

2022 Exploration Competition

Full Application Webinar for Applicants and RGOs

July 2022









Overview

- 1. Funding opportunity description
- 2. Eligibility and roles
- 3. Review process
- 4. Full Application requirements
- 5. Information for RGOs
- 6. Questions and resources



Funding Opportunity Description 2022 Exploration Competition









Program Description Exploration Stream Objective

<u>Goal</u>: Inspire research that is **high risk**, **high reward**, and **interdisciplinary**.

Support projects that:

- bring disciplines together beyond traditional disciplinary or common interdisciplinary approaches;
- propose to explore something new, which might fail; and
- have the potential for significant impact.



Range of impacts:

Social, cultural, economic, health-related, technological, etc.

<u>Proposals led from any discipline:</u> Social sciences and humanities, health, natural sciences and engineering.



Program Description Value and Duration

Value \rightarrow Up to \$125,000 per year (including indirect costs of up to 25% of direct costs).

Funds should be used primarily to support research activities, **not to acquire or maintain infrastructure**.*¹

*1 Tri-Agency Guide on Financial Administration

Duration \rightarrow Up to 2 years.

Number of grants \rightarrow Minimum of 100 grants.

A proportion of awards will be reserved for Early Career Researchers (ECRs).*2

 *2 Research interruptions caused by the COVID-19 pandemic are recognized as an eligible delay \rightarrow starting on March 1, 2020.



Funding Opportunity Description Competition Timeline

June 28, 2022	NOI Deadline (mandatory step)
Sep 13, 2022 8:00 p.m. (EST)	Full Application deadline
Feb 27- March 3, 2023	Multidisciplinary review panel meeting
March 31, 2023	Start date of awards



Eligibility and Roles 2022 Exploration Competition









Eligibility and Roles Project Team Eligibility

At least 2 participants:

 a nominated principal investigator (NPI) who is an <u>independent researcher</u> at an eligible institution

AND

 a co-principal investigator (co-PI), who must also be an <u>independent</u> <u>researcher</u>

OR

- a co-applicant (co-App), who may be a:
 - o researcher / professor →
 <u>independent researcher</u>; or
 - practitioner, policy-maker, educator, decision-maker, health-care administrator, Indigenous Elder, etc.



Eligibility and Roles Project Team Eligibility

NPIs, co-PIs and co-Apps:

- must not be affiliated with a government* or for-profit company; and
- can participate in only one Exploration stream application or grant at one time**.

*With some exceptions; please refer to our website.

For a proposal to be considered led by early career researchers (ECRs), **both the NPI and co-PI** (if applicable) must be ECRs.

Active Grants: Final Report submission by August 13 required to eligible for 2022 Competition

Refer to the <u>Eligibility</u> section of the Competition Overview



Review Process 2022 Exploration Competition









Review Process

Overview

Purpose:

 to identify the most meritorious applications to be recommended for funding by the multidisciplinary review panel

External Reviewers assess the application for its High Risk, High Reward and Feasibility

Five members of the Multidisciplinary Review Panel review the application for all criteria, along with the external reviews, to make funding recommendations

Consult the <u>Reviewer Manual</u> for more detail



Review Process **External Review**

External reviewers will provide comments on the proposed project according to **3 criteria**:

- High risk
- High reward
- Feasibility

This process is **double-anonymous** (i.e. the external reviewers are not provided information that identifies the research team and vice versa).

The external reviewers will be provided with the following sections:

- NOI Stage: Summary of the Proposal
- Full Application stage:
 - 1. EDI-RD section;
 - 2. research proposal;
 - 3. budget justification; and
 - 4. literature references.



Review Process **External Review**

To ensure anonymity:

- Do **not include any identifying information about the team members** (e.g., names, previous work, research groups, departments, institutions or organizations) in sections provided to the external reviewers.
- When referring to prior research, use non-identifying statements (e.g., "article was published," "research was conducted on," etc.)



Review Process Multidisciplinary Review Panel

Adjudication involves scoring according to the evaluation <u>matrices</u> for the selection criteria:

- 1. Equity, diversity and inclusion-RD (EDI-RD) (pass/fail);
- Interdisciplinarity / fit to program (pass/fail);
- 3. High risk: 40% of overall score;
- 4. High reward: 40% of overall score; et
- 5. Feasibility: 20% of overall score.

