

## Grants-in-Aid of Research Application Guidelines

### 1. Our Promise

Crohn's and Colitis Canada's Promise Statement is to cure Crohn's disease and ulcerative colitis and improve the lives of children and adults affected by these chronic diseases. Crohn's and Colitis Canada raises funds to invest in inflammatory bowel disease (IBD) research, foster advances in prevention, treatments, cures and health policy; educate patients, families, industry and government about IBD; increase public awareness of IBD and Crohn's and Colitis Canada; and advocate to governments and stakeholders on behalf of those affected by IBD.

### 2. General Information

Crohn's and Colitis Canada's Grants-in-Aid of Research Program (GIA) is committed to supporting a range of research on inflammatory bowel disease. Funds are dedicated for the support of research conducted by qualified investigators with academic appointment at Canadian universities with research being conducted at not-for-profit research or academic facilities located in Canada.

These guidelines are designed to help applicants apply for a GIA grant. **Please review these guidelines carefully as changes have been introduced for the 2021 grant competition.** Applications that do not conform to these guidelines and eligibility criteria will not be reviewed.

### 3. Grants-in-Aid of Research (GIA) Grants

GIAs support research projects with a defined objective, conducted by an investigator working alone or in collaboration with others. GIAs support research projects directly related to IBD that are aligned with Crohn's and Colitis Canada's Promise and strategic directions.

There are a number of diseases and conditions that are associated with Crohn's disease and ulcerative colitis (e.g. liver, intestinal cancer, arthritis, ophthalmologic) that can impact the lives of patients with IBD. Crohn's and Colitis Canada will consider applications that focus on IBD-associated diseases and conditions. However, applicants submitting an application focused on IBD-associated diseases and conditions must provide a strong rationale for how their project aligns with the Crohn's and Colitis Canada Promise.

Funding is limited to a maximum of \$125,000 per year, for up to three years. These funds may be used to:

- Pay technician and/or student salaries;
- Purchase materials, supplies, and items of equipment (maximum \$5,000 annually);
- Buy and maintain animals, and;
- Support travel costs (maximum \$2,500 annually).

Applicants within three years of their first faculty appointment at a Canadian institution may use up to \$25,000 in Year One of the \$125,000 maximum to purchase materials, supplies, and equipment needed for the startup of a new laboratory. Justification must be provided to support the need for, and cost estimates of, such materials and equipment.

#### 4. Grant Eligibility

**Please review carefully as changes to the eligibility criteria have been introduced for the 2021 grant competition.**

##### **Principal Investigator Eligibility**

Investigators who hold an academic appointment at a Canadian University in a Faculty of Medicine, Nursing, Pharmacy, Veterinary Medicine, or other Graduate Faculty are eligible to apply to Crohn's and Colitis Canada as principal investigator for grant support of their research.

**Beginning with the 2021 Grants-in-Aid of Research competition, Principal Investigators may not hold more than a cumulative total of \$250,000 a year in extramural funding (includes foundation and industry support) unless they are in their final year of funding. Intramural funds are not counted towards this total.**

The Principal Investigator's research proposed must have a clear connection to Crohn's and Colitis Canada's Promise Statement. Principal Investigators must reside in Canada to apply for funding.

If an applicant does not hold an academic appointment at the time of submitting an application, a cover letter from the Dean of the Faculty must be uploaded to the application, indicating the planned position and the date it will take effect. Investigators in faculties other than the health sciences may also apply if the proposed research is deemed relevant to Crohn's and Colitis Canada's Promise and strategic directions. If the research is to be done in a hospital or other healthcare facility, the signature of that institution's officer certifies that appropriate space and resources are available and will be provided to the applicant.

In any given year, **a researcher may apply as a Principal Investigator on one GIA, and as a Co-Principal Investigator on one other GIA grant application.** Funding under other specific Crohn's and Colitis

Canada programs (example: Innovations in IBD, GEM Project, or personnel award) do not restrict eligibility for GIAs.

