: 25 minutes, Round 2: 10 minutes) Graduate Faculty (Round 1: 20 minutes, Round 2: 10 minutes) Advisory Committee Member (Round 1: 20 minutes, Round 2: 10 minutes) Advisor/Advisory Committee Member (Round 1: 20 minutes, Round 2: 10 minutes) Deliberation (in camera) - 35 minutes

### **Evaluation of Thesis**

As stated in the graduate calendar "The thesis is expected to be a significant contribution to knowledge in its field and the candidate must indicate in what ways it is a contribution. The thesis must demonstrate mature scholarship and critical judgement on the part of the candidate and it must indicate an ability to express oneself in a satisfactory literary style. Approval of the thesis is taken to imply that it is judged to be sufficiently meritorious to warrant publication in reputable scholarly media in the field." The thesis should strive to evincee <u>critical and creative thinking skills</u>, <u>literacy skills</u> and <u>communication skills</u> and a <u>global understanding</u>. Theses and student must be <u>professional and adhere</u> to the highest ethical standards. Evaluation of the thesis and oral examination will be done holistically. Numeric grades are not required; instead the work is reported as either satisfactory or unsatisfactory.

The candidate is deemed to have passed if a simple majority of Examination Committee members vote to pass the student. An abstention is regarded as a negative vote. If the Examination Committee decides thesis and oral exam are unsatisfactory, the candidate may be given the opportunity for a second attempt. A second unsatisfactory report constitutes a recommendation to the Board of Graduate Studies that the student be asked to withdraw.

In addition to determining whether the candidate passes the exam, the Examination Committee members will also discuss the recommended and required changes to the thesis. After each committee member recommends changes, the committee will come to a consensus about which changes the student is required to do. In the rare event of a disagreement, the Chair will decide if a requested change by a committee member can be overruled.

Following deliberations of the committee, the Examination Chair calls the student back to the examination room and verbally informs the candidate of the outcome of the Examination. If the defense is successful, changes will frequently be required. These changes may be minor or substantial.

Students should be prepared to make changes based on feedback received during the defense and must allocate sufficient time before final submission for this process. As noted above, those changes should be submitted to the Examination Chair for approval.

Following completion of the thesis revisions, the Examination Chair then submits the signed forms (i.e., Certificate of Approval, Report of the Examination Committee) to the Graduate Secretary, his/her Examination Chair report, and the Report of the External Examiner. The Graduate Secretary then contacts the student to complete graduation paperwork with the Department Chair. Once completed the student is responsible for the delivery of this paperwork along with the approved copies (2) of the thesis in its final form (following revisions) to Graduate Program Services.

Look for "Area-specific thesis public lecture and examination" below CONTENTS for area-specific guidance if it exists.

# Area-specific public lecture and examination PHD CCAP

## The Chair

The chair of the examination committee is the official representative of the Assistant Vice- President (Graduate Studies). The chair serves to administer the examination according to the approved format of

the program. The chair does not serve as an additional examiner.

It is the responsibility of the Chair to ensure that the oral examination is conducted in a professional manner. The Chair must ensure that proper forms from the Office of Graduate Studies are available and duly completed and signed by the Examination Committee. The Chair should ensure that adequate time is allotted to the candidate for presentation of research findings, and to the examiners for questions. The details on the time allocation to the candidate and examiners are given in the following paragraphs. It is also the responsibility of the Chair to ensure that examiners should adhere to the allocated time.

In unforeseen circumstances where a Committee member is unable to attend the examination (e.g., due to sickness) either in person or by video/teleconference, the Chair will attempt to receive questions to ask on behalf of the absent member, to be answered by the student to the satisfaction of the examiners present. If this absent member is the External Examiner of a PhD thesis examination, and the written thesis Appraisal and/or questions to ask have not been received, the examination should be postponed.

If during the examination the behaviour of either the candidate or the examiner(s) is unprofessional, the Chair should provide a warning. If the unprofessional behaviour continues, the Chair should stop the examination and report to the Graduate Coordinator.

The Chair should ensure recommendations for revision of the thesis are completed, and should withhold their endorsement of the examination (through signing the Recommendation Form) until such time.

# **Role of the Examiners**

The examiners have the responsibility to review the thesis as outlined in the University Guidelines for thesis evaluation. If an examiner feels that there is a major problem with the thesis, the examiner should inform the candidate in writing with a confidential copy only to the advisor and Graduate Coordinator. If the candidate and the examiner cannot resolve the problem before the oral examination, the Graduate Coordinator will act as facilitator. If there is no agreement, the examination can go forward at the student's request, or postponed on the advice of the Graduate Coordinator.

# Maximum Duration of Oral Examination

PhD Examination: 3.5 hours

## **PhD Examination Procedure**

Introduction by the Chair - 5 minutes

Presentation of research findings/scholarly work by candidate - 30 minutes (maximum)

Public Question Period - Audience -10 minutes

Break - 5 minutes (members of the public are free to leave)

Examination Period (questions only from examiners) - 1 hour and 40 minutes

## Ordering of Questions by the Examination Committee:

There will be two rounds of questions by the Committee. The questioning by the Committee will be in the following order:

- 1) Member of the Graduate Faculty (not on the Advisory Committee)
- 2) Member of the Advisory Committee
- 3) Advisor or second member of the Advisory Committee

Suggested time allotted to examination committee members:

External Examiner (Round 1: 25 minutes, Round 2: 10 minutes) Graduate Faculty (Round 1: 20 minutes, Round 2: 10 minutes) Advisory Committee Member (Round 1: 20 minutes, Round 2: 10 minutes) Advisor/Advisory Committee Member (Round 1: 20 minutes, Round 2: 10 minutes)

Deliberation (in camera) - 35 minutes

## **Evaluation of Dissertation**

As stated in the graduate calendar "The thesis must demonstrate the candidate's capacity for original and independent work, and should include a critical evaluation of work which has previously been done in the candidate's field of research. The thesis should emphasize any new conclusions which may be drawn from the candidate's own research." The thesis should strive to evince <u>critical and creative</u> <u>thinking skills</u>, <u>literacy skills</u> and <u>communication skills</u> and a <u>global understanding</u>. Theses and student must be <u>professional and adhere to the highest ethical standards</u>. Evaluation of the thesis and oral examination will be done holistically. Numeric grades are not required; instead the work is reported as either satisfactory or unsatisfactory.

The candidate is deemed to have passed if a simple majority of Examination Committee members vote to pass the student. An abstention is regarded as a negative vote. If the Examination Committee decides thesis and oral exam are unsatisfactory, the candidate may be given the opportunity for a second attempt. A second unsatisfactory report constitutes a recommendation to the Board of Graduate Studies that the student be asked to withdraw.

In addition to determining whether the candidate passes the exam, the Examination Committee members will also discuss the recommended and required changes to the thesis. After each committee member recommends changes, the committee will come to a consensus about which changes the student is required to do. In the rare event of a disagreement, the Chair will decide if a requested change by a committee member can be overruled.

Following deliberations of the committee, the Examination Chair calls the student back to the examination room and verbally informs the candidate of the outcome of the Examination. If the defense is successful, changes will frequently be required. These changes may be minor or substantial.

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