Each application will be adjudicated by 5 members

- 3 with similar area of research expertise
- 2 provide non-expert perspective

Members have access to all content from the NOI and Full application stages, in addition to the External Reviewers' reports

This is not a double-anonymous review



Evaluation Criteria 2022 Exploration Competition

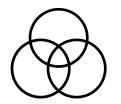








Program Description Interdisciplinarity / Fit to Program

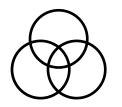


Interdisciplinarity requirements:

- at least two different disciplines (as defined by the group-level classification of the Canada Research and Development Classification codes, <u>CRDC</u>); and
- the onus is on the applicant to explain the novelty of the interdisciplinary approach to justify the fit to program.



Program Description Interdisciplinarity / Fit to Program



Interdisciplinarity can be defined by elements such as, but not limited to:

- Novelty of the Perspective \rightarrow integrating two or more disciplines not commonly combined.
- Novelty of the Approach → application or adaptation of frameworks/tools/methods/ techniques from one discipline to solve a problem in another discipline.
- Project Design \rightarrow designed from an interdisciplinary perspective.

Subcriterion

• Fit to Program \rightarrow pushes the boundaries of what can be funded through the agencies.



Program Description

Multidisciplinary versus Interdisciplinary Research

Multidisciplinary Teams

Each member operates as a part of a chain of responsibility



Interdisciplinary Teams

Individuals from different fields are expected to contribute ideas to the entire project stack



Members listen to and learn from others



Program Description Equity, Diversity and Inclusion in Research Practice (EDI-RP)

*referred to as EDI in previous Exploration competitions

EDI-RP is a core element of the NFRF program and is comprised of **3 key areas**:

- 1. team composition and recruitment processes;
- 2. training and development opportunities; and
- 3. inclusion.

For each of the three key areas above, applicants must explain:

- □ what actions they will take;
- $\hfill\square$ the outcomes expected; and
- □ the assessment planned.



Program Description Equity, Diversity and Inclusion in Research Practice (EDI-RP)

*referred to as EDI in previous Exploration competitions

Actions proposed are expected to:

- remove barriers; and
- provide opportunities for the meaningful integration of individuals from all groups, including the four designated groups (women, Indigenous peoples, members of visible minorities and persons with disabilities).





Review Process High Risk



High risk can be defined by elements such as, but not limited to:

- proposing unique directions;
- challenging current research paradigms;
- enhancing understanding of complex and challenging issues;
- bringing new disciplines together with different perspectives, to use novel approaches for solving existing problems; and/or
- developing or adapting frameworks, methods and techniques.



Review Process High Reward



High reward can be defined by elements such as, but not limited to:

- having an economic, scientific, artistic, cultural, technological or health impact;
- impacting and/or affecting large communities, or unique communities or subpopulations with the potential to provide lessons for other contexts;
- transforming and/or disrupting conventional thinking;
- resolving a longstanding issue or debate; and/or
- significantly advancing current knowledge, methods and/or technologies.



Program Description Feasibility

Feasibility considers elements such as the:

- research problem being addressed;
- knowledge, expertise and capacity of the research team;
- current research in the field;
- workplan and timeline;
- proposed approach, including EDI in research design (EDI-RD)* where appropriate;
- project's engagement and reciprocity with First Nations, Inuit and/or Metis peoples (for Indigenous research), where appropriate; and
- suitability of the research environment.

*referred to as GBA+ in previous Exploration competitions

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Full Application Requirements 2022 Exploration Competition









Full Application Requirements
Deadline

Application deadline for submission to NFRF:

September 13, 2022 at 8:00pm EST

Check with your RGO, who may set an earlier internal deadline.

Note: for participants currently named on an active Exploration Grant; the Final report must be received by August 13, 2022, and approved by Full Application deadline



Participants and Suggested External Reviewers

- Additional members can be added e.g. co-PI (if one not already invited), co-Applicants and/or Collaborators (new members will need to complete Convergence profiles).
- Update the list of suggested or excluded external reviewers (a minimum of 3 from each primary research field)
 - Be mindful of **conflicts of interest**
 - Identify appropriate expertise; min of 3 experts from each primary research field.
 - Ability to review with confidence (including language).
 - Experts familiar with the various disciplinary approaches in the interdisciplinary project.
 - Consider diversity in your selection (Canadian and international; private, government and public sectors; gender; under-represented groups; established, mid- and earlycareer researchers)



Application Components in the Convergence Portal

- 1. Budget Table
- 2. Equity, diversity and inclusion in Research Design; EDI-RD (previously GBA+)
- 3. 4. Equity, diversity and inclusion in Research Practice; EDI-RP (previously EDI)
- 4. Certifications, licences and permits
 - <u>Agreement on the Administration of</u> <u>Agency Grants and Awards by Research</u> <u>Institutions</u>
 - <u>Requirements for</u>
 <u>Certain Types of Research</u>