In order to be eligible to receive funding for a GIA from Crohn's and Colitis Canada, recipients must be members of the [Crohn's and Colitis Canada IBD Research Institute](#).

### **Support of Early Career Researchers (ECRs)**

Crohn's and Colitis Canada is committed to supporting early career researchers. As part of this commitment, 20%-30% of the GIA funds will be reserved for early career researchers beginning with the 2021 GIA competition. While funding decisions will remain based on the final scores submitted by the peer reviewers, some of the top ranked applicants may not be funded in favour of ECRs. For example, if ten proposals are to be funded and none of the top ten ranked submissions are from ECRs, submissions ranked 8<sup>th</sup> to 10<sup>th</sup> will be dropped and the top three submissions from ECRs will be funded. Proposals from ECRs must receive a minimum mean score of 3.5 out of 5 from the grant review panel to be considered for funding.

### **Definition of an Early Career Researcher**

Crohn's and Colitis Canada follows the CIHR's definition of Early Career Researcher.

An early career researcher is a researcher within five years from the date of their first research-related appointment, minus eligible delays in research, where:

- research-related appointments are defined as those where the individual has the autonomy to conduct research independently;
- all eligible leaves (e.g. maternity, parental, medical, bereavement) are credited as twice the amount of time taken;
- professional leaves (e.g. training, sabbatical, administrative) are not credited.
- All time spent in research appointments/positions will be taken into consideration when determining eligibility irrespective of time spent in a clinical component or other duties (i.e. administrative, academic, etc). Should an applicant hold or have held a part-time appointment/position, CIHR will count that time as 50% (e.g., a one-year part-time appointment/position will count for 6 months towards the maximum).

**Additionally, to account for the potential impacts of the COVID19 pandemic on research productivity, March 1, 2020 to February 28, 2021 will not be counted towards years as faculty appointment.**

### **Co-Principal Investigators and Collaborators**

A *Co-Principal Investigator* is an individual who is expected to actively participate in the proposed activities but not to direct them.

A *Collaborator* is an individual whose role in the proposed activities is to provide a specific service (e.g. access to equipment, provision of specific reagents, training in a specialized technique, statistical analysis, access to a patient population, etc.).

While Principal Investigators must reside in Canada to apply for funding, Co-Principal Investigators and Collaborators based outside of Canada are acceptable.

## 5. Level of Funding

The amount funded will be established on the basis of the grant proposal's requirements and significance to the Crohn's and Colitis Canada's Promise. Grants will be in the range of \$5,000 to a maximum of \$125,000 CDN per year. Support for qualified undergraduate and graduate students within the operating budget is permissible. **Specific Crohn's and Colitis Canada Board policy forbids the use of any funds to cover institutional overhead.**

## 6. Period of Support

Grants may be awarded for up to three years, contingent upon available funds. All funding periods begin in July each year, with the first quarterly payments being made in September.

## 7. Application Deadlines and Procedure

Applications must be submitted through a two-stage application process. By the deadline specified, applicants must submit a Notice of Intent to Apply via [Proposal Central](#). The completed Notice of Intent to Apply are used by Crohn's and Colitis Canada to identify suitable peer reviewers to review full applications, and to triage applications that are not Crohn's and/or colitis related. Applicants who submit a Notice of Intent to Apply (NIA) are invited to submit a Full Application by the deadline specified. **Applicants must submit a Notice of Intent to Apply to be eligible to submit a Full Application.**

### 2021 Deadlines:

- Online Research Grants Portal opens: Wednesday, February 17, 2021
- Notice of Intent to Apply deadline: Wednesday, March 10, 2021, 5:00 PM EST
- Full application deadline: Wednesday, April 7, 2021, 5:00 PM EST

**Applicants are encouraged to submit their Notice of Intent to Apply as early as possible. NOIs will be reviewed on an ongoing basis, and if the application complies with the grant guidelines, the applicant will receive access to the full grant application online form in two (2) business days.**

**It is the responsibility of applicants to ensure their applications are complete.** Incomplete applications will be disqualified from the competition. Applicants must complete the online application forms available via Proposal Central. Applications submitted via email or other modes will not be accepted.