- Impact Assessment Form (Appendix A)
- 5. Supporting Documents
 - a. Research Proposal
 - b. Biographical Information
 - c. Budget Justification
 - d. Literature references



Application Components in the Convergence Portal

🖞 https://www.convergence.gc.ca/en/applications-demandes/nfrfe-fnfre/app-demande/proposed-budget-budget-propose/?applicationid=fb260788-88db-ec11-b823-005056ae3a54&fundingopportunityid=7725d964-01a.. A 🏠 🤹

Home > Application > Proposed Budget

Proposed Budget

Application Details					PDF view	
✓ Invitations	Application ID:	NFRFE-2022-00100		Administering organization:	ZZZ-INTERNAL TESTING ENVIRONMENT	
✓ Participants	Annelisense	Cussiandi, Cathonian		Funding	New Frenking in Descent Fund - Fundametica	
✓ Collaborators	Applicant:	Gucciardi, Catherine		opportunity:	New Frontiers in Research Fund - Exploration	
Fields of Research	Title:	Tree strength against freek wi	nds in Ottawa			
Caracteria Keywords	-	The maximum budget for the direct costs of the research project is \$100,000 per year, for up to 2 years. In addition, you can request up to 25% of the value of the direct				
Summary of Proposal	costs of research to co competition overview.	costs of research to cover indirect costs. For more details, consult the Value and duration, and the Regulations, policies and related information section in the competition overview.				
✓ Suggested Reviewers						
✓ Reviewer Exclusions	You must enter budg	get details for at least the first yea	r.			
Proposed Budget	Direct Costs Year 1		Direct Costs Year 2		Total Direct Costs	
Equity, diversity and inclusion in research design (EDI-RD)*	\$ O		\$ 0		\$0	
	Indirect Costs Year 1		Indirect Costs Year 2		Total Indirect Costs	
Equity, diversity and inclusion in research practice (EDI-RP)*	\$ 0		\$ 0		\$0	
Certifications, Licenses and Permits	Year 1 Total		Year 2 Total		Total	
Supporting Documents	\$0		\$0		\$0	
Finalize Application						



Full Application Requirements Application Components in the Convergence Portal

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Home > Application > Equity, diversity and inclusion in research design (EDI-RD)*

Equity, diversity and inclusion in research design (EDI-RD)*

Application Details				PDF view	
✓ Invitations	Application ID:	NFRFE-2022-00100	Administering organization:	ZZZ-INTERNAL TESTING ENVIRONMENT	
✓ Participants			Funding		
✓ Collaborators	Applicant:	Gucciardi, Catherine	opportunity:	New Frontiers in Research Fund - Exploration	
Fields of Research	Title:	Tree strength against freek winds in Ottawa			
Keywords	*This was referred to a	s GBA+ in previous competitions.			
Summary of Proposal	EDI in research design (EDI-RD) involves designing research so that it takes EDI into account, through approaches such as intersectionality, gender-based analysis plus (GBA+), antiracist approaches and disaggregated data collection. Analysis should include consideration of diversity and identity factors such as age, culture, disability,				
✓ Suggested Reviewers	education, ethnicity, gender expression and gender identity, immigration and newcomer status, Indigenous identity, language, neurodiversity, parental status/responsibility, place of origin, religion, race, sexual orientation, and socio-economic status. The purpose of EDI-RD is to promote rigorous research that is				
✓ Reviewer Exclusions	sensitive to sex and gender, as well as many other identity factors, such as race, ethnicity, religion, age, and mental or physical disability. These considerations must be integrated into the research design, when appropriate.				
Proposed Budget		Applicants and reviewers should refer to SSHRC's Guide to Addressing Equity, Diversity and Inclusion Considerations in Partnership Grant Applications, as well as NSERC's Equity, diversity and inclusion considerations at each stage of the research process. These are similar to the guidance that health researchers would be familiar			
Equity, diversity and inclusion in research	NSERC's Equity, diversity and inclusion considerations at each stage of the research process. These are similar to the guidance that health researchers would be fan with under SGBA/GBA+, as described in CHIR's Sex- and Gender-Based Analysis (SGBA) website section.				
design (EDI-RD)*	The NPI must ensure that the sun		e summary of the proposal (submitted at the NOI stage), the research proposal, the budget justification and literature references do not		
Equity, diversity and inclusion in research practice (EDI-RP)*	contain any identifying information about the team. The external review process is double-anonymous, with the applicants not knowing the identity of the external reviewers and the external reviewers not provided information identifying the research team.				
Certifications, Licenses and Permits	Indicate whether EDI in research design (EDI-RD) are appropriate considerations for the project, and whether they have been integrated into the proposed research. A rationale must be provided in cases where a research team believes no aspect of their research may benefit from an analysis to take into consideration sex, gender or other identity factors. These fields must be completed before your application can be submitted. (required) Yes No 				
Supporting Documents					
Finalize Application					

Justification (required)

Maximum character count: 500 | Characters remaining: 500



Application Components in the Convergence Portal

Convergence Portal

Home > Application > Equity, diversity and inclusion in research practice (EDI-RP)*

Equity, diversity and inclusion in research practice (EDI-RP)*

Application Details				PDF view	
✓ Invitations	Application ID:	NFRFE-2022-00100	Administering organization:	ZZZ-INTERNAL TESTING ENVIRONMENT	
✓ Participants	A	Curried Catholic	Funding	New Frankiewie Research Fund - Fundantie	
✓ Collaborators	Applicant:	Gucciardi, Catherine	opportunity:	New Frontiers in Research Fund - Exploration	
Fields of Research	Title:	Tree strength against freek winds in Ottawa			
Ceywords	*This was referred to a	s EDI in previous competitions.			
Summary of Proposal	An application must not include any personal information about members of the research team (including the number of team members belonging to each of the designated groups) in the EDI-RP section; the focus is on the team's commitment to EDI in its research practice, not on the team's EDI profile. See the program's Best				
✓ Suggested Reviewers	Practices in Equity, Diversity and Inclusion in Research guide for more details.				
✓ Reviewer Exclusions	If relevant, information t	hat reveals the affiliation of the team members (including	departments, lab groups,	etc.) may be included in this section.	
Proposed Budget	Anglainef				
Equity, diversity and inclusion in research design (EDI-RD)*	Analysis of Context The description should relate to the specific circumstances of your research team, environment, institution and/or field.				
Equity, diversity and inclusion in research practice (EDI-RP)*		ecific challenges in relation to EDI-RP. (required) nt: 2500 Characters remaining: 2500			
Certifications, Licenses and Permits					
Supporting Documents					
Finalize Application				72	
	Team Compositi	on and Recruitment Process			

Have questions?

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Catherine Gucciardi



Application Components in the Supporting Documents Convergence Portal

Application Details				P	PDF view
 Invitations 	Application ID:	NFRFE-2022-00100	Administering organization:	ZZZ-INTERNAL TESTING ENVIRONMENT	
✓ Participants	Applicant:	Gucciardi, Catherine	Funding opportunity:	New Frontiers in Research Fund - Exploration	
✓ Collaborators	Title:	Tree strength against freek winds in Ottawa			
Fields of Research					
Ceywords	Preparing Your Supporting Documents Prepare your attachments following the requirements below.				
Summary of Proposal	1. All acronyms and abbreviations must be explained.				
✓ Suggested Reviewers	 Pages must be 8 1/2' x 11" (216 mm x 279 mm). Text must be single-spaced, with no more than 6 lines of type per inch. All text must be in 11 pt Arial font; condensed fonts will not be accepted. Margins must be set at a minimum of 3/4" (1.87 cm). Pages must be numbered sequentially. The application ID (i.e. NFRFx-xxxx-xxxxx) must appear at the top of each page. Do not include any identifying information in the headers and/or footers (e.g., name, PIN, institution, etc.). The document name must appear at the top (e.g., Research proposal (maximum 4 pages)). 				
✓ Reviewer Exclusions					
Proposed Budget					
Equity, diversity and inclusion in research design (EDI-RD)*					
Equity, diversity and inclusion in research practice (EDI-RP)*	All attachments, including references (where applicable), must conform to these standards.				
Certifications, Licenses and Permits	Converting Your Documents All attachments must be converted to Portable Document Format (PDF) before they can be attached to the application. If you have questions about converting your documents to PDF, please contact your institution's technical support staff. For more information or to download a free conversion program, you may visit PrimoPDF. Macintosh users have a PDF conversion option in the print menu. Please note: You must preview all attachments you upload to the Convergence Portal to ensure that they have been uploaded correctly and the content is viewable. Corrupted or protected files that cannot b opened or viewed will not be accepted.				ur
Supporting Documents					
Finalize Application					not be

Refer to the instructions on how to submit an application for more information on what you will need in order to prepare your supporting documents.