## 8. Assessment of Full Applications

Each full application is assessed by a Grant Review Panel for its scientific merit, relevancy, and translatability potential.

Crohn's and Colitis Canada incorporates lay reviewers on its Grant Review Panel in order to increase accountability and transparency of the review process, and to ensure the research is aligned with its Promise, goals, and strategic priorities. Lay reviewers help shed light on the relevancy of the proposal to Crohn's and Colitis Canada's Promise Statement. Crohn's and Colitis Canada places a high priority on ensuring appropriate lay summaries are submitted as part of each application. In 2017, Crohn's and Colitis Canada also introduced IBD nurse reviewers to the grant review process. Nurse reviewers provide expertise as professionals with a strong understanding of both the healthcare system and patient needs. As such, each grant application is reviewed by three scientific reviewers (Primary, Secondary, and Reader), one lay reviewer, and one nurse reviewer.

In advance of the grant review meeting, Grant Review Panel members review the grant applications they have been assigned based on their expertise and lack of conflicts of interests. Scientific reviewers assign an overall score (using the rubric below) and provide written answers to a series of evaluation questions. Both lay and nurse reviewers provide written answers to a series of evaluation questions. The pre-meeting scores provided by scientific reviewers and written reviews from lay and nurse reviewers are reviewed by the Chair. Applications that receive triage scores (under 3.5) from 2 or more scientific reviewers are triaged, and will not be reviewed at the grant review meeting.

At the grant review meeting, the grant reviewers review and discuss each application that has not been triaged. By consensus (or by majority vote if necessary), the scientific reviewers assign each application a score between 0 and 5. These scores represent the following categories:

- 4.5 to 5.0 – Outstanding
- 4.0 to 4.4 – Excellent
- 3.5 to 3.9 – Very good
- Under 3.5 – Triage, application not fundable

This assignment is based on the review panel's assessments of the application in light of the discussion and the reports of the reviewers. Relevancy to Crohn's and Colitis Canada's Promise and prospect for translatability are also considered in the overall rating. Those deemed not relevant or translatable would be unacceptable and therefore rejected. Each member of the panel who has participated in the discussion (excluding those in conflict of interest who are excused from the discussion) then assigns to the application a score within a range of +0.5 of the consensus score. A mean of these submitted scores then determines the final score and rank. Those that fall below a score of 3.5 are triaged and not considered fundable.

The Grant Review Panel is also required to recommend a budget or appropriate level of funding for each application. This is decided by consensus. In arriving at a recommended budget, the Crohn's and Colitis Canada National Board of Directors expects that the Grants Review Panel will bring its collective judgment and knowledge of research requirements and costs. It should consider closely the budget submitted by the applicant and recommend funding that will permit the applicant(s) to make reasonable progress over the period of the grant. This recommendation of the budget is separate and distinct from the assessment of scientific merit and is made subsequent to that assessment.

In accordance with Board direction, Crohn's and Colitis Canada considers the ratings and the recommended budgets by the Grants Review Panel along with its program priorities and budgetary constraints and announces the final decision. There will be no appeals of these decisions.

## 9. Notification

Applicants will be sent official notification of Crohn's and Colitis Canada's decision. The Finance office of the University or Institution concerned is also notified of grants awarded.

## 10. Evaluation Reports

Copies of all evaluations made by reviewers will be forwarded to applicants without identification of authors. A summary of the panel discussion summarized by the Scientific Officer will also be included for those applications discussed, scored, and ranked by the Grant Review Panel.

## 11. Applicant

The applicant(s) is/are recognized by Crohn's and Colitis Canada as the author(s) of the intellectual content of the application submitted. In the case of multi-authored applications, Crohn's and Colitis

Canada will correspond with the first (principal) applicant listed. Principal applicants must be affiliated with a not-for-profit Canadian research institution.

Applications with Co-Principal Investigator(s) or Collaborator(s) need to submit through the online application the information requested for each Co-Principal Investigator and Collaborator.

## 12. Institution

The Institution is considered by Crohn's and Colitis Canada to be responsible for the provision of space, facilities, furniture, and general services required for the conduct of the research project described.

## 13. Ethical Considerations

Ethical approval and standard assurance for animal, human experimentation, and/or biological and chemical hazards must be obtained within six months of notification of funding. Documentation should be completed and returned as soon as possible following granting of funds and no later than six months following first payment. Subsequent funding may be delayed or denied if required documentation is not received.

## 14. Language

Crohn's and Colitis Canada is prepared to serve the scientific community and the public in either of Canada's official languages where feasible. Please indicate your preferred language of correspondence on the online form.

Crohn's and Colitis Canada does not accept French applications. All applications must be submitted in English. This change is in effect as of 2018 due to the significant costs incurred by Crohn's and Colitis Canada to translate applications from French into English and our inability to guarantee the fidelity of translations. Crohn's and Colitis Canada prioritizes scientific expertise when selecting reviewers. Because of the challenges in identifying reviewers with the appropriate scientific expertise *and* French language skills, Crohn's and Colitis Canada is unable to guarantee French speaking reviewers.

## 15. Payment and Administration of Grant Funds

The Institution where the applicant conducts the project and/or holds an academic appointment may be designated to receive and administer the grant funds at the discretion of the Principal Investigator.

If the Institution designated is not the one in which the applicant conducts the project, please explain in a cover letter.

Funds must be used during the period of the grant unless a request for a no-cost extension is received by the Vice President, Research and Patient Programs of Crohn's and Colitis Canada at least one month prior to the end of the funding period. A no-cost extension may be granted a maximum of two (2) times or cumulatively no more than two years. Exceptions to this rule may be considered for exceptional circumstances such as parental leave or health-related leaves of absences.

## 16. Grant Reporting

Annual progress and financial reports must be submitted via Proposal Central at the end of each funding year by July 30th. In the final year of the grant the financial report is due by September 30th to allow transactions to clear. Both the research and financial reports must use the forms provided on the grant portal. The report must be certified by the grantee and a finance officer designated by the receiving institution.

Subsequent year payments will be withheld until annual research and financial progress reports have been received by Crohn's and Colitis Canada. Any funds remaining at the end of the grant period must be returned to Crohn's and Colitis Canada within 90 days of the grant end date. A fourth end-of-grant research report is due one (1) year after the end date of the grant. Grantees who do not submit end-of-grant progress reports will be ineligible to apply to future Crohn's and Colitis Canada grant competitions until progress reports have been received.

## 17. Signatures

The signatures on the application commit both the applicant(s) and the Institution to specific responsibilities. Signatures of Principal Investigators and Co-Principal Investigators are required. The applicant(s) and the Institution are jointly responsible for adherence to the general conditions governing the award of a research grant as outlined in the Canadian Institutes of Health Research Grants and Awards Guide, including the:

- Guidelines of the Canadian Council on Animal Care with respect to animal experimentation;
- Review for ethical propriety of all proposals relating to human experimentation;
- CIHR guidelines that may apply for handling recombinant DNA molecules and animal viruses and cells, and;
- Administration according to accepted accounting practices of the funds provided.



## 18. Grant Application Sections

The Grants-in-Aid of Research application form includes the following sections. For a COMPLETE LIST of the questions asked in both the Notice of Intent to Apply and Full Application forms, please see the application instructions [HERE](#).

### I. Project Summary Abstract

This should be written in non-scientific language in a style suitable for the lay members of the Crohn's and Colitis Canada community or a press release. It must not exceed 1,500 character (approx. 250 words).