The list of documents is ordered specifically according to the application stage.

	Document Name	Stage	File	Actions
	Research proposal (maximum 4 pages)	Application	Required	Upload file
	Biographical information about the research team (maximum 2 pages)	Application	Required	Upload file
	Budget justification (maximum 1 page)	Application	Required	Upload file
U	Literature references (maximum 5 pages)	Application	Required	Upload file



Research Proposal

Maximum 4 pages

- Describe the project and address why it is:
 - Interdisciplinary
 - High Risk
 - High Reward
 - Feasible
 - Discuss the approaches to Indigenous Research and EDI-RD (if appropriate)

Do not include any information that could identify team members

- State the objectives
- Describe the project:
 - methodology and design
 - work plan and timeline



Full Application Requirements Biographical Information

Maximum 2 pages

- Provide an overview of the research team's knowledge, background and expertise
- Identify the anticipated contributions of each team member

- Explain how their contributions will be coordinated and integrated
- If self-identifying information is relevant, it may be included in this section only with the members' consent



Full Application Requirements Budget Justification

Maximum 1 page

- Provide a breakdown & explanation of the direct costs
 - provide sufficient information to assess appropriateness of requested resources
- Other resources can also be described, if necessary, e.g. funding, materials, equipment
- Breakdown of indirect costs is not required

Do not include information that could identify team members

Literature References

Maximum 5 pages

• List of references cited in the application



Instructions for Attachments

- All documents must be converted to Portable Document Format (PDF) before they can be attached to the application
- Be mindful of <u>formatting requirements</u> (page length, font size, margins, no personally identifying information, etc.)

• Applications that do not adhere to the requirements can be withdrawn



Full Application Requirements Finalizing the Application

- Review your application and submit it
- Accept the Terms and Conditions
 - Status will change to Received by Administrator
- If changes are needed ask your institution's RGO to return it to you

- RGO approves and submits to agency
 - Status will change to Received by Agency
 - No further changes can be made



Information for RGOs 2022 Exploration Competition









Information for RGOs **RGO Role**

RGOs can:

- view applications from NPIs at their institution (expired, in progress and submitted);
- return submitted applications to the NPI; and
- forward submitted applications to the agency.

Before forwarding an application to the agency, you will be asked to confirm:

- that the participants meet the eligibility requirements; and
- that the proposed research project meets the eligibility requirements.





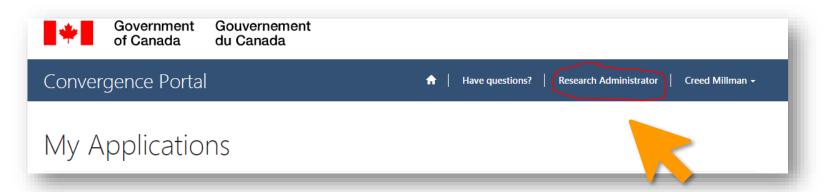
By forwarding the application, you will also be certifying, on behalf of the institution, that the NPI:

- is affiliated with the institution or organization;
- has the necessary time and resources to carry out the activity; and
- will notify NFRF by email (NFRF-FNFR@chairs-chaires.gc.ca) of any changes during the tenure of the grant, including changes in the composition of the partnership, such as the addition of new co-principal investigators, co-applicants or collaborators.



Information for RGOs **RGO Role**

Use the "Research Administrator" button to access your institution's applications



If you do not have this option, please contact websupport@chairs-chaires.gc.ca

NFRFE 2020 Full Application Convergence Walkthrough (11:45)



2022 Exploration Competition









Questions?





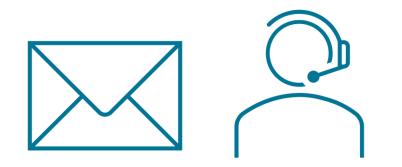
Resources

Contact Information

NFRF-FNFR@chairs-chaires.gc.ca

On-line Services Helpdesk

websupport@convergence.gc.ca 613-995-4273



Program Links

NFRF Website

2022 Exploration Competition Overview

Full application guide

Reviewer Manual

Evaluation Matrices





Resources

Other EDI Resources

•SSHRC's<u>Guide to Addressing Equity, Diversity and Inclusion Considerations in Partnership</u> <u>Grant Applications</u>

•NSERC's Equity, diversity and inclusion considerations at each stage of the research process

•NSERC's Equity, diversity and inclusion considerations for research teams

•GBA+ at CIHR

•GBA+ Training Module at Status of Women Canada





Video Capsule

NFRFE 2020 Full Application Convergence Walkthrough