### II. Detailed Research Report

Applicants should upload with their online application a clear, concise description of their research proposal. A **maximum of 12 pages may be submitted**. The page limit INCLUDES tables, charts, figures and photographs which should be embedded throughout the proposal. Applicants are permitted to submit figures and/or tables describing preliminary data and relevant legends. Legends should be succinct. Bibliographic references are EXCLUDED from the 12 page limit.

The detailed proposal must include:

- Current state of knowledge related to proposed work;
- Relevant work by principal investigator;
- A clear and concise outline of research proposal;
- Rationale for choice of methods and approaches; and
- The objectives and research plan clearly described.

Detailed descriptions of methods and discussion of results should be included in the body of the proposal, they should not be in the legends or included as an appendix. Questionnaires and consent forms may be attached as appendices, where applicable.

Up to five (5) manuscripts/publications from the candidates(s) that support this grant can be uploaded with the application.

No other additions or appendices are permitted. Excess data will be returned to the applicant, who will have 48 hours for re-submission.

Detailed Research Report Formatting:

- Text must be single-spaced, 11 point Arial font size (including labels and descriptions accompanying figures, tables, charts, photographs, etc.), with  $\frac{3}{4}$  inch margins on all sides.

- Header: “Grants-in-Aid Research Proposal” in the left corner with applicant’s name in right corner.
- Footer: Number pages consecutively with page numbers centered.

### III. Budget

Applicants must provide estimates of expenditures for a **full year** regardless of when the work proposed will begin.

Itemize by category and justify funds requested for each year of support. **Include in the first year of the budget funds requested for all equipment purchases and other nonrecurring items** even though these expenditures may be anticipated in other years during the tenure of the grant (travel costs are limited to \$2,500 annually). The amount requested must be quoted in Canadian dollars. Applicants must complete the budget sections of the online application.

### IV. Personal Information

Both Principal Investigators and Co-Principal Investigators are asked to provide as part of the online application a biosketch using the Biographical Sketch template provided. It includes personal information, including education, research training, academic positions, distinctions and awards, and publications. The current Canadian Institutes of Health Research (CIHR) CV format (or Common CV) will not be accepted.

Both the Principal Investigator and Co-Principal Investigators must provide a publication list from the last 5 years. The Principal Investigator can either complete the online section of the application form titled “Publications” or add their publications to the Biographical Sketch form. Co-Principal Investigators should include this information as part of the Biographical Sketch template provided.

When completing the Professional Profile sections of the Notice of Intent to Apply and Full Application, **only provide the personal information requested by Crohn’s and Colitis Canada**. The Professional Profile in Proposal Central defaults to asking private questions including date of birth, passport number, and social security number. **DO NOT PROVIDE THIS INFORMATION.**

### V. Other Funds

All applicants must list all research projects proposed to other agencies concurrently with this application, and those intended for submission in the next twelve months. List all projects for which funding is currently or has been received for all applicants in the past 3 years. State the nature and percentage of any overlap with this application. The title, summary, budget, agency, precise dates, and tenure of these award(s) must be provided.

The applicant **MUST UPLOAD** the summary and budget pages for every other grant currently held by the Principal Investigator, or applied for concurrently with this application, whether perceived to be relevant or not. If an application on the same subject is pending from another agency, the applicant should immediately notify Crohn's and Colitis Canada of the results as soon as they are known. Summary and budget pages for other grants held by Co-Principal Investigators are not required.

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## 19. For Assistance with your Application

**For instructions on how to complete your Crohn's and Colitis Canada grant application through Proposal Central, click [HERE](#).**

**For technical support with your online application, contact Proposal Central:**

pcsupport@altum.com  
1-800-875-2562 (Toll-free)  
Weekdays, 8:00 a.m. to 5:00 p.m. (EST)

**For program information or application content questions, contact Crohn's and Colitis Canada:**

Crohn's and Colitis Canada  
600 - 60 St. Clair Avenue East  
Toronto, Ontario M4T 1N5  
Attn: Research Grants Administrator  
416-920-5035 x 251  
[research@crohnsandcolitis.ca](mailto:research@crohnsandcolitis.ca)